# Food Chemistry

## Ultrasound and microwave techniques for assisting ageing on lees of red wines --Manuscript Draft--

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Abstract:	Ageing on lees is a slow process that carries microbiological and economic risks in the wineries. This study evaluates the possibility of enhancing the extraction of different compounds from the lees, using combined strategies, such as ultrasound (US) or microwaves (MW) and the addition of inactive dry yeasts (IDY), to reduce the lees ageing time. The complete chemical analysis of the wine was done, amino acids, polysaccharides, colour and volatile compounds, together with the sensory analysis. The combined treatments increased the release of total polysaccharides, mannoproteins and total monosaccharides in the wines, and some amino acids like proline. However, wines treated with US and MW, with and without lees, showed a decrease in tannins and colour intensity, and in some volatile compounds like fatty acid esters, acetates and terpenes. The wines treated with IDY and MW were the best valued for their floral and red berry flavours and less astringency.					
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2	red wines
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#### 23 ABSTRACT

Ageing on lees is a slow process that carries microbiological and economic risks in the 24 wineries. This study evaluates the possibility of enhancing the extraction of different 25 26 compounds from the lees, using combined strategies, such as ultrasound (US) or microwaves (MW) and the addition of inactive dry yeasts (IDY), to reduce the lees ageing 27 28 time. The complete chemical analysis of the wine was done, amino acids, 29 polysaccharides, colour and volatile compounds, together with the sensory analysis. The combined treatments increased the release of total polysaccharides, mannoproteins and 30 31 total monosaccharides in the wines, and some amino acids like proline. However, wines 32 treated with US and MW, with and without lees, showed a decrease in tannins and colour intensity, and in some volatile compounds like fatty acid esters, acetates and terpenes. 33 The wines treated with IDY and MW were the best valued for their floral and red berry 34 flavours and less astringency. 35

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37 *Keywords*:

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40 Ultrasounds

41 Microwaves

- 42 Volatile compounds
- 43 Non-volatile compounds

#### 44 **1. Introduction**

Ageing on lees is a technique that has traditionally been used in the production of sparkling and red wines, in which the wine is kept in contact with the yeast for several months after fermentation, favouring the release of compounds from the autolysis of yeasts and improving the organoleptic characteristics of wines (Martínez-Rodríguez & Pueyo, 2009).

Yeast autolysis is a slow process, so ageing on lees implies immobilization of the wine in the cellar for a long time, increasing economic and microbiological hazards. The use of inactive dry yeasts (IDY) has become widespread in the wine industry to replace the yeast lees, avoiding the microbiological and organoleptic risks, and reducing the slow and complex process that entails the yeast autolysis (Pozo-Bayón et al., 2009; Pérez-Serradilla & Luque de Castro, 2008).

56 Inactive dry yeasts are obtained by thermal inactivation and drying of the yeasts, that have grown in a medium with a high concentration of sugar under aerobic conditions 57 58 (Comuzzo et al., 2012). The most commercial inactive dry yeast (IDY) is made up of insoluble compounds, as inactive yeasts, yeast membranes and walls, and a soluble 59 fraction formed by free cellular metabolites released after yeast lysis, as amino acids, 60 61 peptides and proteins, polysaccharides, nucleotides, fatty acids, vitamins and minerals, which can be released into the wine during the lees ageing process (López-Solís et al., 62 2017). In IDY preparations, mannoproteins (MP), from the cell wall of yeasts, are the 63 main components, showing a positive effect on wine sensory characteristics. In fact, MP 64 65 improve the aromatic profile (Del Barrio-Galán et al., 2012), reduce astringency and bitterness, increase the body, structure, and roundness (Guadalupe et al., 2010; Poncet-66 67 Legrand et al., 2007) and influence the colour of red wines (Escot et al., 2001).

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In order to accelerate the ageing process on the lees, in recent years emerging 68 technologies have been investigated to replace traditional stirring or "batonnage", 69 increasing the efficiency of the process. Among them, the use of high-power ultrasound 70 71 (HPU) and microwave (MW) could be most promising (Lui et al., 2016). The high-power ultrasound technique is based on the application of mechanical sound waves with 72 frequencies between 20 kHz and 100 MHz inducing acoustic cavitation in a liquid 73 74 medium. The intense pressure and temperature gradients accelerate chemical and physical 75 changes, causing cell rupture and allowing a greater matter transfer (Garcia-Martín. et al., 2013). While microwaves are non-ionizing electromagnetic waves that cause an increase 76 77 in energy in the matrix produced by molecular friction, mainly by dipole rotation and ionic conduction, that can modify molecular structures and favour the migration of 78 79 compounds (Clodoveo et al., 2016).

Both techniques have been used in the wine industry for different purposes such as microbiological stabilization (Clodoveo et al., 2016) and to reduce the maceration time increasing the extraction of grape compounds (polysaccharides, volatile compounds and polyphenols) (Pérez-Porras et al., 2021, Oliver et al., 2021; Muñoz et al., 2021; Muñoz et al., 2022). Additionally, the application of US and MW in wines during the ageing period increase the aromatic intensity of wood attributes and accelerate the ageing process (García-Martín et al., 2013).

Ultrasounds promote yeast autolysis by improving polysaccharide extraction in model solutions and wine (Cacciola et al., 2013; del Fresno et al., 2018), while no significant effect is observed in the case of microwave treatment (Liu et al., 2016). However, the same authors detected a reduction in aroma compounds due to the use of US, and a decrease in total polyphenols, which can affect the sensory characteristics of wines (Liu et al., 2016; del Fresno et al., 2018). It seems that the conditions used in the 93 treatment such as the type of yeast and the potency and duration of US treatment, 94 considerably affect the results obtained (García-Martín & Sun, 2013). No references have 95 been found on the effect that the use of microwaves in ageing on lees could have on the 96 volatile or phenolic compounds of the wine.

97 Therefore, the objective of this work is to obtain complete information on the 98 effect of US and MW treatments used as tools to accelerate the ageing of wine on lees, 99 using inactive dry yeasts (IDY), on the families of polysaccharides, the phenolic 100 composition and other wine components such as volatile compounds and amino acids on 101 which there is no prior information.

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## 2 2. Material and methods

## 103 2.1. Experiment design

To carry out this experiment, a Mencía red wine produced at the "Instituto de la
Vid y el vino de Castilla-La Mancha" (IVICAM, Tomelloso, Ciudad Real, Spain) in the
2021 harvest was used.

107 The wine was distributed in 2 L flasks with a volume of 1.3 L per flask, forming 108 6 batches with different conditions, in triplicate. The first batch was kept without any treatment as a control (sample C), in the second batch (sample IDY) inactive dry yeast 109 Saccharomyces cerevisiae (Lallemand) was added at 0.3 g/L per flask. The third batch 110 (sample US) was treated with ultrasounds (Ultrasons-HD, modelo 3000868, J.P. Selecta 111 112 S.A., Barcelona, Spain), at 400 W and a frequency of 40 Hz for 1 hour a day, 5 days a 113 week. The fourth batch (sample US-IDY) was subjected to the same ultrasound treatment together with 0.3 g/L of inactive dry yeast per flask. The fifth batch (sample MW) 114 115 underwent microwave treatment (LG MJ3965ACS, Madrid, Spain) at a power of 700 W and a frequency of 2,450 Mhz, for 1 min 4 times/day, 5 days a week. And in the last batch 116 (MW-IDY) the previous microwave treatment was applied, together with inactive dry 117

yeast (0.3 g/L). All flasks were kept for 3 months at a temperature of 20°C, after which
the wines were decanted and arranged for the different analyses.

120 2.2. Conventional analysis

121 Conventional analysis (alcoholic degree, pH, total and volatile acidity, glucose 122 and fructose, glycerol and organic acids (malic, lactic, citric, tartaric and succinic acids) 123 and proline were determined by official analytical methods established in the 124 International Organization of Vine and Wine (OIV, 2020).

125 2.2. Analysis of monosaccharides by GC–MS

Wine polysaccharides were recovered by precipitation after ethanolic dehydration 126 as previously described (Guadalupe et al., 2012; Ayestarán et al., 2004). The 127 128 monosaccharide composition was determined by GC-MS of their trimethylsilyl-ester O-129 methyl glycosyl residues obtained after acidic methanolysis and derivatization as previously described (Guadalupe et al. 2012). GC was controlled by ChemStation 130 131 software and equipped with a 7653B automatic injector consisting of an Agilent 7890A gas chromatograph (Agilent Technologies, Inc. Santa Clara, CA, USA) coupled to a 132 5975C VL quadrupole mass detector (MS). The content of each polysaccharide family 133 134 was estimated from the concentration of individual glycosyl residues which are characteristic of structurally identified must and wine polysaccharides (Ayestarán et al., 135 136 2004).

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2.3. Analysis of amino acids by HPLC

The determination of amino acids was carried out using the method described by Gómez-Alonso et al. (2007) with some modifications. Previously, samples were derivatized by mixing 1 mL of wine with 1.75 mL of 1 M borate buffer (pH=9), 30  $\mu$ L of diethylethoxymethylenemalonate (DEEMM) and 750  $\mu$ L of methanol in a screw cap test tube for 30 min in an ultrasound bath. To allow complete degradation of excess DEEMMand reagent by-products, the mixture heated at 70 °C for 2 h.

A HPLC equipment was used to perform the analyses with a diode array detector 144 145 (Agilent, Model 1100; Agilent Technologies, Inc. Santa Clara, CA, USA). The chromatographic separation was carried out on an ACE HPLC column (5 C18-HL), 146 particle size of 5  $\mu$ m (250 mm  $\times$  2.1 mm), using a phase A: 25 mM acetate buffer, pH = 147 148 5.8 with 0.02% sodium azide; phase B: methanol and phase C: acetonitrile, and a flow 149 rate of 0.9 mL/ min. For detection, a photodiode array detector was used, monitored at 280 and 269 nm. Compounds were identified and quantified using the corresponding 150 151 standards (Sigma-Aldrich Chemie, Tres Cantos, Madrid, Spain).

152 2.4. Chromatic parameters

153 Spectrophotometric parameters: Colour intensity (CI) was calculated as the sum of the absorbance at 620, 520 and 420 nm, following the method of Glories et al. (1984). 154 155 The hue was obtained by the ratio between the absorbance at 420 nm and at 520 nm. Total 156 phenol index (TPI) was calculated with absorbance analysis at 280 nm wavelength. Total 157 and polymeric anthocyanins were determined following the method of Ho et al. (2001) determining the absorbance at 520 nm. Total methylcellulose precipitable tannins were 158 159 determined by the method of Smith (2005) being calculated by absorbance difference at 280 nm. 160

161 Determination of tannins by the phloroglucinolysis method: The samples were 162 analysed following the method of Busse-Valverde et al. (2010) using a Waters 2695 163 HPLC system (Waters, Milford, MA, USA) coupled to a Waters 2996 photodiode array 164 detector, and an Atlantis dC18 column ( $250 \times 4.6$  mm, 5 µm packing) with a guard 165 column of the same material (20 mm × 4.6 mm, 5 µm packing), kept at 30°C. A 166 water/formic acid mixture (98:2, v/v) was used as solvent A, and acetonitrile/solvent A 167 (80:20 v/v) as solvent B, maintaining a flow rate of 0.8 mL/min. The injection volume 168 was 10  $\mu$ L. The analyses made it possible to determine the total tannin content, the mean 169 apparent degree of polymerization (mDP), and the percentage of galloylation and the 170 percentage of the epigallocatechin tannic subunit.

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## 2.5. Volatile compounds analysis by GC-MS

Major volatile compounds (methanol, propanol, isobutanol and isoamyl alcohols) were analysed by direct injection, using a GC/MS Focus-ISQ chromatograph (Thermo Scientic, Milan, Italy). 4-methyl-2-Pentanol (41.5 mg/L) was added to wine (1:1 (v/v)) as internal standard. One microliter (1  $\mu$ L) of wine was injected in split mode (1/25) onto a BP-21 (SGE) column (60 m × 0.32 mm × 0.25  $\mu$ m). Helium (1.2 mL/min) was used as carrier gas. Injector temperature was set at 195 °C and the oven temperature program was 32 °C (2 min)- 5 °C/min to 120 °C- 75 °C/min to 190 °C (18 min).

