

1 **Atmospheric plasma-polymerization of hydrophobic and wear-resistant coatings on**
2 **glass substrates**

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1 **Abstract**

2 In order to find a coating that promotes both the wear resistance and the hydrophobicity of
3 glass, a non-thermal atmospheric jet plasma-polymerization system with mixtures of two
4 precursors at different proportions were used. (Heptadecafluoro-1,1,2,2-
5 tetrahydrodecyl)trimethoxysilane (FLUSI) was used to promote the hydrophobicity, due to its
6 fluorocarbon chain. Aminopropyltriethoxysilane (APTES) was used to enhance the wear
7 resistance of the surface. The key aspect of the present work consists of determining the
8 optimal mixture of precursors that produces a satisfactory coating in both characteristics;
9 since coatings based on FLUSI have a low wear resistance and those based on APTES have a
10 hydrophilic character. Scanning Electron Microscopy (SEM), Atomic Force Microscopy
11 (AFM), Fourier Transform Infrared spectroscopy (FTIR), X-Ray Photoelectron Spectroscopy
12 (XPS), lap-shear tests, Static Water Contact Angle (WCA), tribological tests, profilometry
13 measurements and Energy Dispersive X-Ray spectroscopy (EDX) were used to analyze the
14 coatings. It is believed that the upper limit of hydrophobicity that can be attained by
15 modifying of the surface chemistry (WCA of $\sim 120^\circ$) has been achieved. It was observed that
16 the wear resistance depends on the thickness and the SiOSi content of the coatings. These
17 appear to be directly related to the proportion of APTES in the mixture. The sample that was
18 coated with 50% of APTES and 50% of FLUSI provided the best combination of
19 hydrophobicity and wear resistance. It showed the highest WCA ($123.2^\circ \pm 1.5$) because it has
20 a high fluorocarbon content and the highest CF_3 content. Its wear resistance is considerably
21 better than that of the uncoated glass and is one of the highest exhibited by the hydrophobic
22 samples.

23 **Keywords:** Wear resistance; Hydrophobic; APTES; FLUSI; Non-thermal atmospheric jet
24 plasma; Plasma-polymerization

25

1. INTRODUCTION

Improving the hydrophobicity of various materials has been a popular topic of research in recent years [1-6]. A high hydrophobicity is useful for a variety of products and industrial uses, such as self-cleaning fabrics and windows, friction reduction in microfluidic devices, glass windshields that quickly evacuate the water for better visibility in adverse weather conditions, solar panels, etc. [1]. Yim et al. [2] deposited hydrophobic coatings with water contact angles in the range of 90-116° on a polymer substrate, using fluorine-based liquid precursors and an atmospheric pressure plasma enhanced vapor deposition process. Recent studies of the self-cleaning ability of presently available hydrophilic and hydrophobic products reveal a higher effectiveness of hydrophilic surfaces for external applications. However, these studies emphasize the importance of the research and development of superhydrophobic surfaces, with water contact angles (WCA) that exceed 150°, because of the excellent self-cleaning ability that they have shown [7]. Generally, the hydrophobicity is improved by two different methods or a combination of both: (1) creation of a rough structure on an intrinsically hydrophobic substrate or (2) modification of a rough surface with low-surface-energy materials [3,8].

For example, Ji et al. [3] used a one-step hydrothermal method to create hierarchical textured morphologies on glass surfaces with water ammonia, and a subsequent modification of the chemical composition with vinyltriethoxysilane. This resulted in superhydrophobic glass surfaces with a water contact angle of 155°. Wu et al. [9] used a sol-gel formula containing hydrophobic polydimethylsiloxane (PDMS) to coat a glass substrate by spraying, in seeking a balance between wear resistance and hydrophobicity. They reported that the wear resistance declined, and the water contact angle increased, as the solution's percentage of PDMS increased. Their optimum PDMS content was 10% by volume. This resulted in a wear resistance that was not significantly compromised, and a high water contact angle (118°) due to the nanoscale surface morphology of the coating obtained.

1 The development of hydrophobic and wear-resistant surfaces on glass is of particular interest
2 to us because this material is widely used in vehicles and architecture [10]. It is used
3 particularly in photovoltaic cells and parabolic mirrors for photothermal plants in the
4 renewable energy field. The theoretical efficiency limit of solar panels is around 33.7% [7].
5 Thus, it is very important to approach this limit as closely as possible in order to realize their
6 full capability. This capability is directly reduced as the surface becomes more opaque due to
7 the deteriorating effect of weather agents, cleaning or maintenance work, or by the deposition
8 of dirt and snow. Consequently, the objective of the present work is to study the application
9 of a coating on these surfaces that promotes their hydrophobicity and wear resistance.
10 For this purpose, the application of different mixtures of liquid precursors has been
11 investigated. (Heptadecafluoro-1,1,2,2-tetrahydrodecyl)trimethoxysilane (FLUSI), is a
12 precursor that is characterized by its low surface energy, due to its fluorocarbon chain. This
13 makes FLUSI a suitable material to increase the hydrophobicity of various substrates.
14 However, these fluorocarbon-based materials can cause problems due to their poor adhesion
15 to metal or inorganic material substrates [11], such as glass. For this reason, it is convenient to
16 combine the fluorinated precursor with an additive that promotes its adhesion and whose
17 behavior governs the mechanical response of the surface [12]. Aminopropyltriethoxysilane
18 (APTES) is one of the materials that are known as aminosilanes. It is the most commonly
19 used reagent to functionalize silica surfaces with amine groups. Aminosilanes have been
20 widely used to promote the adhesion of proteins or different types of molecules to glass or
21 SiO₂ surfaces [13]. Siloxane (SiOSi) is usually formed when aminosilanes are used to apply
22 coatings. Masuko et al. [14] studied the tribological performance of self-assembled
23 monolayers (SAMs) with different numbers of siloxane bonds on smooth silicon substrates.
24 They found that the SAMs with higher numbers of siloxane bonds had stable low friction
25 coefficients and superior durability. Therefore, in our present work, we use APTES to

1 promote the adhesion of the hydrophobic coating and to improve the wear resistance of the
2 surface.

