1 Detection of vancomycin-resistant *Enterococcus faecalis* ST6-vanB2 and *E. faecium*

2 ST915-vanA in faecal samples of wild *Rattus rattus* in Spain

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26 Abstract

27 The detection of vancomycin-resistant-enterococci (VRE) among wild animals represents a worrisome public health concern. The objectives of the study were to 28 determine the possible presence of VRE in faecal samples of wild small mammals in 29 Spain, to characterize the vancomycin resistance mechanisms and genetic lineages of 30 recovered isolates and to know the diversity of enterococcal species in these animals. A 31 total of 155 faecal samples from small mammals were inoculated in Slanetz-Bartley 32 agar supplemented or not with vancomycin (Van-SB/SB plates). The antimicrobial 33 susceptibility profile to 12 antimicrobials and the presence of 20 antimicrobial 34 35 resistance genes was analyzed. The structure of Tn1546 and the presence of gelE, cylA, 36 asa, esp and hyl genes was studied. Multilocus-sequence-typing (MLST) technique was also performed. VRE isolates were recovered in Van-SB plates in 11 samples. Two 37 38 samples contained vanB2-positive E. faecalis isolates of lineage ST6, which showed a 39 multiresistance phenotype and harboured the virulence genes *gelE* and *asa*. One sample contained a vancomycin-resistant E. faecium isolate of the new lineage ST915, with the 40 vanA gene included into Tn1546 (truncated with IS1542 and IS1216 elements). The 41 vanB2 and vanA isolates were obtained from Rattus rattus. The remaining eight VRE-42 43 positive samples contained species with intrinsic vancomycin-resistance mechanisms: E. casseliflavus (n=5) and E. gallinarum (n=3). One-hundred-forty-seven vancomycin-44 susceptible-enterococcal isolates were obtained in SB plates, and E. faecalis and E. 45 faecium were the most frequent detected species. This is the first report of vanB2-46 containing enterococci in wild animals. 47

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49 Keywords

50 Enterococci, vanB2, vanA, wild small mammals, ST6, ST915, Rattus rattus

51 **1. Introduction**

Enterococcus spp. are commensal microorganisms that colonize the gastrointestinal 52 tract in humans and animals. They can also produce opportunistic infections, 53 highlighting the predominance of E. faecalis and E. faecium species. Clinical cases 54 caused by other species, including E. casseliflavus, E. gallinarum, E. hirae or E. 55 mundtii, have been identified sporadically (Brulé et al., 2013; Higashide et al., 2005; 56 Sambhav et al., 2012; Swampillai et al., 2011). These microorganisms have some 57 intrinsic antimicrobial resistance mechanisms and are able to acquire other mechanisms, 58 which limits severely the therapeutic options. 59

60 Among the existing antimicrobial agents, vancomycin is one of the most clinically important and is one of the last lines of defense against many Gram positive bacteria. 61 For this, the emergence and spread of vancomycin resistant enterococci (VRE) in 62 63 hospital settings has been, and continue being, a highly worrisome problem. Remarkably, VRE isolates containing acquired vancomycin resistance mechanisms 64 (especially those encoded by the genes *vanA* and *vanB2*) represent a major public health 65 problem, due to their demonstrated capacity of transference (Orsi et al., 2013). Some 66 enterococcal species, as E. gallinarum and E. casseliflavus, present an intrinsic 67 68 mechanism of vancomycin resistance, mediated by the vanC mechanism (variants vanC-1 and vanC-2/3), that confers low level vancomycin resistance and is not 69 transferable (Sambhav et al., 2011; Swampillai et al., 2012). 70

In the last two decades it has been reported the detection of VRE of the *vanA* genotype in farm animals and in derived food and it was associated with the use of the glycopeptide avoparcin as animal growth promoter in many countries. For this reason, this specific use was banned in the EU since 1997 (Hammerum et al., 2012). A decrease in the prevalence of *vanA*-enterococci was observed after the ban of avoparcin as

growth promoter (Bager et al., 1999; van den Bogaard et al., 2000). However, the
problem persists and several hypotheses point out that the co-selection phenomenon
could be one of the causes (Aarestrup 2000; Hammerum et al., 2012). Moreover, *vanA*containing enterococci have been also described in different wild animal species such as
woodmice, badgers, crows, wild boars, seagulls, and song thrush (Mallon et al., 2002;
Oravcova et al., 2014; Poeta et al., 2007; Radhouani et al., 2010, 2011; Silva et al.,
2012), but never before in Spain.