Minor volatile compounds were extracted by Solid Phase Extraction (SPE) before 179 180 de GC analysis using 500 mg styrene-divinylbenzene cartridges (Lichrolut EN Merck, 181 KGaA, Darmstadt, Germany), previously conditioned with 10 mL of dichloromethane, 182 followed by 5 mL of methanol, and 10 mL of 10% (v/v) aqueous ethanol. Then, 100 mL of wine were passed through the cartridge together with 40  $\mu$ L of 4-nonanol (1 g/L) as 183 184 internal standard. Hydrophilic compounds were removed using 50 mL of bidistilled Milli Q Plus water and minor volatile compounds were eluted with 10 mL of dichloromethane. 185 The extracts were concentrated under a nitrogen stream and stored at -20 °C until 186 analysis. One microliter (1 µL) of extract was injected in splitless mode (0.30 min) onto 187 188 an Agilent 6890 GC System accoupled to an Agilent 5973 Mass Detector using a DB-189 WAX column (60 m  $\times$  0.25 mm  $\times$  0.25  $\mu$ m) (Agilent Technologies, Inc. Santa Clara, CA, USA). Helium was used as carrier gas (1 mL/min). Column temperature: 70 °C (5 min) 190

rising at 1 °C/min to 90 °C (10 min) and then 2 °C/min to 210 °C (40 min). The injector
temperature was 250°C.

In both cases the MS worked in the electron impact mode (70 eV), the ion source 193 194 temperature was 230 °C and the scanning was made from 45 to 550 a.m.u. Identification of the volatile compounds was executed by comparison with standards from Sigma-195 Aldrich (Tres Cantos, Madrid, Spain). Compounds for which it was not possible to find 196 volatile references was tentatively identified using NBS75K and NIST14 libraries. The 197 198 response factor for each volatile compound was determined by injecting commercially available standards into the analysis system at an intermediate concentration typically 199 200 found in wines. An equal amount of internal standard was added to both the standards 201 and the samples. In the case of compounds not commercially available the response factor 202 of compounds with similar chemical structures were used. Then, the different response 203 factors were used to calculate the concentration of each compound.

## 204 2.6. Sensory descriptive analysis

205 A panel made up of 8 expert tasters from the laboratory staff aged between 25 and 206 58 years old carried out the descriptive sensory analysis of the wines. The assessment took place in a standard sensory analysis chamber (ISO 8589:2007) equipped with 207 208 separate booths and wine-tasting glasses (ISO 3591:1997). Previously the judges individually generated the sensory terms that best described the samples, agreeing on the 209 210 following descriptors: red berry, herbaceous and floral flavours. Likewise, bitterness, astringency, body and overall impression were evaluated. The panellists used a 10 cm 211 212 unstructured scale to rate the intensity of each attribute. The left extreme of the scale 213 indicated a null intensity of the descriptor and the right extreme the maximum value.

214 2.7. Statistical analysis

The statistical analysis was executed using the IBM SPSS statistics v.24.0 for Windows statistical package. Data set was analysed with the Student–Newman–Keul's test to find significant differences between samples.

218 **3. Results and discussion** 

#### 219 *3.1. Basic chemical composition and amino acids of wines*

In general, the basic composition of the wine (Table 1) was little affected by the treatments carried out, but a slight increase in volatile acidity and acetic acid was observed in the samples treated with ultrasounds and lees (US, US-IDY and IDY) as has been described by other authors (García-Martín et al., 2016). Moreover, a small decrease in the content of succinic acid in the samples treated with microwaves (MW and MW-IDY) was observed, without any changes in the rest of the acids.

Table 2 shows the amino acids and ammonium concentration in the control and treated wines. The main amino acid in all wines was proline since it is the most abundant in the must and is also not usually metabolized by yeasts (Martínez-Rodríguez & Pueyo 2009). Alanine also stood out for its higher content, while the rest of the amino acids were found in small amounts.

231 Amino acids are generally released into the medium at the end of fermentation due to yeast autolysis. Guilloux-Benatier and Chassagne (2003), showed that the 232 233 treatment of wine with inactive dry yeasts produced a greater release of amino acids due 234 to the higher content of these compounds in the cells when they are grown in an aerobic 235 medium. In our case, the amino acid most affected by the lees treatment was proline, which obtained a significant increase in all wines treated with lees (IDY, US-IDY and 236 237 MW-IDY) compared to the control wine without treatment (C). The wine treated with US and lees (US-IDY) presented the highest amounts of proline, while the MW-IDY wine 238 did not differ from the IDY control. 239

The treatment on lees (IDY) also caused a slight increase in other amino acids (phenylalanine, ornithine, lysine, ammonium and glutamic acid + glutamine), compared to the non-treated wine (C). In wines treated with ultrasounds and lees (US-IDY) this increase was maintained and some amino acids such as  $\beta$ -alanine+arginine, methionine or cysteine increased additionally, while in wines treated with microwaves and lees (MW-IDY) there was lower changes and some amino acids decreased with respect to the IDY wine.

The treatments with ultrasounds and microwaves without lees addition (US and MW) also produced an increase in proline with respect to the control (C), especially in the case of the ultrasound treatment. The same happened with other amino acids (histidine, GABA, isoleucine), although not as noticeably, showing that these treatments by themselves can affect the amino acid content of the wine, probably because they cause their release from peptides or mannoproteins present in the wine.

#### 253 *3.2. Wine polysaccharides*

When comparing control wines (without IDY addition), ultrasounds significantly 254 increased the total monosaccharide content (TMS) and the total polysaccharides families 255 (TPF) (Table 3). Concretely, it was observed a significant increase of the constituent 256 257 monosaccharides of pectic polysaccharides as galactose, arabinose, rhamnose and 258 glucuronic acid, which are the components of the pectic polysaccharides rich in arabinose 259 and galactose (PRAG), galacturonans, galactans, arabinogalactans, arabinogalactan proteins and arabinans (Vidal et al., 2003). The content of 2-O-methyl-xylose, 2-O-260 261 methyl-fucose, and Kdo also increased. These rare sugars are markers for the presence of the pectic polysaccharides RG-II (Pérez et al., 2003; Vidal et al. 2003); the concentration 262 263 of rhamnose and fucose increased as they are components of RG-I or RG-II in the case of rhamnose (Martínez-Lapuente et al., 2018), or RG-II in the case of fucose (Pellerin et 264

al., 1996). The content of galacturonic acid, principal constituent of homogalacturonans 265 266 (HG) (Avestarán et al., 2004), also increased. Mannose content in wines, which is attributed to mannoproteins (MP) from yeast cell walls (Guadalupe & Ayestarán, 2007; 267 268 Martínez-Lapuente et al., 2018), was significantly higher in control wines treated with ultrasounds (US). These results showed that ultrasounds broke down the colloidal 269 particles of the soluble pectic polysaccharides and the cell walls of the residual population 270 271 of the yeast that were in the wine. However, this effect was not observed in control wines 272 treated with microwaves and untreated, as they did not show significant differences in TMS, MP, PRAG, RG-II and TPF. 273

274 IDY treatment combined with ultrasounds and microwaves increased the content of TMS, TPF, MP, RG-II and PRAG compared to the control wines (US and MW) (Table 275 276 3). The combined treatment fragmented the soluble colloidal particles of galacturonans, galactans, arabinogalactans, arabinogalactan proteins and arabinans, which are the pectic 277 278 polysaccharides of grapes. These results have not been described in the literature. In 279 addition, the combined treatment favoured with greater intensity the solubility of MP 280 from the cell walls of residual yeast in the wine and from the insoluble composition of IDY (inactive yeast and yeast walls). However, the only application of IDY (IDY) did 281 not significantly increase the MP content in the wine compared to the control wine (C). 282 283 But the IDY wines had similar glucose content, used to estimate the glucan content of the yeast cell walls (Pérez-Magariño et al., 2015). No significant differences in TMS. MP, 284 PRAG, RG-II and TSP between IDY and C wines were observed. This result suggested 285 286 that IDY does not interact with major wine pectic polysaccharides (PRAG and RG-II). The combined US-IDY treatment was more effective in fragmentation of PRAG 287 288 and RG-II colloidal particles than the MW-IDY treatment. Furthermore, the US-IDY

treatment was the most effective in the solubilization of MP. The use of IDY alone wasthe least effective treatment in MP extraction.

#### 291 *3.3. Chromatic characteristics of wines*

Chromatic parameters (spectrophotometric and chromatographic data) are shown 292 293 in Table 4. As it can be observed, the addition of lees to the wine (IDY) only produced significant changes in tannin content, decreasing them. The application of ultrasounds or 294 295 microwaves (US and MW) produced a decrease in the colour intensity values of the wine, 296 especially when microwaves were used, associated with a decrease in anthocyanin concentration possibly caused by the oxidation produced during the treatment (García-297 298 Martín et al., 2016) and a decrease in tannins. The presence of lees in these wines (US-299 IDY and MW-IDY) increased the effect of ultrasounds and microwaves, affecting wine 300 colour, due to slight losses in anthocyanin content and, above all, to a more significant decrease in tannin content. This effect was also observed by Liu et al. (2016) and Del 301 302 Fresno et al. (2018) in whose studies ultrasounds were applied on red wine aged on lees, considering the possibility that this decrease was due to oxidation phenomena of 303 304 anthocyanins due to an increase of the dissolved oxygen concentration. Along with it, the agitation of the wine produced by the ultrasounds and the microwaves could have 305 306 generated a more intimate contact between the tannins and the yeast cell walls or other components such as the plasma membrane (Mekou-Nguela et al., 2015), favouring in part 307 308 their adsorption and precipitation. Also, as ultrasounds and microwaves increased the liberation of soluble polysaccharides (Table 3), they could also bind tannins and part of 309 310 these combinations could precipitate, especially those where high molecular weight 311 polysaccharides were involved, decreasing the tannin content in wine (Osete-Alcaraz et al., 2020). 312

Regarding the concentration of tannins measured by phloroglucinolysis, there 313 314 were no significant differences between untreated wine (C) and lees-treated wine (IDY), 315 contrary to what was observed by spectrophotometry, indicating that the tannins mainly 316 affected were those that were oxidized and therefore, no depolymerizable tannins, rather than those bound to anthocyanins, since no changes were observed in the values of 317 polymeric anthocyanins. Bautista-Ortín et al. (2014) also reported a higher adsorption of 318 319 oxidized tannins (with respect to non-oxidized tannins) by grape cell walls. With the 320 application of ultrasounds and microwaves, the tannin content showed a behaviour similar to that observed by the measurements performed by spectrophotometry. 321

With respect to tannin composition, the application of lees to the wine (IDY) did not produce changes in tannin composition. Contrary to our results, Mazauric and Salmon (2005) observed a decrease in epigallocatechin due to aging on the lees, effect observed in the present study when the ultrasounds were applied. Both, ultrasounds and microwaves led to an increase in the percentage of galloylation, and in presence of lees (US-IDY and MW-IDY) a more accentuated behaviour was observed in the variations of these parameters.

#### 329 *3.4. Volatile compounds of wines*

330 Volatile compounds formed during fermentation (acids, esters, lactones and benzene compounds) as well as varietal compounds (terpenes, norisoprenoids and C<sub>6</sub> 331 alcohols) were analysed in wines by GC-MS. Among the major alcohols (methanol, 332 propanol, isobutanol and isoamyl alcohols), isoamyl alcohols stand out for their higher 333 334 concentration, although they do not exceed levels that could negatively affect the aroma 335 of the wines. All the treatments used, alone or in combination (IDY, US, US-IDY, MW 336 and MW-IDY), caused a small decrease in propanol and isoamyl alcohols in the wines 337 (supplementary material). Liu et al., 2016 observed an opposite behaviour regarding

higher alcohols in wines treated with ultrasounds and lees depending on the yeast strainused.