3 Among the technologies available for the application of these coatings, the use of a cold or
4 non-equilibrium plasma is especially interesting. This technology allows one to carry out the
5 process at atmospheric pressure and room temperature. In addition, it allows one to control
6 the features of the coatings by the parameters of the plasma-polymerization process, such as
7 gas flow rate, plasma power, etc. This is a versatile technology that is suitable for integration
8 in an in-line process that can apply coatings without altering the substrate's bulk material
9 properties [15,16]. A non-thermal atmospheric jet plasma system will be used, as it is
10 effective for applications, such as depositing coatings that are based on silicon oxide,
11 increasing scratch-resistance and enhancing barrier properties against gases, polyolefins or
12 water [17].

13 Therefore, we will study various coatings deposited on glass substrate by non-thermal
14 atmospheric jet plasma-polymerization of APTES and FLUSI. In order to find a coating that
15 provides the best balance between hydrophobicity and wear resistance, both precursors will be
16 used individually and mixed in different proportions.

17 **2. EXPERIMENTAL**

18 Glass samples of 100 mm × 50 mm × 3.9 mm were coated by the non-thermal atmospheric jet
19 plasma system, PlasmaSpot® (VITO) [18]. It employs a gun that contains a plasma torch
20 system at atmospheric pressure. This system is equipped with coaxial, cylindrical electrodes
21 and a dielectric barrier of Al₂O₃ between them. The gun moved over the surface of the
22 samples at a fixed speed of 6m/min, keeping a track pitch of 2 mm and a distance of 6 mm
23 from the substrate. All of the samples, except one that was kept uncoated, were subjected first
24 to a surface activation phase by exposure to one pass of plasma without using a precursor.
25 Secondly, each sample was coated by two passes of plasma-polymerization. Nitrogen gas
26 (99.99%) at a flow rate of 80 slm was used as a supply gas for activation and plasma-

1 polymerization. The generator's power was set at 450 W, and the frequency was set at 68
2 kHz. The samples were coated using (Heptadecafluoro-1,1,2,2-
3 tetrahydrodecyl)trimethoxysilane (FLUSI, $\text{CF}_3(\text{CF}_2)_7(\text{CH}_2)_2\text{Si}(\text{OCH}_3)_3$) and
4 aminopropyltriethoxysilane (APTES, $\text{H}_2\text{N}(\text{CH}_2)_3\text{Si}(\text{OC}_2\text{H}_5)_3$) as liquid precursors, both
5 individually and mixed in different proportions as indicated in Table 1.
6 The specified proportions of the precursors were mixed together in the same container before
7 atomization. An atomizer (model 3076, TSI) was used to nebulize the liquid precursors,
8 producing a fine aerosol. Nitrogen gas at a flow rate of 1.5 slm was used to carry the
9 precursors through the atomizer to the afterglow. This gas flow rate corresponds to a flow rate
10 of approximately 0.06 ml/min for the precursor mixture. The precursors carried by nitrogen
11 gas were admixed perpendicularly to the afterglow through a 0.5 mm opening at the end of
12 the central tube of the gun.
13 In order to obtain convincing results, some of the analyses were performed on four different
14 sub-samples of 10 mm \times 10 mm \times 3.9 mm from different locations of each 100 mm \times 50 mm
15 \times 3.9 mm sample type. The thickness of the coatings was quantified with surface profile
16 measurements using a WYKO NT3300 non-contact surface profiler in phase-shifting
17 interferometry (PSI). Four measurements were taken from each sample in order to obtain an
18 average thickness. Surface images of the samples were taken by a JEOL JSM-840 Scanning
19 Electron Microscope (SEM) at an operating voltage of 10 kV. The wear tracks generated
20 during the tribological tests were observed by a HITACHI S-2400 scanning electron
21 microscope at an operating voltage of 18 kV. A qualitative analysis of the elemental
22 composition of the wear tracks was carried out using an Energy Dispersive X-Ray
23 spectroscope (EDX) Bruker, Quantax 200 with an XFlash 5010/30 detector and microanalysis
24 software ESPRIT 1.9. The surfaces of the samples were coated with gold by sputtering to
25 make them conductive.

1 Atomic Force Microscopy (AFM) measurements were undertaken in order to study the
2 morphology of the coated and uncoated surfaces. For this purpose, a Multimode AFM from
3 Veeco Instruments operating in tapping mode with a Nanoscope V controller was used. An
4 area of $10\ \mu\text{m} \times 10\ \mu\text{m}$ was scanned on each sample and the root mean square (RMS)
5 roughness of each sample type was calculated from the images obtained.

6 Chemical characterization of the coatings was achieved by Fourier Transform Infrared
7 spectroscopy (FTIR) and X-Ray Photoelectron Spectroscopy (XPS) analyses. For the FTIR
8 analysis of the coatings, the same coating process with the same precursors in Table 1 was
9 employed using silicon wafers of $1\ \text{cm}^2$ area as substrates. An uncoated silicon wafer that was
10 subjected to surface activation by one pass of plasma was used as the reference for the
11 comparison of the FTIR spectra. The FTIR spectra were obtained by a BRUKER IFS 66 FTIR
12 spectrometer in transmission mode. The spectrum of each sample was averaged over 64 scans
13 in the range of $400\text{--}4000\ \text{cm}^{-1}$ with a resolution of $2\ \text{cm}^{-1}$. The FTIR spectra of all samples
14 were normalized according to the peak at $611\ \text{cm}^{-1}$, which is related to the Si-Si of the
15 substrate. To better study the chemical structure of the coatings, the FTIR spectrum of the
16 uncoated substrate was subtracted from those of the coated samples. X-ray photoelectron
17 spectra were obtained using a Physical Electronics PHI 5700 spectrometer with a multi-
18 channel hemispherical analyzer and a $\text{MgK}\alpha$ X-ray source ($1253.6\ \text{eV}$) operating at $15\ \text{kV}$
19 and $300\ \text{W}$. The spectra were acquired at a constant pass energy of $29.35\ \text{eV}$. The base
20 pressure of the instrument was below $1.33 \times 10^{-7}\ \text{Pa}$. An uncoated glass sample that was
21 subjected to surface activation by one pass of plasma was used to better compare the surface
22 chemistry before and after the application of the coatings. Four sub-samples of each sample
23 type were analyzed to obtain each spectrum. A $285\ \text{eV}$ binding energy related to the $\text{C}1\text{s}$
24 signal for the adventitious carbon was used to reference the peaks of the non-fluorinated
25 samples. A binding energy of $291.4\ \text{eV}$ related to CF_2 was used to reference the peaks of the
26 fluorinated samples and compensate the effect of surface charging. The atomic percentage