Regarding vancomycin resistance gene *vanB2* in enterococci, there is only one previous 83 report in animals, in one E. hirae isolate recovered in 2003 from a healthy pig in Spain 84 85 (Torres et al., 2003). This gene has been also found in food samples of animal origin 86 (López et al., 2009). Moreover, the vanB2 mechanism of resistance has been detected in the last years in E. faecalis or E. faecium isolates implicated in hospital outbreaks or 87 88 in sporadic clinical cases in Spain (López et al., 2012; Nebreda et al., 2007). Recently, this gene has been identified in one Staphylococcus succinus isolate from a wild 89 songbird (Turdus migratorius) (Ishihara et al., 2013). However, so far, it is not clear if it 90 could be a potential animal reservoir for the vanB2 resistance mechanism. 91

The objective of this study was to determine the presence of VRE in faecal samples of wild small mammals in Spain and to characterize the mechanisms of vancomycin resistance and the genetic lineages of recovered isolates. Moreover, the study was also focused to know the diversity of enterococcal species in these animals as well as their antimicrobial resistance phenotypes and genotypes.

- 98 **2.** Material and methods
- 99 2.1. Samples and bacterial isolates

One hundred and fifty-five faecal samples collected from free-ranging wild small 100 101 mammals [54 common voles (Microtus arvalis), 41 wood mice (Apodemus sylvaticus), 6 Algerian mice (Mus spretus), 46 black rats (Rattus rattus), 6 greater white-toothed 102 shrews (Crocidura russula), 1 garden dormouse (Eliomys quercinus) and 1 red squirrel 103 104 (Sciurus vulgaris)] were analyzed in this study. Wild small mammals included in this 105 study were captured in the framework of projects headed by researchers at the Spanish 106 Wildlife Research Institute (IREC) from 2008 to 2013. The projects at which wild small mammals were captured had been subjected to the examination by ethical committees. 107 These animals came from two different Spanish regions: i) North-Centre (South of the 108 109 province of Palencia; N=75); and ii) South (Province of Cádiz; N=80). The North-Centre region of study is an agriculture-devoted landscape mainly composed of cereal 110 plains within a continental Mediterranean climate. Small mammals coexist with 111 112 extensively produced livestock, humans and agricultural landscape-associated wildlife. The South region is a hunting estate in a thermo-Mediterranean climate in which small 113 mammals coexist with a high diversity of wildlife and with extensively farmed red deer. 114 115 Faecal samples were collected from animals at necropsy into sterile vials, sealed, frozen at -20° C and transported frozen to the laboratory. Faecal samples were thawed at room 116 117 temperature for 2 hours, suspended in 3 mL of saline solution, and 100 µL was seeded on Slanetz-Bartley agar plate (Scharlau Chemie S.A., Barcelona, Spain) both 118 supplemented (Van-SB) and non-supplemented (SB) with 4 µg/ml of vancomycin. 119 Plates were incubated 48 h at 37 °C. Two colonies from each positive plate with a 120 typical enterococcal morphology were selected and initially identified by biochemical 121 122 conventional methods (Gram staining, catalase, and bile esculin test). PCR experiments with specific primers for different enterococcal species (E. faecalis, E. faecium, E. 123 hirae, E. durans, E. casseliflavus, and E. gallinarum) were carried out (Torres et al., 124

125 2003). Moreover, identification of the remaining enterococcal species was performed by126 amplification and sequencing of the *sodA* gene (Poyart et al., 2000).