Fig. 1 shows the total concentrations of the main groups of minor volatile 340 341 compounds. While the minor alcohols did not present appreciable changes in the wines, the total esters increased in the wines with lees (IDY), but they remained constant in the 342 other treatments with respect to the untreated wine (C). However, this behaviour is 343 344 variable depending on the ester. Ethyl lactate did not show significant differences between 345 the samples (supplementary material), however, fatty acid esters (ethyl butanoate, ethyl hexanoate, ethyl octanoate and ethyl decanoate) decreased considerably in the wines 346 347 treated with ultrasounds and microwaves, including those treated with lees (Fig. 1). The same effect was observed in the case of acetates, among which isoamyl acetate, with 348 banana aroma, stands out for its high concentration (supplementary material). These 349 350 compounds are of sensory relevance, influencing the fruity aromas of young wines mainly those from low-aromatic grape varieties (Ferreira, 2010). 351

Total fatty acids and lactones only increased in wines with lees (IDY), remaining constant in the rest of the wines. While the total benzene compounds, among which 2phenylethanol (with a rose aroma) was the most abundant, increased slightly in all the treated wines (IDY, US-IDY and MW-IDY) (Fig. 1). Although some compounds, such as guaiacol, 4-vinylguaiacol and syringol, which can be related to spicy or medicinal aromas, had the opposite effect, decreasing with the treatments (supplementary material).

Regarding the varietal compounds, total  $C_6$  alcohols showed an increase in all treated wines (Fig. 1), especially in the case of 1-hexanol, which was the main compound (supplementary material). These compounds have been linked to herbaceous aromas, although their concentrations in all wines were below their odour thresholds (Ferreira, 2010).

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Terpenes and norisoprenoids are compounds of sensory relevance in wines due to their floral and fruity aromas and low odour thresholds. Their tendency was towards a decrease in the samples treated with ultrasounds (US and US-IDY), without any significant changes in the rest of the treatments with respect to the control wine (C) (Fig. 1).

368 It has been described that the addition of less or IDY can affect the aroma of wines 369 in different ways. On the one hand, IDY can release volatile compounds into the medium 370 or soluble colloids that can affect their volatility (Comuzzo et al., 2012). On the other hand, the cell walls of the yeasts, specifically the mannoproteins, have the capacity to 371 372 adsorb wine compounds, including odorant molecules, as well as their glycosylated precursors (Pozo-Bayón et al., 2009). Additionally, the decrease in volatile compounds, 373 374 and especially esters, has been observed by several authors in wines treated with 375 ultrasounds and lees (Liu et al., 2016; Del Fresno et al., 2018). This effect may be due to 376 the increase in aeration produced during the ultrasound treatment, which can cause the 377 volatilization of some compounds or facilitate oxidative processes (García-Martín & Sun, 378 2013).

There are no references on the effect of microwaves on the volatile compounds of wines, although based on our results the effect could be similar to that observed in the ultrasound treatment.

382 *3.5. Sensory analysis* 

Fig. 2 shows the results of the sensory analysis of the wines in the form of a spider web. Wines treated with ultrasounds (US and US-IDY) had the lowest scores for floral and red fruit flavour attributes, in agreement with other authors that observed lower aromatic intensity and lower varietal character in wines treated with lees and ultrasounds (Liu et al., 2016; Del Fresno et al, 2018). This may be related to the lower content of volatile compounds in these wines, mainly esters and acetates. The microwave treatment
seems to have less effect on these attributes, while the lees treatment caused a small
increase in these attributes (IDY wines).

The herbaceous flavour decreased in all wines with lees, regardless of treatment. This attribute can be considered negative if it is excessive and it has been related to  $C_6$ alcohols. In our case an increase of  $C_6$  alcohols was observed in the IDY samples, so it is likely that other compounds associated with this attribute (sulfur compounds, pyrazines...) could have been adsorbed by the lees (Pozo-Bayón et al., 2009).

In addition, the tasters detected a slight toasted aroma in the ultrasound treated wines, which could influence the lower overall impression of these wines. This defect has been observed in wines treated with ultrasounds due to oxidation phenomena (Del Fresno et al. 2018). Del Fresno et al., 2019 carried out the ultrasound treatment of the lees prior to their incorporation into the wine and obtained wines that were positively valued by the tasters.

All the wines treated with lees (IDY, US-IDY and MW-IDY) had greater body, and their astringency was considerably reduced, especially in the MW-IDY wine. Bitterness was not detected in any of the wines treated with lees. This fact has been also observed by other authors in wines aged on lees due to the increase in polysaccharides and the decrease in tannins (Del Fresno et al., 2018, 2019). The best valued wines were those treated with lees (IDY) and with lees and microwaves (MW-IDY), which best preserved their floral and fruity flavours, reducing astringency and bitterness.

409 **4. Conclusions** 

The ultrasound and microwave treatments applied to the lees aging significantly improved the extraction of amino acids and polysaccharides from the yeast walls, being the combination of ultrasound and IDY the most effective treatment, in both cases. However, the US and MW treatments produced a decrease in color intensity,
anthocyanins, and tannins, which was not observed in the wines treated only with IDY.
This effect should be considered when these techniques are applied to wines with low
polyphenol content.

Moreover, it is important to highlight that the treatments employed, particularly US, resulted in a decrease in some volatile compounds with sensory relevance in wines. Consequently, US-treated wines had lower scores in some olfactory attributes, such as red berry and floral, which negatively influenced their overall score. On the other hand, wines aged with microwaves and lees were the best valued, showing sensory characteristics very similar to the IDY control wine but with less astringency.

423 Further research will be required to evaluate the influence of the grape variety and424 different ultrasonic and microwave treatment conditions on wines aged on lees.

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1	Ultrasound and microwave techniques for assisting ageing on lees of
2	Mencía-red wines
3	
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#### 23 ABSTRACT

24 Ageing on lees is a slow process that carries microbiological and economic risks in the wineries. This study evaluates the possibility of enhancing the extraction of different 25 compounds from the lees, using combined strategies, such as ultrasound (US) or 26 microwaves (MW) and the addition of inactive dry yeasts (IDY), to reduce the lees ageing 27 28 time. The complete chemical analysis of the wine was done, amino acids, polysaccharides, colour and volatile compounds, together with the sensory analysis. The 29 combined treatments increased the release of total polysaccharides, mannoproteins and 30 31 total monosaccharides in the wines, and some amino acids like proline. However, wines treated with US and MW, with and without lees, showed a decrease in tannins and colour 32 33 intensity, and in some volatile compounds like fatty acid esters, acetates and terpenes. 34 The wines treated with IDY and MW were the best valued for their floral and red berry 35 flavours and less astringency.

2

36

37 Keywords:

- 38 Lees ageing
- 39 Red wine
- 40 Ultrasounds
- 41 Microwaves
- 42 Volatile compounds
- 43 Non-volatile compounds

#### 44 1. Introduction

Ageing on lees is a technique that has traditionally been used in the production of sparkling and red wines, in which the wine is kept in contact with the yeast for several months after fermentation, favouring the release of compounds from the autolysis of yeasts and improving the organoleptic characteristics of wines (Martínez-Rodríguez & Pueyo, 2009).

50 Yeast autolysis is a slow process, so ageing on lees implies immobilization of the 51 wine in the cellar for a long time, increasing economic and microbiological hazards. The 52 use of inactive dry yeasts (IDY) has become widespread in the wine industry to replace 53 the yeast lees, avoiding the microbiological and organoleptic risks, and reducing the slow 54 and complex process that entails the yeast autolysis (Pozo-Bayón et al., 2009; Pérez-55 Serradilla & Luque de Castro, 2008).

56 Inactive dry yeasts are obtained by thermal inactivation and drying of the yeasts, that have grown in a medium with a high concentration of sugar under aerobic conditions 57 (Comuzzo et al., 2012). The most commercial inactive dry yeast (IDY) is made up of 58 insoluble compounds, as inactive yeasts, yeast membranes and walls, and a soluble 59 60 fraction formed by free cellular metabolites released after yeast lysis, as amino acids, peptides and proteins, polysaccharides, nucleotides, fatty acids, vitamins and minerals, 61 62 which can be released into the wine during the lees ageing process (López-Solís et al., 2017). In IDY preparations, mannoproteins (MP), from the cell wall of yeasts, are the 63 main components, showing a positive effect on wine sensory characteristics. In fact, MP 64 improve the aromatic profile (Del Barrio-Galán et al., 2012), reduce astringency and 65 bitterness, increase the body, structure, and roundness (Guadalupe et al., 2010; Poncet-66 Legrand et al., 2007) and influence the colour of red wines (Escot et al., 2001). 67

In order to accelerate the ageing process on the lees, in recent years emerging 68 69 technologies have been investigated to replace traditional stirring or "batonnage", increasing the efficiency of the process. Among them, the use of high-power ultrasound 70 71 (HPU) high frequency ultrasound (HPU) and microwave (MW) could be most promising 72 (Lui et al., 2016). The high-power ultrasound technique is based on the application of 73 mechanical sound waves with frequencies between 20 kHz and 10 100 MHz inducing acoustic cavitation in a liquid medium. The intense pressure and temperature gradients 74 accelerate chemical and physical changes, causing cell rupture and allowing a greater 75 matter transfer (Garcia-Martín. et al., 2013). While microwaves are non-ionizing 76 electromagnetic waves that cause an increase in energy in the matrix produced by 77 molecular friction, mainly by dipole rotation and ionic conduction, that can modify 78 molecular structures and favour the migration of compounds (Clodoveo et al., 2016). 79

Both techniques have been used in the wine industry for different purposes such as microbiological stabilization (Clodoveo et al., 2016) and to reduce the maceration time increasing the extraction of grape compounds (polysaccharides, volatile compounds and polyphenols) (Pérez-Porras et al., 2021, Oliver et al., 2021; Muñoz et al., 2021; Muñoz et al., 2022). Additionally, the application of US and MW in wines during the ageing period increase the aromatic intensity of wood attributes and accelerate the ageing process (García-Martín et al., 2013).

Ultrasounds promote yeast autolysis by improving polysaccharide extraction in model solutions and wine (Cacciola et al., 2013; del Fresno et al., 2018), while no significant effect is observed in the case of microwave treatment (Liu et al., 2016). However, the same authors detected a reduction in aroma compounds due to the use of US, and a decrease in total polyphenols, which can affect the sensory characteristics of wines (Liu et al., 2016; del Fresno et al., 2018). It seems that the conditions used in the treatment such as the type of yeast and the potency and duration of US treatment,
considerably affect the results obtained (García-Martín & Sun, 2013). No references have
been found on the effect that the use of microwaves in ageing on lees could have on the
volatile or phenolic compounds of the wine.

97 Therefore, the objective of this work is to obtain complete information on the 98 effect of US and MW treatments used as tools to accelerate the ageing of wine on lees, 99 using inactive dry yeasts (IDY), on the families of polysaccharides, the phenolic 100 composition and other wine components such as volatile compounds and amino acids on 101 which there is no prior information.

#### 102 2. Material and methods

#### 103 2.1. Experiment design

To carry out this experiment, a Mencía red wine produced at the "Instituto de la
Vid y el vino de Castilla-La Mancha" (IVICAM, Tomelloso, Ciudad Real, Spain) in the
2021 harvest was used.

The wine was distributed in 2 L flasks with a volume of 1.3 L per flask, forming 107 108 6 batches with different conditions, in triplicate. The first batch was kept without any treatment as a control (sample C), in the second batch (sample IDY) inactive dry yeast 109 Saccharomyces cerevisiae (Lallemand) was added at 0.3 g/L per flask. The third batch 110 (sample US) was treated with ultrasounds (Ultrasons-HD, modelo 3000868, J.P. Selecta 111 S.A., Barcelona, Spain), at 400 W and a frequency of 40 Hz for 1 hour a day, 5 days a 112 week. The fourth batch (sample US-IDY) was subjected to the same ultrasound treatment 113 together with 0.3 g/L of inactive dry yeast per flask. The fifth batch (sample MW) 114 underwent microwave treatment (LG MJ3965ACS, Madrid, Spain) at a power of 700 W 115 and a frequency of 2,450 Mhz, for 1 min 4 times/day, 5 days a week. And in the last batch 116 117 (MW-IDY) the previous microwave treatment was applied, together with inactive dry

yeast (0.3 g/L). All flasks were kept for 3 months at a temperature of 20°C, after whichthe wines were decanted and arranged for the different analyses.