1 concentrations of the main elements were calculated from the areas under the photoelectron
2 peaks in the XPS spectra after subtraction of a Shirley-type background. The FTIR spectra
3 after the subtraction and the C1s signal of the XPS spectra of the coated samples were
4 deconvoluted by means of PeakFit 4.12 (SPSS Inc.) software. The spectra were fitted by
5 Gaussian-Lorentzian sum functions allowing variable widths of the peaks. The number and
6 shape of the peaks were constrained.

7 Lap-shear tests based on the EN 1465:2009 standard were conducted in order to measure the
8 adhesion of the coatings. A machine TRIAX-50 (Controls) with a load cell of 200N was used
9 to measure the fracture load. Four sub-samples of the uncoated glass sample that was
10 subjected to surface activation and of each coated sample were bonded with a 3M-600 tape, in
11 order to perform four tests for each sample type. A cross-head displacement speed of 0.5
12 mm/min was set for each test, and the lap-shear strength was recorded by a computer. The
13 lap-shear stress of each test was calculated from the maximum strength recorded and the
14 corresponding tested area. The average lap-shear stress value of each coating expressed in
15 MPa of the four lap-shear stress measurements is presented as a measure of its adhesion.

16 To determine the wettability of the deposited coatings, the static water contact angle (WCA)
17 was measured by the sessile drop method. An OCA15plus system (Dataphysics) and SCA200
18 software were used for these measurements. Four water drops ($3\mu\text{L}/\text{drop}$) were placed on
19 each sub-sample and the WCA of each sample type, which was expressed as the mean \pm
20 standard deviation, was calculated by image analysis. The final result is the average WCA of
21 the four sub-samples.

22 Tribological tests were conducted to study the wear that the samples experienced from sliding
23 contact in a ball-on-disc setup. A CSM Instruments rotating tribometer was used for this
24 purpose. The counterpart was a 6 mm diameter 100Cr6 steel ball (HRC 60-62). A sliding
25 speed of 2 cm/s, a constant normal load of 1 N, a radius of 2.5 mm and a total sliding distance
26 of 100 m were used in each test. Four sub-samples of each sample type were subjected to

1 tribological tests. The cross-sectional area of the wear track generated on each sample was
2 measured using a contact profilometer Surtronic 25, Taylor Hobson. Four measurements of
3 each wear track were taken and their mean value was used to calculate the wear rate of the
4 corresponding sample.

5 **3. RESULTS**

6 **3.1. Thickness and surface morphology**

7 AFM and SEM analyses of the samples were performed in order to study the relationships
8 between the proportions of APTES and FLUSI used in the plasma-polymerization process and
9 the morphology and roughness of the coating obtained, as well as its thickness (Table 2).

10 It is known that surface roughness is one of the two main factors that influence
11 hydrophobicity [3,8]. For this reason, the RMS roughness of the uncoated glass and the
12 applied coatings has been studied. As evident in Table 2 and Figures 1(a) and 2(a), the
13 roughness of the uncoated glass substrate is very low (4.9 nm).

14 Sample A100 has the thickest coating obtained. It also is the smoothest of the coated samples.

15 Furthermore, as the proportion of APTES in the mixtures increases (samples A25/F75,
16 A50/F50 and A75/F25), the coating thickness increases and the roughness decreases (Table
17 2). In these three cases, the surface morphology varies from an irregular surface with high
18 agglomerates to a more uniform surface with a high density of particles (Figures 1(c, d, e) and
19 2(c, d, e)).

20 As can be observed in Table 2, the thickness measured on sample F100 is only $6.3 \text{ nm} \pm 2.7$,

21 which is less than its roughness (21.5 nm). Furthermore, the surface of sample F100 is
22 composed of small, dispersed agglomerates that are separated by smooth areas (Fig. 2(b)).

23 These observations denote that a continuous coating has not been achieved by using only

24 FLUSI.

25 **3.2. FTIR analysis**

1 For the transmission FTIR analysis of these coatings, silicon wafers were coated by the same
2 plasma-polymerization process that was used for glass samples. It is assumed that the
3 obtained FTIR spectra are the same as those of the glass samples. To develop a more accurate
4 chemical characterization of the coatings, the spectrum of the activated substrate has been
5 subtracted from the spectra of the coated samples. Thus, the distortion that was introduced by
6 the substrate has been removed. The FTIR spectra of the coatings after the subtraction remain
7 as shown in Fig. 3. The main peaks observed in these spectra are summarized in Table 3.
8 All the spectra before subtraction had an intense band in the range of 530-650 cm^{-1} , with a
9 very strong peak at 611 cm^{-1} . This is due to the Si-Si absorption that is related to the substrate
10 [19]. This common peak has been used to normalize the spectra. The band in 700-990 cm^{-1}
11 (Fig. 3, region A) of the spectrum of the activated substrate shows peaks at ~ 738 and ~ 817
12 cm^{-1} , due to the vibrations of SiC [2] and SiO [20]. The peaks in the range of 835-990 cm^{-1}
13 are related to SiOH stretching [2,21,22]. The only remarkable feature in region A after
14 subtraction is a peak at ~ 700 cm^{-1} , which is found only in the samples in which APTES was
15 used (Fig. 3, region A). This peak is caused by CH_2 rocking for the ethylene sequence [21].
16 There is another remarkable band in the range of 1003-1269 cm^{-1} (Fig. 3, region B). The
17 spectrum of the activated substrate has a single sharp peak at ~ 1105 cm^{-1} , which is typical of
18 silica [23]. This band is broader in the spectra of the coatings. This is due to the overlapping
19 of SiO_2 vibration with those of SiOC, SiOSi and OCH_2CH_3 , and the appearance of new peaks
20 at ~ 1149 , ~ 1200 and ~ 1236 cm^{-1} that are related to C-F vibration in CF_x ($x = 1, 2, 3$)
21 [2,12,24,25]. The intensities in the range of 1003-1100 cm^{-1} are related to SiOSi asymmetric
22 stretching mode (ASM) and SiOC groups (Table 3) that form a peak at ~ 1060 cm^{-1} , which
23 grows as the proportion of APTES increases. For the coatings that are obtained from mixtures
24 of APTES and FLUSI, it is clearly evident that the peaks that are related to CF_x grow as the
25 proportion of FLUSI increases (Fig. 3, region B). In the coating of sample F100, this band has
26 reached lower intensities than in the other coatings.