127 2.2. Antimicrobial susceptibility testing

Minimal inhibitory concentrations (MICs) of vancomycin and teicoplanin were 128 determined by the agar dilution method (EUCAST, 2015). Susceptibility testing to other 129 10 antimicrobial agents was performed by the disk diffusion method and the 130 131 antimicrobials tested were (mug/disk): ampicillin (10), streptomycin (300), gentamicin (120), kanamycin (120), chloramphenicol (30), tetracycline (30), erythromycin (15), 132 ciprofloxacin (5), trimethoprim-sulfamethoxazole (1.25+23.75), and linezolid (30) 133 134 (CLSI, 2014). E. faecalis ATCC 29212 and S. aureus ATCC 29213 were used as quality control strains. 135

136 2.3. Study of vancomycin resistance mechanisms

The presence of the vancomycin resistance genes *vanA*, *vanB*, *vanC*-1, *vanC*-2/3, *vanD*, *vanE*, and *vanG* was studied by PCR in enterococcal isolates which showed resistance
or reduced susceptibility to glycopeptides (Torres et al., 2003; Domingo et al., 2005).
When *vanA* gene was detected, the whole structure of Tn*1546* was analyzed by PCR
overlapping and sequencing, using a wide diversity of primers as previously described
(López et al., 2010). The positive *vanB* amplicons were sequenced for identifying the *vanB* allele type (*vanB1*, *vanB2* or *vanB3*).

144 2.4. Detection of other resistance genes

145 Resistance genes for other antimicrobials, including macrolides [erm(A), erm(B), 146 erm(C)], tetracycline [tet(M), tet(K), tet(L)], aminoglycosides [aph(3')-IIIa, aac(6')-147 aph(2''), ant(6)-Ia], trimetoprim-sulfamethoxazol [drfF, dfrG and dfrK] and linezolid 148 [cfr] were also tested by PCR in all enterococcal isolates which showed resistance or reduced susceptibility for these agents, using primers and conditions as previously
described (Cattoir et al., 2009; López et al., 2009).

151 2.5. Detection of virulence genes

The presence of the virulence genes *gelE*, *cylA*, *asa*, *esp* and *hyl* was studied in the enterococcal isolates containing acquired vancomycin resistance mechanisms. For that, primers and conditions previously described by others were used (López et al., 2012; Vankerckhoven et al., 2004).

156 2.6. *Molecular typing*

Vancomycin-resistant E. faecalis and E. faecium isolates were characterized by the 157 158 technique of multilocus sequence typing (MLST). For that, internal fragments of seven 159 housekeeping genes were amplified and sequenced (Homan et al., 2002; Ruiz-Garbajosa 160 et al., 2006), and the sequences obtained were analyzed and compared with those 161 included in the databases (http://efaecalis.mlst.net/ and http://efaecium.mlst.net/). Analyzing the combination of the seven obtained alleles, a specific sequence type (ST) 162 was determined. Moreover, pulsed-field gel electrophoresis (PFGE) pattern analysis 163 164 with Smal restriction enzyme was performed for the two vanB2-positive isolates in 165 order to analyze their genetic relatedness, as previously described (López et al., 2012).

166 2.7. *Conjugation experiments*

167 Transference of vancomycin resistant determinants was assayed by conjugation from 168 the *van*A and *van*B2 enterococci as donors to *E. faecalis* strain JH2-2 and *E. faecium* 169 strain GE-1 as recipient strains, using a filter-mating method (López et al., 2010). The 170 antimicrobial resistance phenotype and genotype of transconjugants was analyzed as 171 previously indicated to determine the resistance genes transferred.

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173 **3. Results**

174 *3.1.* Enterococcus *spp. isolates recovered in SB agar plates with vancomycin* 175 VRE isolates were recovered in 11 of the 155 faecal samples analysed when 176 vancomycin-supplemented SB agar plates (Van-SB) were used. The two colonies 177 isolated in each Van-SB plate studied belonged to the same species and presented 178 identical resistance phenotype. For that, one isolate of each positive sample was 179 maintained and further studied. The characteristics of these 11 isolates are shown in 180 Table 1.

181 *3.2. Detection of VRE with acquired mechanisms of resistance*

182 VRE isolates with acquired vancomycin resistance mechanisms were identified in three 183 samples, two isolates containing the *vanB* gene and one isolate containing the *vanA* 184 gene. The three isolates with acquired vancomycin resistance mechanisms were 185 obtained from faecal samples of *R. rattus*.