120 2.2. Conventional analysis

121 Conventional analysis (alcoholic degree, pH, total and volatile acidity, glucose 122 and fructose, glycerol and organic acids (malic, lactic, citric, tartaric and succinic acids) 123 and proline were determined by official analytical methods established in the 124 International Organization of Vine and Wine (OIV, 2020).

125 2.2. Analysis of monosaccharides by GC–MS

126 Wine polysaccharides were recovered by precipitation after ethanolic dehydration as previously described (Guadalupe et al., 2012; Ayestarán et al., 2004). The 127 monosaccharide composition was determined by GC-MS of their trimethylsilyl-ester O-128 129 methyl glycosyl residues obtained after acidic methanolysis and derivatization as previously described (Guadalupe et al. 2012). GC was controlled by ChemStation 130 software and equipped with a 7653B automatic injector consisting of an Agilent 7890A 131 gas chromatograph (Agilent Technologies, Inc. Santa Clara, CA, USA) coupled to a 132 5975C VL quadrupole mass detector (MS). The content of each polysaccharide family 133 was estimated from the concentration of individual glycosyl residues which are 134 characteristic of structurally identified must and wine polysaccharides (Ayestarán et al., 135 136 2004).

#### 137 2.3. Analysis of amino acids by HPLC

The determination of amino acids was carried out using the method described by
Gómez-Alonso et al. (2007) with some modifications. Previously, the samples were
derivatized by mixing 1 mL of wine with 1.75 mL of 1 M borate buffer (pH=9), 30 μL of
diethylethoxymethylenemalonate (DEEMM) and 750 μL of methanol in a screw cap test

tube for 30 min in an ultrasound bath. To allow complete degradation of excess DEEMMand reagent by-products, the mixture heated at 70 °C for 2 h.

A HPLC equipment was used to perform the analyses with a diode array detector 144 145 (Agilent, Model 110+0; Agilent Technologies, Inc. Santa Clara, CA, USA). The chromatographic separation was carried out on an ACE HPLC column (5 C18-HL), 146 particle size of 5  $\mu$ m (250 mm  $\times$  2.1 mm), using a phase A: 25 mM acetate buffer, pH = 147 5.8 with 0.02% sodium azide; phase B: methanol and phase C: acetonitrile, and a flow 148 rate of 0.9 mL/ min. For detection, a photodiode array detector was used, monitored at 149 280 and 269 nm. Compounds were identified and quantified using the corresponding 150 151 standards (Sigma-Aldrich Chemie, Tres Cantos, Madrid, Spain).

152 2.4. Chromatic parameters

Spectrophotometric parameters: Colour intensity (CI) was calculated as the sum 153 of the absorbance at 620, 520 and 420 nm, following the method of Glories et al. (1984). 154 155 The hue was obtained by the ratio between the absorbance at 420 nm and at 520 nm. Total phenol index (TPI) was calculated with absorbance analysis at 280 nm wavelength. Total 156 and polymeric anthocyanins were determined following the method of Ho et al. (2001) 157 determining the absorbance at 520 nm. Total methylcellulose precipitable tannins were 158 determined by the method of Smith (2005) being calculated by absorbance difference at 159 280 nm. 160

161 Determination of tannins by the phloroglucinolysis method: The samples were 162 analysed following the method of Busse-Valverde et al. (2010) using a Waters 2695 163 HPLC system (Waters, Milford, MA, USA) coupled to a Waters 2996 photodiode array 164 detector, and an Atlantis dC18 column ( $250 \times 4.6$  mm, 5 µm packing) with a guard 165 column of the same material ( $20 \text{ mm} \times 4.6 \text{ mm}$ , 5 µm packing), kept at  $30^{\circ}$ C. A 166 water/formic acid mixture (98:2, v/v) was used as solvent A, and acetonitrile/solvent A 167 (80:20 v/v) as solvent B, maintaining a flow rate of 0.8 mL/min. The injection volume 168 was 10  $\mu$ L. The analyses made it possible to determine the total tannin content, the mean 169 apparent degree of polymerization (mDP), and the percentage of galloylation and the 170 percentage of the epigallocatechin tannic subunit.

171 2.5. Volatile compounds analysis by GC-MS

Major volatile compounds (methanol, propanol, isobutanol and isoamyl alcohols) 172 were analysed by direct injection, using a GC/MS Focus-ISQ chromatograph (Thermo 173 174 Scientic, Milan, Italy). <u>4 methyl-2-pentanol 2-pentanol 4-methyl</u> (41.5 mg/L) was added 175 to wine (1:1 (v/v)) as internal standard. One microliter  $(1 \mu L)$  of wine was injected in split mode (1/25) onto a BP-21 (SGE) column (60 m  $\times$  0.32 mm  $\times$  0.25 µm). Helium (1.2 176 177 mL/min) was used as carrier gas. Injector temperature was set at 195 °C and the oven temperature program was 32 °C (2 min)- 5 °C/min to 120 °C- 75 °C/min to 190 °C (18 178 179 min).

Minor volatile compounds were extracted by Solid Phase Extraction (SPE) before 180 181 de GC analysis using 500 mg styrene-divinylbenzene cartridges (Lichrolut EN Merck, KGaA, Darmstadt, Germany), previously conditioned with 10 mL of dichloromethane, 182 183 followed by 5 mL of methanol, and 10 mL of 10% (v/v) aqueous ethanol. Then, 100 mL of wine were passed through the cartridge together with 40 µL of 4-nonanol (1 g/L) as 184 internal standard. Hydrophilic compounds were removed using 50 mL of bidistilled Milli 185 186 Q Plus water and minor volatile compounds were eluted with 10 mL of dichloromethane. The extracts were concentrated under a nitrogen stream and stored at -20 °C until 187 analysis. One microliter (1 µL) of extract was injected in splitless mode (0.30 min) onto 188 an Agilent 6890 GC System accoupled to an Agilent 5973 Mass Detector using a DB-189 WAX column (60 m × 0.25 mm × 0.25 µm) (Agilent Technologies, Inc. Santa Clara, CA, 190 USA). Helium was used as carrier gas (1 mL/min). Column temperature: 70 °C (5 min) 191

rising at 1 °C/min to 90 °C (10 min) and then 2 °C/min to 210 °C (40 min). The injector
temperature was 250°C.

In both cases the MS worked in the electron impact mode (70 eV), the ion source 194 temperature was 230 °C and the scanning was made from 45 to 550 a.m.u. Identification 195 of the volatile compounds was executed by comparison with standards from Sigma-196 Aldrich (Tres Cantos, Madrid, Spain). Compounds for which it was not possible to find 197 volatile references was tentatively identified using NBS75K and NIST14 libraries. The 198 response factor for each volatile compound was determined by injecting commercially 199 available standards into the analysis system at an intermediate concentration typically 200 201 found in wines. An equal amount of internal standard was added to both the standards and the samples. In the case of compounds not commercially available the response factor 202 203 of compounds with similar chemical structures were used. Then, the different response 204 factors were used to calculate the concentration of each compound.

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#### 205 2.6. Sensory descriptive analysis

A panel made up of 8 expert tasters from the laboratory staff aged between 25 and 206 58 years old carried out the descriptive sensory analysis of the wines. The assessment 207 208 took place in a standard sensory analysis chamber (ISO 8589:2007) equipped with separate booths and wine-tasting glasses (ISO 3591:1997). Previously the judges 209 individually generated the sensory terms that best described the samples, agreeing on the 210 following descriptors: red berry, herbaceous and floral flavours. Likewise, bitterness, 211 astringency, body and overall impression were evaluated. The panellists used a 10 cm 212 unstructured scale to rate the intensity of each attribute. The left extreme of the scale 213 214 indicated a null intensity of the descriptor and the right extreme the maximum value.

215 2.7. Statistical analysis

The statistical analysis was executed using the IBM SPSS statistics v.24.0 for Windows statistical package. Data set was analysed with the Student–Newman–Keul's test to find significant differences between samples.

219 3. Results and discussion

220 3.1. Basic chemical composition and amino acids of wines

In general, the basic composition of the wine (Table 1) was little affected by the treatments carried out, but a slight increase in volatile acidity and acetic acid was observed in the samples treated with ultrasounds and lees (US, US-IDY and IDY) as has been described by other authors (García-Martín et al., 2016). Moreover, a small decrease in the content of succinic acid in the samples treated with microwaves (MW and MW-IDY) was observed, without any changes in the rest of the acids.

Table 2 shows the amino acids and ammonium concentration in the control and treated wines. The main amino acid in all wines was proline since it is the most abundant in the must and is also not usually metabolized by yeasts (Martínez-Rodríguez & Pueyo 2009). Alanine also stood out for its higher content, while the rest of the amino acids were found in small amounts.

Amino acids are generally released into the medium at the end of fermentation 232 due to yeast autolysis. Guilloux-Benatier and Chassagne (2003), showed that the 233 treatment of wine with inactive dry yeasts produced a greater release of amino acids due 234 to the higher content of these compounds in the cells when they are grown in an aerobic 235 medium. In our case, the amino acid most affected by the lees treatment was proline, 236 which obtained a significant increase in all wines treated with lees (IDY, US-IDY and 237 MW-IDY) compared to the control wine without treatment (C). The wine treated with US 238 and lees (US-IDY) presented the highest amounts of proline, while the MW-IDY wine 239 did not differ from the IDY control. 240

The treatment on lees (IDY) also caused a slight increase in other amino acids (phenylalanine, ornithine, lysine, ammonium and glutamic acid + glutamine), compared to the non-treated wine (C). In wines treated with ultrasounds and lees (US-IDY) this increase was maintained and some amino acids such as  $\beta$ -alananine+arginine, methionine or cysteine increased additionally, while in wines treated with microwaves and lees (MW-IDY) there was lower changes and some amino acids decreased with respect to the IDY wine.

The treatments with ultrasounds and microwaves without lees addition (US and MW) also produced an increase in proline with respect to the control (C), especially in the case of the ultrasound treatment. The same happened with other amino acids (histidine, GABA, isoleucine), although not as noticeably, showing that these treatments by themselves can affect the amino acid content of the wine, probably because they cause their release from peptides or mannoproteins present in the wine.

254 3.2. Wine polysaccharides

255 When comparing control wines (without IDY addition), ultrasounds significantly increased the total monosaccharide content (TMS) and the total polysaccharides families 256 257 (TPF) (Table 3). Concretely, it was observed a significant increase of the constituent monosaccharides of pectic polysaccharides as galactose, arabinose, rhamnose and 258 259 glucuronic acid, which are the components of the pectic polysaccharides rich in arabinose and galactose (PRAG), galacturonans, galactans, arabinogalactans, arabinogalactan 260 proteins and arabinans (Vidal et al., 2003). The content of 2-O-methyl-xylose, 2-O-261 methyl-fucose, and Kdo also increased. These rare sugars are markers for the presence of 262 the pectic polysaccharides RG-II (Pérez et al., 2003; Vidal et al. 2003); the concentration 263 264 of rhamnose and fucose increased as they are components of RG-I or RG-II in the case of rhamnose (Martínez-Lapuente et al., 2018), or RG-II in the case of fucose (Pellerin et 265

al., 1996). The content of galacturonic acid, principal constituent of homogalacturonans 266 267 (HG) (Ayestarán et al., 2004), also increased. Mannose content in wines, which is attributed to mannoproteins (MP) from yeast cell walls (Guadalupe & Ayestarán, 2007; 268 269 Martínez-Lapuente et al., 2018), was significantly higher in control wines treated with 270 ultrasounds (US). These results showed that ultrasounds broke down the colloidal particles of the soluble pectic polysaccharides and the cell walls of the residual population 271 of the yeast that were in the wine. However, this effect was not observed in control wines 272 treated with microwaves and untreated, as they did not show significant differences in 273 TMS, MP, PRAG, RG-II and TPF. 274

275 IDY treatment combined with ultrasounds and microwaves increased the content of TMS, TPF, MP, RG-II and PRAG compared to the control wines (US and MW) (Table 276 3). The combined treatment fragmented the soluble colloidal particles of galacturonans, 277 278 galactans, arabinogalactans, arabinogalactan proteins and arabinans, which are the pectic polysaccharides of grapes. These results have not been described in the literature. In 279 280 addition, the combined treatment favoured with greater intensity the solubility of MP 281 from the cell walls of residual yeast in the wine and from the insoluble composition of IDY (inactive yeast and yeast walls). However, the only application of IDY (IDY) did 282 not significantly increase the MP content in the wine compared to the control wine (C). 283 284 But the IDY wines had similar glucose content, used to estimate the glucan content of the yeast cell walls (Pérez-Magariño et al., 2015). No significant differences in TMS. MP, 285 PRAG, RG-II and TSP between IDY and C wines were observed. This result suggested 286 that IDY does not interact with major wine pectic polysaccharides (PRAG and RG-II). 287 The combined US-IDY treatment was more effective in fragmentation of PRAG 288

and RG-II colloidal particles than the MW-IDY treatment. Furthermore, the US-IDY

290 treatment was the most effective in the solubilization of MP. The use of IDY alone was

291 the least effective treatment in MP extraction.