1 In the range of 1400-1800 cm^{-1} , all of the spectra show an oscillating band, which is due to
2 the presence of residual water vapor in the spectrometer (Fig. 3, region C). In the range of
3 1950-2300 cm^{-1} (Fig. 3, region D), Si-H groups that were formed by the silicon of the
4 precursor [12,26] can be identified. In the samples in which APTES was used, the intensity of
5 this band increases with the percentage of APTES. This band appears also in sample F100
6 with a higher intensity than in samples A25/F75 and A50/F50. This is because the formation
7 of Si-H predominates in sample F100 at the expense of other groups of silicon, such as those
8 identified in region B.

9 In order to analyze the main constituent groups of the coatings obtained, the subtracted FTIR
10 spectra in the range of 1003-1269 cm^{-1} were deconvoluted (Fig. 4). The peak at $\sim 1035 \text{ cm}^{-1}$ is
11 caused by vibrations of SiOSi [2,12]. The peaks at ~ 1064 , ~ 1115 and $\sim 1162 \text{ cm}^{-1}$ are due to
12 SiOC ring-link, open-link and cage-link structures, respectively [18]. The peak at $\sim 1200 \text{ cm}^{-1}$
13 is caused by OCH_2CH_3 [18] in all samples, and also by overlapping with CF_x in the
14 fluorinated coatings [25]. Finally, the peaks at ~ 1149 and $\sim 1236 \text{ cm}^{-1}$ are related to C-F
15 vibrations in CF_x [2,12,24,25].

16 CF_x groups, especially CF_2 and CF_3 in long chains, are usually related to the reduction of
17 surface energy and, therefore, to the improvement of hydrophobicity by modifying the surface
18 chemistry [2,4,27]. SiOSi is often related to improvements in the tribological properties of
19 coated surfaces [14], such as their wear rate reduction. Because of the importance of these
20 groups, they are studied in more detail.

21 Fig. 5(a) shows the total absorption areas under the peaks that are related to CF_x in region B
22 (Fig. 4, peaks 4, 6 and 7), although part of the area under Peak 6 corresponds to OCH_2CH_3
23 from APTES. In sample F100, the presence of CF_x is barely noticeable. In the rest of the
24 fluorinated samples, the areas under the CF_x peaks are much greater and decrease as the
25 proportion of FLUSI employed decreases. In examining the deconvolutions in Fig. 4, one
26 notices that the peak that is related to SiOSi grows as the proportion of APTES used as a

1 precursor increases. The same evolution is reflected in the area under this peak in Fig. 5(a).
2 These results were normalized proportionally to their corresponding coating thickness, as can
3 be seen in Fig. 5(b). The presence of CF_x groups per unit of thickness keeps the same trend. It
4 is the lowest in sample F100, and it decreases in the rest of the fluorinated samples as the
5 proportion of FLUSI employed decreases. The presence of SiOSi per unit of thickness is
6 practically the same among the samples in which APTES was used, although it still grows
7 slightly as the proportion of APTES used increases. The highest presence of SiOSi per unit of
8 thickness observed in sample F100 is due to the formation of some SiOSi, along with the very
9 low thickness of the coating obtained.

10 **3.3. XPS analysis**

11 An XPS analysis was performed in order to study the relationship existing between the
12 proportions of the precursors used in the plasma-polymerization process and the elemental
13 composition of surfaces obtained. The elemental composition of the samples (in %) of C1s,
14 O1s, Si2p, N1s and F1s appears in Fig. 6.

15 The 29.4% of carbon measured at the activated glass is probably due to the presence of
16 adventitious carbon on the sample's surface. The carbon percentages of the coated samples
17 are due to both adventitious carbon and carbon provided by the precursors. An examination of
18 Fig. 6 reveals that the chemical composition of the coatings that were applied using APTES
19 (all the coated samples except F100) varies according to the percentage of APTES employed.
20 The percentages of carbon, oxygen, silicon and nitrogen in these samples are directly related
21 to the percentage of APTES. This relationship is inverted for fluorine.

22 The percentages of all atomic elements in samples A25/F75 and A50/F50 are very similar and
23 include the highest fluorine concentrations of all of the samples (43.6% and 42.2%,
24 respectively).

25 Since CF_x groups ($x = 1, 2, 3$) are related to the increase in hydrophobicity [2,4,27], the
26 deconvolution of C1s spectra is undertaken to identify the relative percentage of each of these

1 groups in Fig. 7. Table 4 summarizes the locations (binding energies) of the peaks considered
2 in the deconvolution of C1s spectra and their corresponding groups. Fig. 8 shows the relative
3 percentages of the different CF_x groups.

4 The C1s spectra of the fluorinated samples exhibit a peak at ~291.4 eV that is related to CF₂
5 groups, as well as a certain extension up to ~293.8 eV that corresponds to the CF₃ groups. In
6 the deconvolution of C1s spectra (Fig. 7), one can note that the relative percentage of CF₂
7 (291.4 eV) depends on the amount of FLUSI used in the mixture. The relative percentage of
8 CF₂ shows the same trend as the F1s percentage in the elemental composition of the surfaces.
9 This is easily appreciated by looking at Fig. 6 and Fig. 8. The peak at ~288 eV was found to
10 have a similar relative percentage in all samples. This is due to the presence of C=O in all
11 samples, regardless of the presence or absence of fluorine on their surfaces. The deposition of
12 different amounts of CF in the fluorinated coatings and the overlapping with the C=O groups
13 at the same binding energy can be the causes of the slight variations that were observed in the
14 relative percentage of this peak (Fig. 8).