186 The vanB and vanA positive isolates were obtained from samples collected in the same location (Benalup-Casas Viejas, Cádiz province) in Southern Spain but in two 187 neighbour estates: i) one dedicated to deer farming; and ii) one dedicated to agriculture. 188 The vanB gene was found in two E. faecalis isolates which were recovered from two 189 rats surveyed in the same day (10th July 2013) in the agricultural estate. After 190 191 sequencing of the vanB gene, it was determined that both isolates harboured the vanB2 allele. Nevertheless, both sequences showed a nucleotide mutation (G34T) leading the 192 Met11Ile amino acid change. These *vanB2*-positive isolates belonged to sequence type 193 194 ST6 and showed a multiresistance phenotype that included, in addition to vancomycin (MIC=64-128 µg/mL), also resistance to erythromycin, tetracycline, ciprofloxacin, 195 196 trimethoprim-sulfamethoxazole and high-level resistance to aminoglycosides (gentamicin, streptomycin, and kanamycin). According to PFGE results, both strains 197 showed closely related patterns since they differed by three bands. 198

The vanA gene was identified in one E. faecium isolate. This isolate belonged to a new 199 200 ST, named as ST915, which presented a new allelic combination. This isolate was recovered from one sample in the deer farm estate on the 9th of July, 2013. This place is 201 10 km away from the agricultural estate, where the two vanB2 isolates were obtained. 202 The *vanA* positive isolate was resistant, in addition to vancomycin (MIC=>256 µg/mL) 203 and teicoplanin (MIC=128 µg/mL), to erythromycin and tetracycline. The Tn1546 204 205 structure, carrying the vanA gene, was characterized in this isolate and was compared 206 with the sequence included in GenBank accession number M97297.1. Our structure presented the IS1542 structure located on the region between orf2 and vanR (positions 207 208 3932-3925) and the IS1216 sequence was detected inside the vanXY intergenic region (positions 8839-8828) (Figure). Moreover, the nucleotide G was found in the position 209 8234 of gene vanX. 210

None of these three *vanA* or *vanB2* positive isolates showed the virulence genes *esp* and *hyl*. However, both *vanB2* positive isolates presented the virulence genes *gelE* and *asa*, and one of them (C7526) also contained the gene *cylA*. Only transconjugants of the *vanA E. faecium* isolate were obtained using both recipient strains *E. faecium* GE-1 and *E. faecalis* JH2-2 (conjugation frequencies 1.3×10^{-6} /recipient and 5.8×10^{-8} /recipient, respectively), and both transconjugants showed resistance, in addition to vancomycin, to tetracycline and erythromycin.

218 *3.3. Detection of VRE with intrinsic mechanisms of resistance*

VRE isolates with intrinsic vancomycin resistance mechanisms were identified in 8 samples analysed (*R. rattus, 5; A. sylvaticus, 2; M. arvalis, 1*), and one isolate per sample was selected. Five isolates were identified as *E. casseliflavus* and three isolates as *E. gallinarum*. The range of MICs for vancomycin and teicoplanin in these isolates

was 8-16 μ g/mL and 1 μ g/mL, respectively. Resistance to erythromycin, tetracycline, ciprofloxacin and trimethoprim–sulfamethoxazole was identified in some of them (Table 1).

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3.4. Enterococcus spp. recovered in SB agar plates without vancomycin

227 supplementation

The 155 faecal samples from free-ranging wild small mammals were also analyzed using non antimicrobial-supplemented SB agar plates for enterococci recovery, under non selective conditions. Enterococci isolates were detected in 95 of them. Two colonies with a typical enterococcal morphology were obtained in each positive plate and they were further identified and characterized. A total of 147 enterococci were obtained. Enterococcal species, animal origin, and phenotype and genotype of resistance are shown in Table 2.

The most frequent species were *E. faecalis* (38.1%) and *E. faecium* (27.9%) followed by *E. hirae* (14.9%) and *E. mundtii* (13.5%). The *E. durans* and *E. gilvus* species were identified in a low percentage.