292 *3.3. Chromatic characteristics of wines* 

293 Chromatic parameters (spectrophotometric and chromatographic data) are shown 294 in Table 4. As it can be observed, the addition of lees to the wine (IDY) only produced significant changes in tannin content, decreasing them. The application of ultrasounds or 295 microwaves (US and MW) produced a decrease in the colour intensity values of the wine, 296 especially when microwaves were used, associated with a decrease in anthocyanin 297 concentration possibly caused by the oxidation produced during the treatment (García-298 Martín et al., 2016) and a decrease in tannins. The presence of lees in these wines (US-299 300 IDY and MW-IDY) increased the effect of ultrasounds and microwaves, affecting wine colour, due to slight losses in anthocyanin content and, above all, to a more significant 301 decrease in tannin content. This effect was also observed by Liu et al. (2016) and Del 302 303 Fresno et al. (2018) in whose studies ultrasounds were applied on red wine aged on lees, 304 considering the possibility that this decrease was due to oxidation phenomena of anthocyanins due to an increase of the dissolved oxygen concentration. Along with it, the 305 agitation of the wine produced by the ultrasounds and the microwaves could have 306 307 generated a more intimate contact between the tannins and the yeast cell walls or other components such as the plasma membrane (Mekou-Nguela et al., 2015), favouring in part 308 309 their adsorption and precipitation. Also, as ultrasounds and microwaves increased the liberation of soluble polysaccharides (Table 3), they could also bind tannins and part of 310 these combinations could precipitate, especially those where high molecular weight 311 312 polysaccharides were involved, decreasing the tannin content in wine (Osete-Alcaraz et al., 2020). 313

Regarding the concentration of tannins measured by phloroglucinolysis, there 314 315 were no significant differences between untreated wine (C) and lees-treated wine (IDY), contrary to what was observed by spectrophotometry, indicating that the tannins mainly 316 affected were those that were oxidized and therefore, no depolymerizable tannins, rather 317 than those bound to anthocyanins, since no changes were observed in the values of 318 polymeric anthocyanins. Bautista-Ortín et al. (2014) also reported a higher adsorption of 319 oxidized tannins (with respect to non-oxidized tannins) by grape cell walls. With the 320 application of ultrasounds and microwaves, the tannin content showed a behaviour similar 321 to that observed by the measurements performed by spectrophotometry. 322

With respect to tannin composition, the application of lees to the wine (IDY) did not produce changes in tannin composition. Contrary to our results, Mazauric and Salmon (2005) observed a decrease in epigallocatechin due to aging on the lees, effect observed in the present study when the ultrasounds were applied. Both, ultrasounds and microwaves led to an increase in the percentage of galloylation, and in presence of lees (US-IDY and MW-IDY) a more accentuated behaviour was observed in the variations of these parameters.

330 *3.4. Volatile compounds of wines* 

331 Volatile compounds formed during fermentation (acids, esters, lactones and benzene compounds) as well as varietal compounds (terpenes, norisoprenoids and  $\frac{C_6 C_6}{C_6}$ 332 333 alcohols) were analysed in wines by GC-MS. Among the major alcohols (methanol, propanol, isobutanol and isoamyl alcohols), isoamyl alcohols stand out for their higher 334 concentration, although they do not exceed levels that could negatively affect the aroma 335 of the wines. All the treatments used, alone or in combination (IDY, US, US-IDY, MW 336 and MW-IDY), caused a small decrease in propanol and isoamyl alcohols in the wines 337 (supplementary material). Liu et al., 2016 observed an opposite behaviour regarding 338

higher alcohols in wines treated with ultrasounds and lees depending on the yeast strainused.

Fig. 1 shows the total concentrations of the main groups of minor volatile 341 compounds. While the minor alcohols did not present appreciable changes in the wines, 342 the total esters increased in the wines with lees (IDY), but they remained constant in the 343 other treatments with respect to the untreated wine (C). However, this behaviour is 344 variable depending on the ester. Ethyl lactate did not show significant differences between 345 the samples (supplementary material), however, fatty acid esters (ethyl butanoate, ethyl 346 hexanoate, ethyl octanoate and ethyl decanoate) decreased considerably in the wines 347 348 treated with ultrasounds and microwaves, including those treated with lees (Fig. 1). The same effect was observed in the case of acetates, among which isoamyl acetate, with 349 350 banana aroma, stands out for its high concentration (supplementary material). These 351 compounds are of sensory relevance, influencing the fruity aromas of young wines mainly those from low-aromatic grape varieties (Ferreira, 2010). 352

Total fatty acids and lactones only increased in wines with lees (IDY), remaining 353 354 constant in the rest of the wines. While the total benzene compounds, among which 2phenylethanol (with a rose aroma) was the most abundant, increased slightly in all the 355 treated wines (IDY, US-IDY and MW-IDY) (Fig. 1). Although some compounds, such 356 357 as guaiacol, 4-vinylguaiacol and syringol, which can be related to spicy or medicinal aromas, had the opposite effect, decreasing with the treatments (supplementary material). 358 Regarding the varietal compounds, total  $\frac{C_6}{C_6}$  alcohols showed an increase in all 359 treated wines (Fig. 1), especially in the case of 1-hexanol, which was the main compound 360 (supplementary material). These compounds have been linked to herbaceous aromas, 361 although their concentrations in all wines were below their odour thresholds (Ferreira, 362 2010). 363

Terpenes and norisoprenoids are compounds of sensory relevance in wines due to their floral and fruity aromas and low odour thresholds. Their tendency was towards a decrease in the samples treated with ultrasounds (US and US-IDY), without any significant changes in the rest of the treatments with respect to the control wine (C) (Fig. 1).

It has been described that the addition of less or IDY can affect the aroma of wines 369 in different ways. On the one hand, IDY can release volatile compounds into the medium 370 or soluble colloids that can affect their volatility (Comuzzo et al., 2012). On the other 371 hand, the cell walls of the yeasts, specifically the mannoproteins, have the capacity to 372 373 adsorb wine compounds, including odorant molecules, as well as their glycosylated precursors (Pozo-Bayón et al., 2009). Additionally, the decrease in volatile compounds, 374 and especially esters, has been observed by several authors in wines treated with 375 376 ultrasounds and lees (Liu et al., 2016; Del Fresno et al., 2018). This effect may be due to the increase in aeration produced during the ultrasound treatment, which can cause the 377 378 volatilization of some compounds or facilitate oxidative processes (García-Martín & Sun, 2013). 379

There are no references on the effect of microwaves on the volatile compounds of
wines, although based on our results the effect could be similar to that observed in the
ultrasound treatment.

383 *3.5. Sensory analysis* 

Fig. 2 shows the results of the sensory analysis of the wines in the form of a spider web. Wines treated with ultrasounds (US and US-IDY) had the lowest scores for floral and red fruit flavour attributes, in agreement with other authors that observed lower aromatic intensity and lower varietal character in wines treated with lees and ultrasounds (Liu et al., 2016; Del Fresno et al, 2018). This may be related to the lower content of volatile compounds in these wines, mainly esters and acetates. The microwave treatment
seems to have less effect on these attributes, while the lees treatment caused a small
increase in these attributes (IDY wines).

The herbaceous flavour decreased in all wines with lees, regardless of treatment. This attribute can be considered negative if it is excessive and it has been related to C6  $\underline{C}_6$  alcohols. In our case an increase of C6  $\underline{C}_6$  alcohols was observed in the IDY samples, so it is likely that other compounds associated with this attribute (sulfur compounds, pyrazines...) could have been adsorbed by the lees (Pozo-Bayón et al., 2009).

In addition, the tasters detected a slight toasted aroma in the ultrasound treated wines, which could influence the lower overall impression of these wines. This defect has been observed in wines treated with ultrasounds due to oxidation phenomena (Del Fresno et al. 2018). Del Fresno et al., 2019 carried out the ultrasound treatment of the lees prior to their incorporation into the wine and obtained wines that were positively valued by the tasters.

All the wines treated with lees (IDY, US-IDY and MW-IDY) had greater body, and their astringency was considerably reduced, especially in the MW-IDY wine. Bitterness was not detected in any of the wines treated with lees. This fact has been also observed by other authors in wines aged on lees due to the increase in polysaccharides and the decrease in tannins (Del Fresno et al., 2018, 2019). The best valued wines were those treated with lees (IDY) and with lees and microwaves (MW-IDY), which best preserved their floral and fruity flavours, reducing astringency and bitterness.

410 4. Conclusions

The treatments applied to the wines caused few changes in their basic
composition, however, significant changes were found in other parameters studied. In the
case of amino acids, the most affected was proline, which increased significantly in all

414	treated wines, especially in wines treated with ultrasounds and lees (US-IDY). Inactive
415	dry yeast treatment combined with ultrasounds and microwaves also increased the content
416	of monosaccharide content (TMS), total polysaccharides families (TPF), MP, and PRAG
417	compared to the control wines (US and MW), while the use of IDY alone was the least
418	effective treatment in MP extraction. On the other hand, the ultrasound and microwave
419	treatments applied to the wines produced a decrease in colour intensity and anthocyanins,
420	as well as in tannins, which was more pronounced with the addition of lees, especially in
421	polymerized tannins. In addition, both treatments (US and MW) also produced a decrease
422	in fatty acid esters and acetates, while varietal compounds such as terpenes,
423	norisoprenoids and C6 alcohols were less affected. Wines treated only with lees (IDY)
424	had the highest concentrations of total esters, fatty acids and lactones. From the sensory
425	point of view, IDY addition reduced the sensation of astringency and bitterness in all
426	cases, being wines aged with microwaves and IDY the best valued.
427	Although in most cases the ultrasound and microwave treatments accentuated the
428	changes that usually occur in ageing on lees, it should be noted that these treatments could
429	cause important changes in the chemical composition of the wines, which in the case of
430	ultrasound treatment had a negative influence on its sensory evaluation.
431	The ultrasound and microwave treatments applied to the lees aging significantly
432	improved the extraction of amino acids and polysaccharides from the yeast walls, being
433	the combination of ultrasound and IDY the most effective treatment, in both cases.
434	However, the US and MW treatments produced a decrease in color intensity,
435	anthocyanins, and tannins, which was not observed in the wines treated only with IDY.
436	This effect should be considered when these techniques are emplied to wines with low
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438	Moreover, it is important to highlight that the treatments employed, particularly	
439	US, resulted in a decrease in some volatile compounds with sensory relevance in wines.	
440	Consequently, US-treated wines had lower scores in some olfactory attributes, such as	
441	red berry and floral, which negatively influenced their overall score. On the other hand,	
442	wines aged with microwaves and lees were the best valued, showing sensory	
443	characteristics very similar to the IDY control wine but with less astringency.	
444	Further research will be required to evaluate the influence of the grape variety and	
445	different ultrasonic and microwave treatment conditions on wines aged on lees.	Forma
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#### **Declaration of interests**