15 **3.4. Adhesion**

16 Lap-shear tests were conducted to study the relationship between the proportions of the
17 precursors and the adhesion of the coatings obtained. Fig. 9 shows the average lap-shear stress
18 obtained for each sample type. Samples F100 and A25/F75 had very similar values of lap-
19 shear stress ($6.12 \cdot 10^{-2}$ and $5.79 \cdot 10^{-2}$ MPa), which also are the lowest for all of the coated
20 samples. For the remaining samples, the lap-shear stress increases as the proportion of
21 APTES used increases. Thus, sample A50/F50 has resisted a lap-shear stress of up to $7.19 \cdot 10^{-2}$
22 MPa, whereas the values obtained for samples A75/F25 and A100 were very similar and the
23 highest ($12.01 \cdot 10^{-2}$ and $13.71 \cdot 10^{-2}$ MPa).

24 **3.5. Wettability**

25 The wettability of the analyzed samples was studied by measuring the static water contact
26 angle (WCA) on their surfaces (Fig. 10). The results of the measurements were very similar

1 for all sample types in most cases. Thus, the standard deviations shown in Fig.10 are almost
2 negligible, except for sample A100.
3 As can be seen, the four fluorinated samples acquired a hydrophobic character ($WCA > 90^\circ$),
4 with maximum WCA values of around 120° .
5 Uncoated glass exhibits a highly hydrophilic character ($WCA < 90^\circ$) with a contact angle of
6 $31^\circ \pm 0.7$. Although sample A100 still has a hydrophilic character, its contact angle ($70^\circ \pm 6.6$)
7 is noticeably greater than that of the uncoated substrate (Fig. 10).

8 **3.6. Wear rate measurements**

9 Tribological tests and profilometry measurements were conducted to study the relationship
10 between the wear rate of the deposited coatings and the proportions of the precursors. In order
11 to determine the wear rate of the samples, a rotating tribometer with the following test
12 parameters was used: a total sliding distance of 100 m with a radius of 2.5 mm, a normal load
13 of 1 N and a sliding speed of 2 cm/s. The counterpart was a 100Cr6 steel ball (HRC 60-62)
14 with a diameter of 6 mm. After the tribological tests had been completed, the cross-sectional
15 area of the wear track that was generated on each sample was measured by a contact
16 profilometer and the corresponding wear rate was calculated.

17 Fig. 11 shows the wear rate obtained for the uncoated substrate and the samples that were
18 coated with different proportions of APTES and FLUSI. The wear rate of uncoated glass is
19 the highest. It follows from this that any of the tested coatings provide some protection to the
20 surface against wear. Furthermore, the wear rate decreases for the coated samples as the
21 proportion of APTES used increases. This trend is more pronounced among the uncoated
22 glass and the samples that were coated with less than a 50% of APTES (F100, A25/F75).

23 **3.7. Wear mechanisms**

24 SEM images of the wear tracks generated during the tribological tests were taken in order to
25 study the wear mechanisms that operated on the different samples.

1 In Fig. 12, one can recognize severe wear of the uncoated glass surface. Numerous
2 microcracks, which are characteristics of wear by delamination and fatigue, can be observed
3 inside the wear track (yellow arrows in Fig. 12(b, c)). Propagation of these microcracks leads
4 to material detachment as flake-like debris (white arrow in Fig. 12(c)). This often acts as a
5 third body (white circles in Fig. 12(a, b, c)) and causes abrasive wear. This abrasive wear has
6 caused material loss along the entire wear track (white arrows in Fig. 12(b)). All of these
7 phenomena had been identified by other authors [31].

8 A tribofilm has formed on the coated samples. For the most part, the tribofilm that formed on
9 sample A25/F75 is smooth (Fig. 13(a), region 1), although it has regions that have suffered
10 wear by delamination and fatigue (Fig. 13(b, c)). Four regions relating to this wear can be
11 identified in Fig. 13(b, c). Cracking of the tribofilm (region 2) occurred first and was followed
12 by detachment of part of its top layer (region 3). Detached wear debris passed through a
13 region of the wear track where the tribofilm had not been formed (region 4) and finally was
14 deposited on both sides of the track (region 5). Some detached wear debris can be seen on the
15 surface of the tribofilm (white circles in Fig. 13(a)).

16 In the tribofilm of sample A50/F50, one cannot identify the cracks that were observed in the
17 tribofilm of sample A25/F75. Three regions of wear on sample A50/F50 are observed in Fig.
18 14(b). Region 1 corresponds to the surface of the tribofilm, in which concentric grooves that
19 are generated by the abrasive action of the counterpart can be seen (white arrow in Fig.
20 14(b)). This wear was not homogeneous, resulting in some zones of more pronounced
21 abrasion of the tribofilm (white arrows in Fig. 14(a)). Wear debris that was generated during
22 the different stages of formation and the subsequent wear of the tribofilm passed through
23 region 2, in which tribofilm had not been formed, and finally was deposited in region 3 on
24 both sides of the wear track.

25 The tribofilm of sample A100 seems to have suffered less wear than in previous samples. The
26 same grooves that are observed in the tribofilm of sample A50/F50 can be seen in Fig. 15(a),

1 b). However, the zones of sample A100 that are most worn have a lower extension (white
2 arrows in Fig. 15(a, b)). The same regions, 2 and 3, that were identified on sample A50/F50
3 are observed.

4 The tribofilm of sample A25/F75 was analyzed by EDX in order to determine its constituent
5 elements and their origin. EDX maps that appear in Fig. 16 correspond to the image of Fig.
6 13(c). Among the elements detected by the EDX analysis, the most representative are fluorine
7 (Fig. 16(a)) and iron (Fig. 16(b)). Iron probably is forming iron oxide, since this is the form in
8 which it is normally found in tribofilms [32,33]. Fluorine and iron, which come from the
9 coating and the counterpart respectively, are uniformly distributed in region 1 (Fig. 16(a, b)).
10 In region 2, where tribofilm has detached, the presence of fluorine and absence of iron is
11 observed.