E. mundtii and E. gilvus isolates were susceptible to all antimicrobials tested. Among 238 239 the remaining species, resistance to some antimicrobials was identified. Erythromycin 240 resistance was detected in 12 isolates and in all cases was mediated by the erm(B) gene. Trimethoprim-sulfamethoxazole resistance was identified in 11 isolates and one isolate 241 presented the gene dfrF and another one the gene dfrG. In the remaining trimethoprim-242 243 sulfamethoxazole resistant isolates, the responsible gene remained unknown. Regarding tetracycline, 5 isolates showed resistance for this agent and all of them harboured the 244 245 tet(M) gene. One of these isolates contained, in addition to this gene, the tet(L) gene. High level of kanamycin resistance was identified in 4 isolates and was encoded by the 246

247 $aph(3^{-})$ -IIIa gene. Ciprofloxacin resistance was only identified in two isolates and 248 linezolid resistance was found in one isolate but the *cfr* gene was not detected.

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4. Discussion

VRE isolates were detected in 11 wild small mammal faecal samples when Van-SB 252 253 plates were used. Different percentages of VRE with acquired and intrinsic mechanisms have been previously found in wild animals, from no detection of VRE isolates in 254 buzzards (Radhouani et al., 2012), and low VRE rates (2%) in Iberian wolves and 255 256 lynxes (Goncalves et al., 2011) up to percentages similar to the ones found in our study (about 6%) in crows and other wild birds (Oravcova et al., 2013; Silva et al., 2011). 257 These variations may be due in part to differences in the methodologies applied and 258 259 especially to difficulties in survey design when wild animals are studied. Moreover, our study was focused on samples taken in a long period of time and this could be affecting 260 detected prevalences. 261

Three VRE isolates with acquired mechanisms of resistance from three different 262 samples were identified. Two E. faecalis isolates harboured the vanB2 gene and one E. 263 264 faecium presented the vanA gene. The detection of the vanB2 gene is highly relevant since it is the second time that this gene is found in enterococcal isolates from animals 265 (Torrres et al., 2003) and the first time in wild animals. There have been several 266 267 outbreaks and clinical cases related to vanB2 positive isolates, both E. faecalis and E. faecium, in the last years in Spain (López et al., 2012; Nebreda et al., 2007). Our two 268 269 isolates belonged to the lineage ST6, which is included in the hospital adapted clonal complex CC2 (López et al., 2012). A recent study about the population structure of 270 human E. faecalis in Europe showed as E. faecalis belonging to CC2 seems to be very 271

prevalent acting as agent causing human infections in Spain and in The Netherlands
(Kuch et al., 2012). Moreover, it seems that ST6 (CC2), may be particular adept at
acquiring exogenous genes through recombination, being able to contain *vanA* or *vanB*genes (McBride et al., 2007).

Interestingly, in Spain, one E. faecalis vanB2 ST6-CC2 clone was identified in clinical 276 samples of patients of three geographically related hospitals (López et al., 2012). The 277 278 three hospitals were located in the same city (Zaragoza) and this city and the region in which our *vanB2* positive isolates were detected are separated by more than 700 km in a 279 straight line. All isolates detected in that study presented a multiresistance phenotype, 280 281 being a serious problem for public health. Our vanB2 isolates showed a similar multiresistance phenotype (resistance to macrolides, tetracycline, quinolones, and high 282 level of aminoglycosides) and also presented resistance to trimethoprim-283 284 sulfamethoxazole encoded by the gene dfrF. Moreover, our two vanB positive isolates presented the virulence genes *gelE* and *asa*. In the study of López et al., the gene *gelE* 285 was also found in all their clinical isolates (López et al., 2012). Interestingly our two 286 isolates presented closely related patterns with three different bands and only one of 287 288 them contained the virulence gene cylA. None of the two vanB2 positive isolates 289 showed the virulence genes esp and hyl. The genes gelE, asa and cylA seems to be most commonly found in clinical E. faecalis isolates than in E. faecium isolates (López et al., 290 291 2012; Vankerckhoven et al., 2004).