⊠The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

## **Author CRediT Role Statement**

**R. Muñoz-García:** formal analysis (equal); **L. Martínez-Lapuente:** formal analysis (equal); **Z. Guadalupe:** methodology (equal); funding acquisition (equal); **B. Ayestarán:** methodology (equal); writing—original draft preparation (equal); funding acquisition (equal); **L. Marchante:** formal analysis (equal); **M.C. Díaz-Maroto:** writing—original draft preparation (equal); writing—review and editing (equal); project administration (equal); funding acquisition (equal); **P. Pérez-Porras:** formal analysis (equal); **A.B. Bautista-Ortín:** methodology (equal); project administration (equal); **E. Gómez-Plaza:** conceptualization (equal); project administration (equal); funding acquisition (equal); **M. Soledad Pérez-Coello:** conceptualization (equal); writing—original draft preparation (equal); writing—review and editing (equal); project administration (equal); writing—original draft preparation (equal); writing—review and editing (equal); project administration (equal); funding acquisition (equal); **M. Soledad Pérez-Coello:** conceptualization (equal); writing—original draft preparation (equal); writing—review and editing (equal); project administration (equal); writing—original draft preparation (equal); writing—review and editing (equal); project administration (equal); writing—original draft preparation (equal); writing—review and editing (equal);

Parameter	С	IDY	US	US-IDY	MW	MW-IDY
Alcoholic strength (% v/v)	$14.56\pm0.18$	$14.75\pm0.21$	$14.37\pm0.18$	$14.17\pm0.29$	$14.76\pm0.42$	$14.47\pm0.17$
Total acidity (g/L)	$2.83\pm0.07^{\rm a}$	$2.94\pm0.05^{a}$	$3.16\pm0.03^{a}$	$3.17\pm0.06^{\text{a}}$	$2.91\pm0.01^{\rm a}$	$2.90\pm0.01^{a}$
pH	$4.10\pm0.03$	$4.11\pm0.01$	$4.05\pm0.01$	$4.04\pm0.01$	$4.09\pm0.01$	$4.11\pm0.05$
Volatile acidity (g/L acetic)	$0.21\pm0.01^{\rm a}$	$0.31\pm0.01^{\text{b}}$	$0.29\pm0.02^{\text{b}}$	$0.26\pm0.01^{\text{b}}$	$0.21\pm0.02^{\rm a}$	$0.20\pm0.02^{\rm a}$
Glucose + Fructose (g/L)	$0.05\pm0.01$	$0.06\pm0.01$	$0.15\pm0.03$	$0.17\pm0.02$	$0.22\pm0.14$	$0.11\pm0.07$
Acetic acid (g/L)	$0.17\pm0.05^{\rm a}$	$0.31\pm0.03^{\text{b}}$	$0.28\pm0.02^{\text{b}}$	$0.29\pm0.02^{\text{b}}$	$0.22\pm0.01^{\rm a}$	$0.21\pm0.02^{\rm a}$
Malic acid (g/L)	$0.04\pm0.01$	$0.04\pm0.01$	$0.02\pm0.01$	$0.04\pm0.01$	$0.05\pm0.01$	$0.06\pm0.02$
Lactic acid (g/L)	$1.02\pm0.05^{\rm a}$	$1.06\pm0.02^{a}$	$1.16\pm0.03^{a}$	$1.24\pm0.04^{\rm a}$	$1.10\pm0.03^{\rm a}$	$1.07\pm0.01^{\rm a}$
Citric acid (g/L)	$0.11\pm0.01^{\mathtt{a}}$	$0.14\pm0.01^{a}$	$0.12\pm0.01$ $^{\text{a}}$	$0.13\pm0.01$ $^{\text{a}}$	$0.30\pm0.20^{\text{b}}$	$0.18\pm0.01$ $^{\text{a}}$
Tartaric acid (g/L)	$1.47\pm0.12$	$1.61\pm0.07$	$1.63\pm0.07$	$1.57\pm0.10$	$1.65\pm0.27$	$1.57\pm0.06$
Succinic acid (g/L)	$0.91\pm0.02^{\text{b}}$	$0.91\pm0.03^{\text{b}}$	$0.91\pm0.02^{\text{b}}$	$0.93\pm0.01^{\text{b}}$	$0.80\pm0.17~^{a.b}$	$0.71\pm0.01^{\rm a}$
Glycerol (g/L)	$7.67\pm0.21$	$7.65\pm0.15$	$7.69\pm0.13$	$7.72\pm0.05$	$7.84 \pm 0.25$	$7.49 \pm 0.10$

**Table 1.** Basic chemical composition parameters of control and treated wines (mean  $\pm$  SD).

Different letters in the same row indicate significant differences between samples ( $p \le 0.05$ ). C: wines without any treatment; US: wines treated with

ultrasounds; US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

Compound	С	IDY	US	US-IDY	MW	MW-IDY
Aspartic acid	$6.61\pm0.16$	$6.42\pm0.29$	$6.10\pm1.08$	$7.98 \pm 0.56$	$6.26 \pm 1.42$	$6.63\pm0.99$
Glutamic acid + Glutamine	$7.27\pm0.25^{\rm a}$	$9.85\pm0.54^{\rm c}$	$7.72\pm0.25^{a,b}$	$10.34\pm0.31^{\rm c}$	$8.21\pm0.57^{b}$	$12.57\pm0.48^{\text{d}}$
Serine	$1.48\pm0.14^{\rm a}$	$1.55\pm0.10^{\rm a}$	$2.84\pm0.54^{\rm c}$	$2.13\pm0.05^{\text{b}}$	$1.44\pm0.01^{\rm a}$	$1.29\pm0.06^{\rm a}$
Histidine	$10.25\pm0.13^{\rm a}$	$11.43\pm0.38^{\text{b,c}}$	$12.06\pm0.48^{\rm c}$	$12.02\pm0.28^{\rm c}$	$10.94\pm0.55^{\text{b}}$	$11.17\pm0.08^{\text{b}}$
Glycine	$7.47\pm0.02$	$7.55\pm0.15$	$7.42\pm0.42$	$7.78\pm0.06$	$7.37\pm0.16$	$7.45\pm0.04$
Threonine	$3.01\pm0.13^{\rm a}$	$3.95\pm0.34^{\text{b}}$	$4.42\pm0.26^{\rm b}$	$4.32\pm0.48^{\text{b}}$	$3.13\pm0.34^{\rm a}$	$2.91\pm0.18^{\rm a}$
$\beta$ -Alanine + Arginine	$23.17\pm0.54^{\text{b}}$	$8.48\pm0.92^{\rm a}$	$27.30 \pm 1.26^{\text{c,d}}$	$27.87 \pm 0.92^{\text{d}}$	$26.19\pm0.21^{\text{c,d}}$	$25.60\pm0.62^{\rm c}$
GABA	$15.91\pm0.39^{\rm a}$	$19.12\pm0.82^{\text{b,c}}$	$19.02\pm1.09^{\text{b,c}}$	$19.77\pm0.97^{\rm c}$	$17.51\pm0.53^{\text{b}}$	$18.16\pm0.27^{\text{b,c}}$
α-Alanine	$184.61\pm6.96^{\text{b}}$	$177.33\pm7.41^{\mathrm{a,b}}$	$173.47\pm7.24^{\mathrm{a,b}}$	$176.79\pm4.26^{a,b}$	$168.38\pm2.51^a$	$170.69\pm3.96^{a,b}$
Tyrosine	$5.51\pm0.88$	$6.17\pm0.99$	$7.23 \pm 0.64$	$6.70 \pm 1.83$	$5.89 \pm 0.85$	$5.31\pm0.02$
Ammonium	$2.45\pm0.43^{a,b}$	$5.11\pm0.35^{\rm c}$	$2.74\pm0.24^{\text{b}}$	$2.68\pm0.32^{\text{b}}$	$2.10\pm0.25^{a,b}$	$1.95\pm0.09^{a}$
Valine	$3.18\pm0.47^{\rm a}$	$4.09\pm0.55^{\text{a,b}}$	$4.34\pm0.17^{\text{b}}$	$4.23\pm0.71^{a,b}$	$3.93\pm0.02^{a,b}$	$3.26\pm0.07^{a,b}$
Methionine	$2.39\pm0.15^{\text{b}}$	$1.32\pm0.18^{\rm a}$	$2.11\pm0.24^{\text{b}}$	$3.06\pm0.31^{\rm c}$	$3.35\pm0.19^{\rm c}$	$335\pm0.13^{\rm c}$
Cysteine	$2.57\pm0.18^{\rm a}$	$3.45\pm0.43^{\text{b}}$	$4.30\pm0.25^{\rm c}$	$4.46\pm0.02^{\rm c}$	$3.60\pm0.35^{\text{b}}$	$2.55\pm0.16^{\rm a}$
Isoleucine	$2.56\pm0.28^{\rm a,b}$	$3.60\pm0.08^{\text{b,c}}$	$4.20 \pm 1.22^{\text{c,d}}$	$4.92\pm0.28^{\text{d}}$	$2.51\pm0.57^{a,b}$	$1.61\pm0.08^{a}$
Tryptophan	$0.57\pm0.03$	$0.62\pm0.02$	$0.70\pm0.11$	$0.63\pm0.11$	$0.58\pm0.10$	$0.70\pm0.15$
Leucine	$3.35\pm0.07^{\text{b,c}}$	$3.87\pm0.81^{\text{b,c}}$	$4.60\pm0.20^{\rm c}$	$4.49\pm0.74^{\rm c}$	$3.02\pm0.86^{\text{b}}$	$1.94\pm0.06^{\rm a}$
Phenylalanine	$3.79\pm0.03^{\text{b}}$	$5.42\pm0.74^{\rm c}$	$4.43\pm0.12^{\text{b}}$	$4.23\pm0.79^{\text{b}}$	$3.82\pm0.13^{\text{b}}$	$2.08\pm0.07^{\rm a}$
Ornithine	$13.06\pm2.50^{a}$	$37.88 \pm 1.80^{b}$	$12.49\pm0.36^{\rm a}$	$12.42\pm0.84^{\rm a}$	$11.48 \pm 1.14^{a}$	$10.44\pm0.28^{\rm a}$
Lysine	$1.24\pm0.17^{\rm a}$	$2.02\pm0.06^{\text{b}}$	$2.93\pm0.35^{\rm c}$	$3.35\pm0.23^{\text{d}}$	$1.13\pm0.11^{a}$	$1.04\pm0.01^{\rm a}$
Proline	$409.68\pm87.59^{\mathrm{a}}$	$610.70 \pm 37.43^{b}$	$561.15\pm10.80^{\text{b}}$	$852.25 \pm 60.52^{c}$	$576.91 \pm 10.35^{\text{b}}$	$612.39\pm39.81^{\text{b}}$

Table 2. Mean concentration (mg/L) and standard deviation of amino acids in control and treated wines.

Different letters in the same row indicate significant differences between samples ( $p \le 0.05$ ). C: wines without any treatment; US: wines treated with