12 **4. DISCUSSION**

13 It has been noted that the higher the percentage of APTES used is, the higher the nitrogen
14 content of the coatings is. Thus, this nitrogen comes mainly from the amines provided by
15 APTES. The lap-shear stress, which generally increases with the percentage of APTES used,
16 shows practically the same trend as the nitrogen content (Fig. 17). That is, both show lower
17 values for coatings that are applied with percentages of APTES up to 50% and reach higher
18 values when APTES is used in a proportion that is equal to 75% or higher (samples A75/F25
19 and A100). Therefore, an improvement in the adhesion of the coatings that is related to the
20 amines provided by APTES can be seen.

21 Furthermore, it has been observed that a higher coverage with thicker (Table 2) and more
22 uniform coatings (Fig. 2) is achieved as the percentage of APTES used increases. Considering
23 that the formation rate and transport properties of the reactive species did not vary
24 significantly among different samples due to the fact that the plasma-polymerization
25 parameters were kept constant (flow rate of the mixture of precursors, number of passes,
26 power set on the generator, gap, etc.), the higher presence of amines from APTES that act as a

1 binder [34] may be one of the reasons that allowed a higher portion of material to adhere
2 during the plasma-polymerization process and facilitated the growth of the coating. As a
3 result, a smooth coating with a greater thickness formed on sample A100. This coating has
4 grown mainly by the deposition of small round particles, which form in the gas phase of the
5 plasma and land on the surface, covering it with a smooth, uniform film (Fig. 1(f)) [35]. The
6 variations that were observed in the surface morphology depend on the proportions of APTES
7 and FLUSI when they are mixed (Fig. 1(c, d, e)). They appear to be due to the difference
8 between the flash points of APTES (96 °C) and FLUSI (168 °C). The higher that the
9 percentage of APTES is, the lower the flash point of the mixture is. The flash point is the
10 lowest temperature at which a liquid can vaporize sufficiently to form an ignitable mixture in
11 air. This determines if a gas phase polymerization predominates for lower flash points, or if
12 there is a higher degree of polymerization on the substrate surface for higher flash points [36].
13 In a work with the same cold plasma source and similar process conditions, Verheyde et al.
14 [37] measured a maximum temperature of 180°C in the afterglow, whereas the temperature of
15 the substrate did not exceed 90°C. This range of temperatures can affect the way in which
16 plasma-polymerization takes place, depending on the flash point of the precursor mixture.
17 Thus, the gas phase polymerization mechanism still predominates in sample A75/F25. The
18 addition of a small proportion of FLUSI has led to the formation of larger particles,
19 distributed uniformly over the entire surface (Figures 1(e) and 2(e)). With FLUSI proportions
20 equal to 50% or higher, coatings with more irregular morphologies are formed (Fig. 1(c, d))
21 mainly by polymerization on the substrate. The origin of these coatings is the precursor's
22 lower fragmentation in the plasma's gas phase, due to the mixture's higher flash point. This
23 has led to the deposition of greater fragments of precursor, such as longer fluorocarbon
24 chains, which undergo polymerization reactions on the surface of the sample [27]. This
25 polymerization has resulted in the formation of agglomerates on samples A25/F75 and
26 A50/F50, which cause their coatings to be rougher. The coating of sample A25/F75 is rougher

1 because the surface polymerization appears to be more irregular as the percentage of APTES
2 decreases. In the successive passes, an irregular film is deposited over another that has a
3 similar morphology. This results in a rougher and more irregular coating. The poor coverage
4 achieved on sample F100 has resulted in the deposition of small, dispersed agglomerates (Fig.
5 2(b)) that were formed mainly by polymerization on the surface. The absence of amines in
6 this case is the reason that part of the material has not adhered to the substrate and being
7 evacuated by the exhaust, which has left uncoated areas between the agglomerates. This
8 explains the low thickness measured on this sample and the low intensities shown by its FTIR
9 spectrum after subtraction in the range of $1003\text{-}1269\text{ cm}^{-1}$ (Fig. 3, region B). However, it has
10 a noticeable peak in its frequencies that are related to silicon groups and a lower peak at
11 $\sim 1236\text{ cm}^{-1}$. This may be due to deposition of a small amount of CF_x groups as has been
12 observed by deconvolution (Fig. 5(a)). In turn, this indicates a higher adhesion capability of
13 the part that corresponds to the silicon oxide that is contained in the FLUSI molecule than that
14 of its fluorocarbon chain. Moreover, the poor coverage of sample F100 that is due to the
15 absence of amines from APTES has allowed the XPS analysis to reach the substrate. All of
16 this has caused the percentages of silicon and oxygen in sample F100 to be among the highest
17 for these elements. It also has caused the percentage of fluorine in this sample to be lower
18 than the percentages of fluorine in other samples that were prepared with lower proportions of
19 FLUSI (A25/F75 and A50/F50).

20 It seems that once APTES is introduced to the mixture of precursors, most of the
21 fluorocarbons provided by FLUSI are able to adhere to the surface. For this reason the amount
22 of fluorine in sample A50/F50 was practically equal to that in sample A25/F75 (Fig. 6), even
23 when the former used a lower amount of FLUSI.

24 Because the relative percentage of CF_2 shows the same trend as the fluorine percentage of the
25 surfaces, along with the lower percentages of CF and CF_3 , one can conclude that almost all of
26 the fluorine that is present on the surfaces of the coatings is part of CF_2 groups. The highest

1 relative percentages of CF_2 in samples A25/F75 and A50/F50 (Fig. 8) indicate a lower
2 fragmentation of FLUSI in both cases and further preservation of its original structure, with a
3 higher deposition of CF_2 in longer chains of C_xF_y [12,27]. As a consequence, the amounts of
4 CF_x groups in samples A25/F75 and A50/F50 (Fig. 5(a)) are also the highest.

5 Achieving a hydrophobic character only in the fluorinated samples (Fig. 10) demonstrates that
6 surface chemistry has played a crucial role in the development of hydrophobicity, as it is
7 necessary to deposit functional groups with low surface energy (CF_2 and CF_3) to obtain a
8 hydrophobic surface on the glass substrate. Therefore, the contact angle that was reached
9 mainly by modifying the surface chemistry retained a value of approximately 120° [9].