Our two *vanB2*-isolates were identified in two samples from *R. rattus. Ratus rattus* and *R. norvegicus* are widely distributed in wild small mammals in Spain (Palomo et al., 2007). Both species may widely interact with other wildlife, livestock and humans since they display an extremely flexible feeding behavior and are well-adapted to humanized environments. Additionally, *R. rattus* is spread all over the world and it is included in

the list of 100 most invasive species of the world (Lowe et al., 2000). The presence of 297 298 antimicrobial resistance in wild rodents has been previously demonstrated (Gilliver et 299 al., 1999). Different antimicrobial resistance determinants have been found in Enterobacterias in these animals (Gilliver et al., 1999; Guenther et al., 2010, 2013). 300 301 Interestingly, in two recent studies, extended-spectrum-betalactamase producing E. coli strains has been detected in urban rats (R. norvegicus) (Guenther et al., 2010; Guenther 302 303 et al., 2013). According to our results, it would be very interesting to study if R. rattus 304 and other rodents can be also acting as reservoirs of vancomycin resistance mechanisms and their possible role in the emergence of infections caused by *vanB2*-positive isolates 305 306 in hospital environments.

Regarding the vanA gene, several studies have identified vanA-positive Enterococcus 307 spp in wild animals in percentages very variable (0%-13.5%) (Goncalves et al., 2011; 308 309 Mallon et al., 2002; Oravcova et al., 2013, 2014; Poeta et al., 2007; Radhouani et al., 2010, 2011, 2012; Santos et al., 2013; Silva et al., 2011; 2012). The vanA gene has been 310 detected in these studies in E. faecalis, E. faecium, E. hirae, and E. durans (Oravcova et 311 al., 2014; Silva et al., 2011, 2012), being vanA-containing E. faecium the most frequent 312 313 (Oravcova et al., 2013; Radhouani et al., 2010; Silva et al., 2011, 2012). The reservoir 314 for vanA gene in humans seems to be also E. faecium. Interestingly, in hospital environments in Europe vanA-positive E. faecium is the most prevalent one (Werner et 315 al. 2008). Our vanA positive E. faecium isolate belonged to a new ST (ST915). 316 317 According to its allelic combination (5-2-13-6-1-1-1), this ST would be a double-locus variant of ST26 (CC26). CC26 E. faecium isolates have been previously detected in 318 319 animals, mainly in poultry samples (Cha et al., 2012), but not in humans. However, the Tn1546 structure identified in our vanA isolate was previously described in one E. 320 faecium clinical isolate in Spain (López et al., 2010). In the referred study, this structure 321

was called type VI. This transposon is usually located in conjugative plasmids which contain other antimicrobial resistance genes, specially *erm*(B) and *tet*(M) genes, associated with co-selection processes (López et al., 2010). Both genes were identified in our *vanA E. faecium* isolate and the transconjugants of this *vanA* isolate showed resistance in addition to vancomycin to these antimicrobials (tetracycline and erythromycin).

Among the 147 vancomycin susceptible enterococci isolates detected in SB plates 328 (without vancomycin supplementation), the most frequent species were E. faecalis and 329 *E. faecium*, being those species the most commonly found among free-living animals by 330 331 others (Radhouani et al., 2011, 2012; Santos et al., 2013). Most of our Enterococcus 332 spp. isolates, recovered in non-antimicrobial supplemented plates, were susceptible to all tested antimicrobial agents. However, resistance to some antimicrobials, such as 333 334 erythromycin, trimethoprim-sulfamethoxazole, tetracycline, ciprofloxacin, linezolid, and high level resistance to kanamycin, was identified in some of them. In our study, E. 335 faecium and E. hirae were the species which showed higher percentages of resistance. 336 Similar results were found in one study carried out in faecal enterococci from wild boar, 337 being E. faecium followed by E. hirae isolates the most resistant ones (Poeta et al., 338 339 2007).

In conclusion, the identification of VRE with acquired resistance mechanism (*vanA* and *vanB2*) in *R. rattus* is highly remarkable. To the best of our knowledge, there is no previous report on the *vanB2* gene in enterococci isolates from wild animals and it would be the second time in enterococci from animals. Rats could be acting as carriers and donors of these clinically important resistance mechanisms. More studies are necessary to know the possible role of these animals in the emergence of infections in

hospital settings and to detect the actual spread of these vancomycin resistancemechanisms in different ecosystems.

348 **Conflicts of interest**

349 There are no conflicts of interests to be declared.

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Figure caption. Structure of Tn*1546* found in our *vanA* positive *E. faecium* isolate.