ultrasounds; US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

Parameter	С	IDY	US	US-IDY	MW	MW-IDY
2-OMeFuc	$4.42\pm0.33^{ab}$	$3.75\pm0.41^{\rm a}$	$7.44\pm0.34^{\rm c}$	$8.38\pm0.73^{\text{d}}$	$4.64\pm0.02^{\text{b}}$	$7.31\pm0.05^{\rm c}$
2-OMeXyl	$2.37\pm0.09^{ab}$	$1.98\pm0.17^{\rm a}$	$3.84\pm0.03^{\rm c}$	$4.23\pm0.53^{\rm c}$	$2.45\pm0.02^{\text{b}}$	$3.84\pm0.07^{\rm c}$
Api	$1.45\pm0.12^{\rm a}$	$1.40\pm0.20^{\rm a}$	$1.38\pm0.27^{\rm a}$	$2.66\pm0.27^{b}$	$1.41\pm0.18^{\rm a}$	$1.67\pm0.66^{\rm a}$
Kdo	$0.85\pm0.04^{\text{b}}$	$0.51\pm0.04^{\rm a}$	$1.42\pm0.08^{\text{d}}$	$1.47\pm0.05d$	$1.17\pm0.15^{\rm c}$	$1.44\pm0.07^{\text{d}}$
Ara	$67.18\pm3.54^{a}$	$59.65\pm3.61^{\mathrm{a}}$	$109.89 \pm 6.52^{\circ}$	$127.43 \pm 12.70^{\rm d}$	$68.32 \pm 1.19^{a}$	$98.17\pm3.44^{\text{b}}$
Gal	$314.45\pm6.64^a$	$293.68 \pm 15.03^{a}$	$428.36\pm5.29^{b}$	$513.01 \pm 46.63^{\circ}$	$306.20\pm7.36^{a}$	$440.24 \pm 3.65^{b}$
GalA	$66.74 \pm 1.40^{\text{b}}$	$42.22\pm1.92^{\rm a}$	$105.44 \pm 4.29^{e}$	$116.97 \pm 1.12^{\rm f}$	$78.59\pm0.39^{\rm c}$	$94.01\pm6.21^{\text{d}}$
GluA	$15.01\pm0.54^{\text{b}}$	$12.25\pm0.09^{\rm a}$	$20.03 \pm 1.34^{\circ}$	$25.39\pm2.09^{\rm d}$	$15.72 \pm 1.26^{\text{b}}$	$21.08 \pm 1.96^{\rm c}$
Rha	$28.37\pm0.10^{\rm a}$	$27.27 \pm 1.96^{\rm a}$	$48.91\pm3.72^{\text{b}}$	$56.10\pm8.08^{\circ}$	$30.66\pm0.16^{a}$	$43.25\pm1.77^{\text{b}}$
Fuc	$1.66\pm0.00^{\rm a}$	$1.60 \pm 0.11^{a}$	$2.37\pm0.14^{\text{b}}$	$2.69\pm0.26^{\rm c}$	$1.75\pm0.01^{\rm a}$	$2.29\pm0.00^{\text{b}}$
Xyl	$8.51 \pm 1.00^{ab}$	$6.55\pm0.27^{\rm a}$	$10.13 \pm 1.59^{\text{b}}$	$9.68\pm0.21^{\text{b}}$	$9.05 \pm 1.11^{ab}$	$8.37\pm2.76^{ab}$
Glc	$44.18\pm0.62^{\rm c}$	$45.91\pm0.72^{\rm c}$	$18.55\pm3.02^{ab}$	$19.70\pm1.43^{b}$	$46.14\pm0.41^{\circ}$	$15.87 \pm 1.45^{\rm a}$
Man	$200.31\pm4.05^{\mathrm{a}}$	$195.91\pm3.73^{a}$	$246.92\pm3.00^{b}$	$303.39 \pm 31.87^{d}$	$193.11\pm8.78^{\mathrm{a}}$	$273.85 \pm 6.20^{\circ}$
TMS	$755.52\pm5.88^{\mathrm{a}}$	$692.70 \pm 24.35^{\rm a}$	$1004.71 \pm 6.87^{b}$	$1191.14 \pm 105.99^{\circ}$	$759.24\pm16.40^{\mathrm{a}}$	$1011.43 \pm 17.55^{b}$
MP	$250.39\pm5.06^{\mathrm{a}}$	$244.89\pm4.66^{\mathrm{a}}$	$308.65 \pm 3.75^{b}$	$379.24 \pm 39.84^{d}$	$241.38 \pm 11.09^{\mathrm{a}}$	$342.32 \pm 7.75^{\circ}$
PRAG	$462.78 \pm 10.95^{a}$	$431.14 \pm 21.65^{\rm a}$	$641.98\pm1.78^{b}$	$767.75 \pm 70.50^{\circ}$	$451.93\pm10.85^{\mathrm{a}}$	$646.51\pm7.88^{b}$
RG-II	$217.38\pm13.68^{ab}$	$183.62 \pm 18.89^{a}$	$361.51 \pm 12.05^{\circ}$	$403.65 \pm 40.58^{d}$	$227.19\pm1.25^{\text{b}}$	$357.22 \pm 4.09^{\circ}$
HG	$26.95 \pm 1.57^{\text{b}}$	$8.45\pm5.66^{\rm a}$	$38.40 \pm 1.21^{\circ}$	$41.54\pm5.50^{\rm c}$	$36.77\pm0.23^{\rm c}$	$28.15\pm5.74^{\mathrm{b}}$
TSP	$957.50 \pm 39.53^{\rm a}$	$868.11 \pm 7.74^{a}$	$1350.55 \pm 145.43^{b}$	$1592.18 \pm 23.43^{\circ}$	$957.27\pm25.46^{\mathrm{a}}$	$1374.20 \pm 281.56^{b}$

**Table 3.** Monosaccharide composition (mg/L) and polysaccharides families (mg/L) in control and treated wines (mean  $\pm$  SD).

2-OMeFuc: 2-O-CH<sub>3</sub>-fucose, 2-OMeXyl: 2-O-CH<sub>3</sub>-xylose, Api: apiose, Kdo: 2-keto-3-deoxyoctonate ammonium salt, Ara: arabinose, Gal: galactose, GalA: galacturonic

acid, GluA: glucuronic acid, Rha: rhamnose, Fuc: fucose, Xyl: xylose, Glc: glucose, Man: mannose, TMS: total monosaccharides, MP: mannoproteins, PRAG: polysaccharides rich in arabinose and galactose, RG-II: rhamnogalacturonans type II, HG: homogalacturonans, TSP: total soluble polysaccharides. Different letters in the same row indicate significant differences between samples ( $p \le 0.05$ ). C: wines without any treatment; US: wines treated with ultrasounds; US-IDY: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

Parameter	С	IDY	C-US	US-IDY	C-MW	MW-IDY
Colour intensity	$12.70\pm0.55^{c}$	$12.94 \pm 0.04^{\circ}$	$11.63 \pm 0.31^{b}$	$11.21\pm0.04^{a,b}$	$10.94\pm0.13^{a,b}$	$10.63 \pm 0.34^{a}$
Hue	$0.58\pm0.00^{\rm a}$	$0.57\pm0.00^{\rm a}$	$0.63\pm0.00^{\text{d}}$	$0.61\pm0.01^{\rm c}$	$0.60\pm0.00^{\text{b}}$	$0.60\pm0.00^{\text{b}}$
Total polyphenol Index	$37.71 \pm 1.17^{\text{c}}$	$37.62\pm0.30^{\rm c}$	$37.44\pm0.63^{\text{b,c}}$	$35.68\pm0.06^{\text{a,b}}$	$34.94\pm0.34^{a}$	$34.00\pm0.90^{a}$
Total anthocyanins	$270.96\pm17.87^{\text{c}}$	$278.64\pm4.15^{\text{c}}$	$241.71\pm9.45^{\text{b}}$	$221.28\pm2.11^{a,b}$	$212.05\pm4.50^{a}$	$206.17\pm6.80^{a}$
Polymeric anthocyanins	$91.39 \pm 1.46^{\text{c}}$	$92.20\pm0.28^{\rm c}$	$79.63 \pm 1.04^{\mathrm{a}}$	$80.81\pm0.80^{\text{a,b}}$	$83.85\pm0.48^{\text{b}}$	$82.56 \pm 1.89^{a,b}$
Total methylcellulose precipitable tannins	$1217.68\pm26.48^{\text{d}}$	$1138.17 \pm 7.70^{\rm c}$	$1155.05 \pm 25.98^{\circ}$	$^{\circ}1080.84 \pm 11.79^{t}$	$0^{\circ}1069.47 \pm 12.16^{b}$	$9992.27 \pm 14.08^{a}$
Total tannins by phloroglucinolysis method	$405.21\pm44.54^{\text{c}}$	$393.41 \pm 33.53^{\circ}$	$374.49 \pm 9.29^{\text{b,c}}$	$307.26\pm3.63^{a,b}$	$307.69 \pm 2.57^{a,b}$	$275.67\pm23.03^a$
Mean degree of polymerization	$4.54\pm0.23^{a}$	$4.66\pm0.07^{\rm a}$	$4.64\pm0.08^{a}$	$4.49\pm0.02^{\text{a}}$	$4.44\pm0.05^{a}$	$4.37\pm0.03^{a}$
Percentage of galloylation	$3.69\pm0.28^{\rm a}$	$3.52\pm0.03^{a}$	$5.18\pm0.21^{\text{b,c}}$	$5.34\pm0.02^{\rm c}$	$4.74\pm0.06^{\text{b}}$	$5.09\pm0.32^{\text{b,c}}$
Percentage of epigallocathequin	$14.49\pm0.17^{\text{b,c}}$	$14.98\pm0.07^{\rm c}$	$12.71\pm0.24^{a}$	$13.89\pm0.45^{\text{b}}$	$14.80\pm0.13^{\rm c}$	$14.52\pm0.14^{\text{b,c}}$

**Table 4.** Chromatic parameters of control and treated wines (mean  $\pm$  SD).

Different letters in the same row indicate significant differences between samples ( $p \le 0.05$ ). C: wines without any treatment; US: wines treated with

ultrasounds; US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

±



**Fig. 1.** Mean concentrations ( $\mu$ g/L) of main group of volatile compounds in control and treated wines. Different letters denote significant differences between treatments according to the Student-Newman-Keuls test ( $p \le 0.05$ ). C: wines without any treatment; IDY: wines treated with inactive dry yeast; US: wines treated with ultrasounds; US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.



Fig. 2. Descriptive sensory analysis of control and treated wines. Different letters denote significant differences between samples according to the Student-Newman-Keuls test ( $p \le 0.05$ ) in the following order: C: wines without any treatment; IDY: wines treated with inactive dry yeast; US: wines treated with ultrasounds; US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

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Volatile compound	RT	С	IDY	US	US-IDY	MW	MW-IDY
Esters							
Ethyl butanoate	1035	$214.09\pm6.05^{\circ}$	$262.99 \pm 36.94^{d}$	$88.22\pm13.19^{\text{a,b}}$	$57.79 \pm 17.32^{\rm a}$	$132.63 \pm 25.40^{b}$	$110.24\pm31.90^{\text{a,b}}$
Isoamyl acetate	1122	$2802.22 \pm 55.40^{\rm c}$	$3318.13 \pm 177.33^{d}$	$1435.07 \pm 200.52^{b}$	$696.41 \pm 43.30^{a}$	$993.98 \pm 258.21^{a}$	$1078.31 \pm 214.40^a$
Ethyl hexanoate	1233	$268.10\pm13.56^{\text{b}}$	$308.74 \pm 13.58^{b}$	$149.56 \pm \! 15.42^a$	$147.87 \pm 16.76^{a}$	$196.69 \pm 55.24^{a}$	$203.33\pm32.21^{a}$
Hexyl acetate	1272	$25.86\pm2.10\ ^{\text{c}}$	$32.85\pm2.59^d$	$11.45 \pm 1.38^{b}$	$7.93 \pm 1.78^{\text{a}}$	$5.21\pm0.28^{a}$	$4.39\pm0.74^{\rm a}$
Ethyl lactate	1347	$7340.01 \pm 670.51$	$10195.69 \pm 104.56$	$9951.72 \pm \! 858.00$	$10017.16 \pm 875.33$	$9737.14 \pm 584.19$	$8684.57 \pm 346.11$
Ethyl octanoate	1435	$273.67\pm25.16^{\text{c}}$	$311.46\pm5.95^{d}$	$163.64 \pm 21.51^{b}$	$166.73 \pm 25.27^{b}$	$105.67 \pm 12.43^{a}$	$89.92\pm23.27^a$
Ethyl 3-hydroxy-butyrate	1515	$8.19\pm0.70^{\rm c}$	$7.48\pm0.53^{a,b}$	$5.52\pm0.31^{\text{a}}$	$5.53\pm0.01^{\text{a}}$	$6.34\pm0.94^{a,b}$	$6.61\pm0.21^{a,b}$
Ethyl 2-hydroxy-methylpentanoate	1547	$15.43 \pm 1.25^{a,b}$	$17.11 \pm 1.68^{b}$	$12.64\pm0.97^{\text{a}}$	$13.02\pm0.69^{\text{a}}$	$14.86 \pm 1.02^{\text{a,b}}$	$15.10\pm0.33^{a,b}$
Pentyl hydroxypropanoate	1610	$37.33\pm3.30^{b}$	$47.29\pm2.99^a$	$34.07\pm2.32^{\text{b}}$	$34.15\pm2.68^{\text{b}}$	$37.66 \pm 1.22^{\text{b}}$	$37.00\pm0.75^{b}$
Ethyl decanoate	1638	$101.55\pm23.59^{a}$	$97.92\pm7.14^{a}$	$30.59\pm0.38^{b}$	$33.50\pm4.69^{b}$	$12.27 \pm 1.64^{b}$	$11.82\pm2.00^{b}$
Diethyl succinate	1671	$581.52 \pm 103.99^{b,c}$	$477.00\pm17.36^{b}$	$348.51 \pm 41.12^{a}$	$304.32 \pm 83.05^{a}$	$660.29\pm5.54^{c}$	$527.80\pm6.25^{b}$
Ethyl 3-hydroxy-hexanoate	1677	$2.83\pm0.66^{\text{b,c}}$	$2.06\pm0.20^{\text{a,b}}$	$1.39\pm0.20^{\rm a}$	$2.25\pm0.61^{a,b,c}$	$3.07\pm0.76^{\text{b,c}}$	$3.52\pm0.53^{\rm c}$
Ethyl 4-hydroxy-butyrate	1794	$196.97\pm53.35$	$158.00\pm7.08$	$124.43\pm28.52$	$169.08\pm3.93$	$150.65\pm41.38$	$161.14\pm6.01$
2-Phenyl ethyl acetate	1813	$94.95 \pm 16.51$	$84.98 \pm 0.78$	$64.39 \pm 18.42$	$80.47\pm0.99$	$64.60 \pm 16.89$	$71.55\pm2.36$
Major alcohols							
Methanol*	903	$115.48\pm1.49$	$120.25\pm12.16$	$127.44\pm1.61$	$117.06\pm0.86$	$122.16\pm4.30$	$127.71\pm10.03$
Propanol*	1036	$47.51 \pm 4.83^{b}$	$37.56\pm5.89^{a}$	$34.41\pm3.47^a$	$31.59\pm0.92^{\text{a}}$	$36.33\pm4.45^{\mathrm{a}}$	$36.71\pm4.38^a$
Isobutanol*	1092	$23.62 \pm 1.16$	$28.32\pm6.29$	$27.75\pm5.55$	$22.72\pm2.09$	$24.00\pm4.01$	$26.40 \pm 4.87$
1-Butanol	1150	$64.37\pm8.36$	$67.75\pm9.33$	$69.84 \pm 12.75$	$71.92 \pm 12.91$	$79.68 \pm 5.02$	$75.14 \pm 5.89$
Isoamyl alcohols*	1200	$236.40\pm5.03^{\circ}$	$221.43 \pm 13.37^{b}$	$210.72 \pm 10.69^{a,b}$	$194.18\pm3.73^a$	$205.78\pm6.44^{a,b}$	$205.45 \pm 2.25^{a,b}$