10 Moreover, the roughness of these coatings and their surface morphologies have not been
11 sufficiently effective to achieve superhydrophobicity ($\text{WCA} > 150^\circ$).

12 Considering that the uncoated glass and sample A100 have a similar roughness (Table 2), the
13 higher contact angle of sample A100 may be due to the formation of a uniform coating that
14 contains non-polar CH_3 groups from APTES [5]. WCA values of the coated samples are
15 consistent with the results obtained in the deconvolution of $\text{C}1s$ spectra (Fig. 8). The samples
16 with the highest relative percentages of CF_2 (A25/F75 and A50/F50) show the highest values
17 of WCA, since CF_2 has an important influence on the hydrophobic property of the coatings
18 [2,38]. The surface energy of CF_3 is lower than that of CF_2 , which makes CF_3 the ideal
19 building block for the development of coatings with higher hydrophobicity [6]. This could
20 explain the higher contact angle of sample A50/F50 ($123.2^\circ \pm 1.5$) in comparison to sample
21 A25/F75 ($119.1^\circ \pm 1.8$), although the latter has a higher relative percentage of CF_2 .

22 The great variation in thickness of the samples prepared with a proportion of APTES that is
23 less than 50% (Table 2) may be the main reason for the decrease in their wear rate. This is not
24 so obvious for the samples that were prepared with higher proportions of APTES (A50/F50,
25 A75/F25 and A100). The thicknesses of the coatings of these three samples are similar (Table
26 2). The coatings of these samples also are the thickest coatings of all samples. The decrease in

1 the wear rate in these three cases may be explained by the presence of SiOSi groups that have
2 been identified by FTIR analysis (Fig. 5(a)), which increases as the proportion of APTES
3 used increases. As other authors have found [14], SiOSi groups are related to the formation of
4 hard and wear-resistant coatings.

5 The spectral shift of the ASM band of SiOSi in the FTIR spectra from 1075 cm^{-1} (related to
6 stoichiometric SiO_2) to $\sim 1035\text{ cm}^{-1}$ indicates a lower stoichiometry constant of the SiO_x in the
7 films ($x < 2$) [22, 39]. This decrease in the stoichiometry constant is related to the carbon
8 content of the samples, which forms several SiOC groups that are identified at higher
9 wavenumbers (Table 3). This is supported by the XPS analysis, in which all samples were
10 found to contain a noticeable percentage of carbon (Fig. 6).

11 The wear mechanism of the coated samples is governed by a tribofilm that is characteristic of
12 this type of coatings. It is formed in the following stages [32,40]: Severe wear of the surfaces
13 initially takes place, generating wear debris as grains and particles. The part of this debris that
14 is not ejected from the contact zone between the rubbing surfaces is ground, agglomerated and
15 sintered by repeated action of the counterpart. This leads to the formation of a tribofilm,
16 which acts as a protective layer and reduces the initial, severe wear, thus lowering the wear
17 rate [32,41].

18 Despite its wear, the tribofilm on sample A25/F75 (Fig. 13) achieved a reduction of 41.1% in
19 the wear rate of the uncoated glass (Fig. 11). The tribofilm of sample A100 (Fig. 15) is the
20 most continuous tribofilm. This could explain why its wear rate is the lowest of all of those
21 that were studied [42].

22 In the EDX maps of Fig. 16(a, b), which showed a region where the tribofilm had been
23 detached (region 2), it can be seen that iron had been removed from that region, although
24 fluorine was still present. It follows that part of the original coating remains even after
25 tribofilm detachment.

26 **5. CONCLUSIONS**

1 In order to find a coating that promotes both the wear resistance and the hydrophobicity of
2 glass, a non-thermal atmospheric jet plasma-polymerization system with mixtures of two
3 precursors at different proportions were used. The precursor FLUSI was used to promote
4 hydrophobicity and the precursor APTES was used to facilitate wear resistance. The greatest
5 drawback of this work was nature of these precursors, since coatings based on FLUSI have a
6 low wear resistance and those based on APTES have a hydrophilic character. For this reason,
7 the key to the present work was determining the optimal mixture of precursors that produces a
8 coating that is satisfactory in both characteristics.

9 In improving the hydrophobic character of the surface under the present conditions,
10 modifying the surface chemistry by providing CF_x groups has been more effective than
11 modifying the surface roughness. Thus, all samples in which these groups are present have
12 been hydrophobic with contact angles that exceed 100° , regardless of their low roughness
13 (samples F100 and A75/F25). However, it has not been possible to obtain a superhydrophobic
14 coating ($WCA > 150^\circ$). The reason is that the roughness of the coatings has been too low to
15 have a sufficient effect on the wettability of the samples. It is estimated that the upper limit of
16 hydrophobicity that can be reached by modifying the surface chemistry (WCA of $\sim 120^\circ$) has
17 been achieved. The amines from APTES promote the adhesion of the fluorinated compounds.
18 It has been noted that wear resistance is affected by large variations in the coating thickness
19 and improves as the thickness increases. Furthermore, the wear resistance of coatings of
20 similar thickness depends on the SiOSi content of the coating. In turn, this is directly related
21 to the proportion of APTES in the mixture of precursors. However, due to the hydrophilic
22 character of its components, high proportions of APTES lead to a decrease in hydrophobicity
23 without significantly increasing wear resistance. The formation of a tribofilm by wear debris
24 from the coating and the counterpart governs the wear mechanism that was identified on the
25 coated samples. The continuity of this tribofilm is determined by the percentage of APTES.
26 The higher that the quality of this tribofilm is, the greater its wear resistance is.

1 Sample A50/F50 has the only coating in which WCA is greater than 120°. This is mainly
2 because its fluorocarbon content is one of the highest of all samples and its CF₃ content is the
3 highest. Although its wear rate is not the lowest of those achieved by the fluorinated coatings,
4 it is a 50.1% lower than that of the uncoated substrate. As a result of these factors, one can
5 conclude that A50/F50 is the sample that best combines the target properties of
6 hydrophobicity and wear resistance.