Supplementary Table 1. Mean concentration (µg/L) and standard deviation of volatile compounds in control and treated wines. (\*) Concentration in mg/L.

Minor alcohols							
4-Methyl-1-pentanol	1314	$28.83 \pm 0.40$	$30.75 \pm 1.80$	$27.32 \pm 1.80$	$27.52\pm2.17$	$28.26 \pm 4.40$	$30.82 \pm 1.25$
3-Methyl-1-pentanol	1325	$68.67 \pm 1.10$	$75.48 \pm 7.26$	$67.20\pm3.06$	$68.52\pm5.75$	$70.70\pm9.26$	$75.05\pm5.61$
1-Heptanol	1453	$74.27\pm10.81^{a}$	$91.37\pm6.30^{b}$	$70.75\pm3.04^{\mathrm{a}}$	$73.43\pm6.30^{a}$	$77.25\pm4.17^{a}$	$79.58 \pm 4.06^{\mathrm{a}}$
1-Octanol	1557	$40.83 \pm 1.50^{\mathrm{a}}$	$50.63 \pm 1.26^{\text{b}}$	$40.43\pm3.81^{a}$	$38.78 \pm 1.04^{\rm a}$	$35.97\pm0.50^{\text{a}}$	$36.07\pm2.12^{\rm a}$
3-(Methylthio)-1-propanol	1719	$18.50\pm4.01^{\text{a,b}}$	$16.05\pm0.83^{\text{a,b}}$	$12.20\pm2.59^{\rm a}$	$11.94 \pm 1.81^{\rm a}$	$20.06\pm3.03^{b}$	$12.56\pm2.61^{\rm a}$
Fatty acids							
2-Methylpropanoic acid	1570	$249.60\pm8.43^{a}$	$472.95 \pm 54.28^{\circ}$	$356.44 \pm 49.86^{b}$	$354.15\pm48.86^{\text{b}}$	$333.52\pm43.42^b$	$340.39 \pm 15.09^{b}$
3-Methylbutanoic acid	1662	$65.67 \pm 15.90^{b}$	$62.22\pm1.30^{b}$	$32.66\pm2.34^{\rm a}$	$62.28\pm0.74^{b}$	$61.86 \pm 15.42^{\text{b}}$	$73.05\pm2.09^{b}$
Hexanoic acid	1846	$2282.26\pm61.98$	$2483.13\pm72.24$	$2245.05 \pm 250.25$	$2244.49 \pm 241.34$	$2472.57 \pm 191.39$	$2227.46\pm56.87$
Octanoic acid	2060	$2303.92 \pm 84.54^{c}$	$2760.41 \pm 127.51^{d}$	$1921.64 \pm 301.10^{b}$	$1855.97 \pm 245.34^{b}$	$1426.11 \pm 145.04^{a}$	$1225.24 \pm 230.80^{a}$
Decanoic acid	2276	$529.53 \pm 10.39^{\circ}$	$586.85 \pm 60.22^{\rm c}$	$85.74 \pm 14.29^{a}$	$289.58\pm66.66^{\mathrm{b}}$	$40.82\pm6.70^{\text{a}}$	$44.71\pm7.32^a$
Lactones							
γ-Butyrolactone	1632	$267.47 \pm 19.04^{a}$	$449.97 \pm 88.14^{b}$	$279.25 \pm 39.61^{\rm a}$	$309.28\pm59.88^a$	$269.84 \pm 27.65^{\rm a}$	$369.20 \pm 52.28^{a,b}$
γ-Nonalactone	2024	$8.77\pm0.02^{a,b}$	$9.83 \pm 1.67^{\text{a,b}}$	$7.33 \pm 1.00^{\rm a}$	$7.54\pm0.68^{a}$	$9.03\pm0.47^{a,b}$	$8.89\pm0.40^{\text{a,b}}$
γ-Decalactone	2137	$25.67 \pm 1.84$	$30.18 \pm 4.32$	$31.54 \pm 3.47$	$33.10\pm4.39$	$29.36\pm3.52$	$25.31\pm2.00$
Benzenic compounds							
Benzaldehyde	1520	$0.50\pm0.05^{\rm a}$	$0.55\pm0.08^{\rm a}$	$8.97 \pm 1.67^{\text{b}}$	$9.85\pm0.14^{b}$	$0.71\pm0.17^{a}$	$0.75\pm0.07^{\rm a}$
Guaiacol	1861	$10.61 \pm 1.49^{\text{b}}$	$4.58\pm0.36^{\rm a}$	$3.07\pm0.80^{\rm a}$	$3.37\pm0.06^{a}$	$4.83\pm0.51^{\text{a}}$	$3.26\pm0.09^{a}$
Benzyl alcohol	1870	$94.51 \pm 14.16$	$100.96 \pm 4.13$	$88.78 \pm 7.36$	$91.06 \pm 10.43$	$99.50\pm3.14$	$94.94 \pm 2.18$
2-Phenylethanol	1906	$6501.65 \pm 237.06^{a}$	$7713.79 \pm 688.75^{b}$	$7429.59 \pm 299.32^{,b}$	$7883.74 \pm 639.22^{,b}$	$9365.43 \pm 658.09^{b}$	$7533.51 \pm 223.95^{b}$
4-Vinylguaiacol	2188	$81.21\pm20.45^{b}$	$45.92\pm7.41^{a}$	$27.08 \pm 1.43^{a}$	$27.43 \pm 2.27^a$	$40.91\pm9.43^a$	$30.88 \pm 1.54^{\rm a}$
Syringol	2273	$172.83\pm10.22^{\text{d}}$	$64.03 \pm 8.03^{\text{b,c}}$	$55.59 \pm 7.83^{a,b}$	$47.80\pm8.16^{a,b}$	$66.85\pm7.48^{c}$	$39.75\pm1.27^a$
Vanillin	2570	$2.72\pm0.65$	$2.06\pm0.18$	$1.34\pm0.19$	$2.84 \pm 5.90$	$1.71\pm0.44$	$1.18\pm0.13$
Ethyl vanillate	2654	$97.40\pm2.62^{a}$	$117.66\pm9.09^{a}$	$110.14\pm19.61^{a}$	$110.13 \pm 18.08^{\text{a}}$	$136.25 \pm 16.72^{a}$	$111.47\pm4.75^a$

Supplementary Table 1. Continued.

Terpenes and norisoprenoids							
Linalool	1547	$2.03\pm0.17^{\rm a}$	$2.11\pm0.18^{\rm a}$	$1.72\pm0.23^{a}$	$1.91\pm0.26^{\rm a}$	$2.47\pm0.14^{\rm a}$	$2.05\pm0.07^{\rm a}$
a-Terpineol	1697	$0.32\pm0.05^{a}$	$0.24\pm0.02^{a}$	$0.15\pm0.03^{a}$	$0.23\pm0.02^{\rm a}$	$0.26\pm0.08^{\rm a}$	$0.25\pm0.02^{\rm a}$
$\beta$ -Citronellol	1765	$7.91 \pm 1.68^{b}$	$5.84\pm0.17^{a,b}$	$4.43\pm0.23^{a}$	$4.58\pm0.27^{\rm a}$	$7.28 \pm 1.39^{\text{b}}$	$6.24\pm0.16^{b}$
$\beta$ -Damascenone	1823	$3.71 \pm 1.09$	$3.25\pm0.23$	$3.34\pm0.55$	$3.19\pm0.37$	$3.63\pm0.24$	$3.27\pm0.37$
Geraniol	1847	$12.35\pm3.18^{\text{b}}$	$9.30\pm0.30^{\text{b}}$	$5.84 \pm 1.70^{a}$	$6.90\pm0.11^{a}$	$6.84 \pm 1.76^{a}$	$8.00\pm0.17^{b}$
Nerolidol	2034	$15.59\pm3.27^{\text{b}}$	$12.61 \pm 1.00^{\text{b}}$	$6.36\pm0.77^{a}$	$6.36\pm0.73^{\rm a}$	$14.42\pm0.95^{b}$	$12.61\pm0.55^{b}$
3-OH-β-Damascone	2563	$0.94\pm0.15^{b}$	$1.02\pm0.12^{b}$	$0.55\pm0.14^{\rm a}$	$0.77\pm0.04^{b}$	$1.04\pm0.15^{b}$	$0.82\pm0.03^{\text{b}}$
C6-Alcohols							
1-Hexanol	1355	$1340.95\pm 363.76^{b}$	$1721.65 \pm 33.03^{a}$	$1571.98 \pm 120.61^{a}$	$1442.51 \pm 423.02^{a,b}$	$1608.57 \pm 174.49^{a}$	$1657.12\pm 71.26^{a}$
cis-3-Hexen-1-ol	1373	$33.97 \pm 0.73$	$38.36 \pm 2.07$	$35.21\pm2.01$	$36.00\pm3.63$	$34.60\pm6.34$	$37.86 \pm 0.64$
trans-3-Hexen-1-ol	1380	$76.68 \pm 1.94$	$84.91 \pm 5.29$	$74.10\pm4.72$	$77.55\pm6.75$	$79.05 \pm 11.74$	$84.21 \pm 3.96$
cis-2-Hexen-1-ol	1405	$0.70\pm0.02^{\rm a}$	$5.18\pm0.96^{b}$	$7.31\pm2.09^{b}$	$7.08\pm2.05^{b}$	$1.35\pm0.32^{\rm a}$	$8.03\pm0.21^{\text{b}}$
trans-2-Hexen-101	1416	$3.98\pm0.65^{\rm a}$	$5.48\pm0.33^{b}$	$4.98\pm0.39^{\text{b}}$	$5.40\pm0.30^{b}$	$5.33\pm0.50^{b}$	$5.69\pm0.11^{\text{b}}$

Supplementary Table 1. Continued.

Different letters in the same row indicate significant differences between samples ( $p \le 0.05$ ). C: wines without any treatment; US: wines treated with ultrasounds;

US-IDY: wines treated with ultrasounds and IDY; MW: wines treated with microwave; MW-IDY: wines treated with microwave and IDY.

RT: Retention indices (DB-Wax)