7 In future research, different precursors and mixtures of precursors will be tested in order to
8 improve the results that have been obtained in the target properties. The influence of the
9 plasma-polymerization parameters on coating roughness also will be studied, along with
10 modification of surface chemistry, to achieve a superhydrophobicity of the surface.

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1 **TABLES**

2 **Table I.** Sample identification based on the proportions of APTES and FLUSI.

Sample	Precursors (%)	
	APTES	FLUSI
F100	0	100
A25/F75	25	75
A50/F50	50	50
A75/F25	75	25
A100	100	0
Uncoated glass	-	-

3

4

1

Table II. The coating thickness and RMS roughness of each sample.

Sample	Coating thickness (nm)	RMS roughness (nm)
F100	6.3 ± 2.7	21.5
A25/F75	60.2 ± 9.6	38.9
A50/F50	90.7 ± 22.4	37.8
A75/F25	98.3 ± 7.5	23
A100	105.1 ± 3.5	14.2
Uncoated glass	-	4.9

2

3

1

Table III. Wavenumber and groups related to the FTIR peaks.

Wavenumber (cm ⁻¹)	Groups	References
611	Si-Si	[19]
~700	CH ₂ rocking	[21]
~738	SiC	[2]
~817	SiO	[20]
~1035	SiOSi asymmetric stretching mode (ASM)	[2,12,22]
~1064	SiOC ring-link	[18]
~1115	SiOC open-link	[18]
~1149	CF _x	[2,12,25]
~1162	SiOC cage-link	[18]
~1200	OCH ₂ CH ₃ / CF _x	[2,18,25]
~1236	CF _x	[2,24]

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Table IV. Binding energies and functional groups that correspond to the peaks in the deconvolution of C1s spectra.

Peak location (eV)	Functional groups	References
~284.4	C-Si	[2]
~285	C-C, C-H	[2,12,28,29]
~286	C-N	[29,30]
~286.5	C-O	[2,12,28]
~288	C=O, CF	[28,29]
~291.4	CF ₂	[2,4,28,29]
~293.8	CF ₃	[2,4,28]

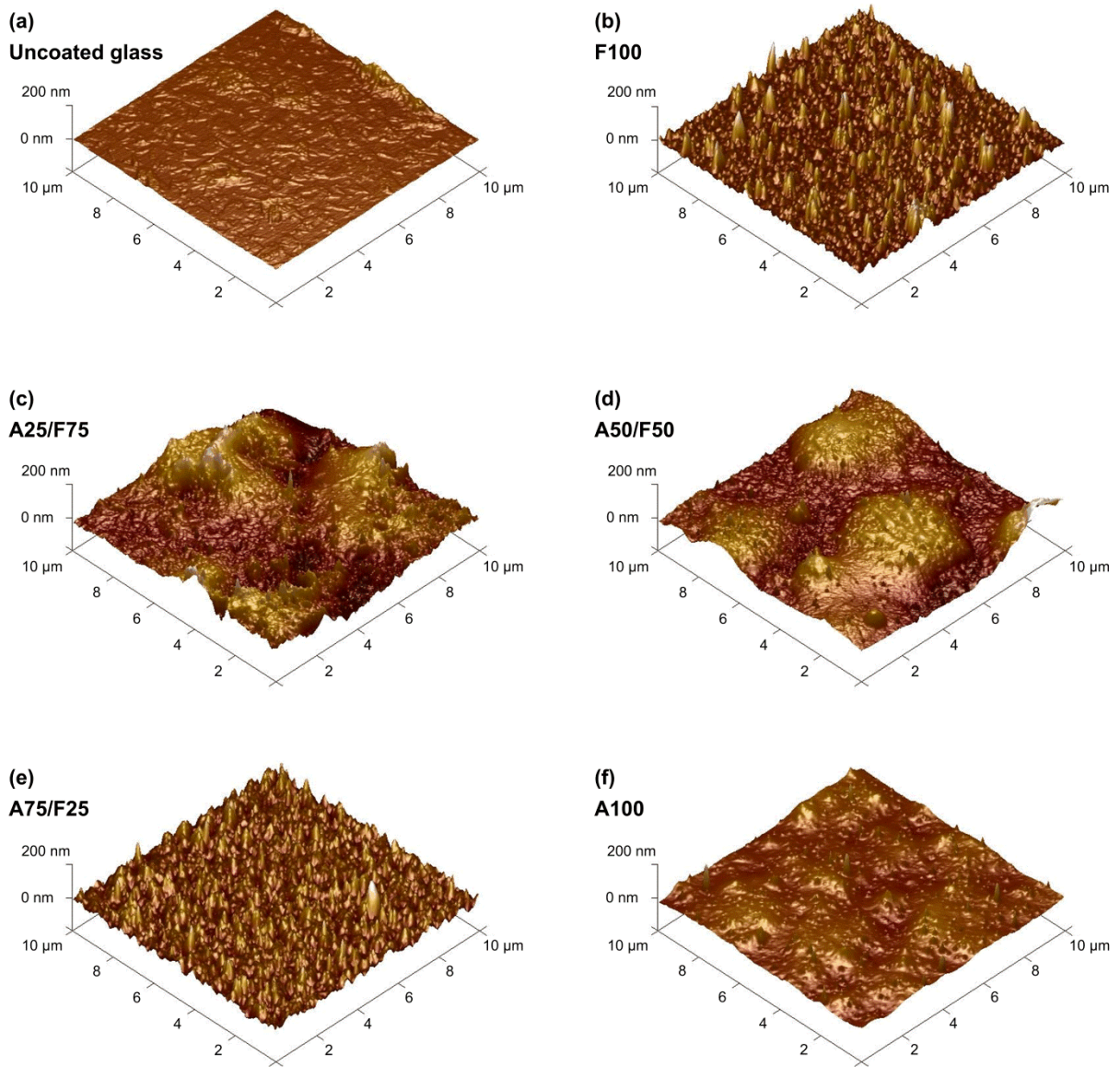
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1 LIST OF FIGURE CAPTIONS

- 2 **Fig. 1.** AFM images of the analyzed samples.
- 3 **Fig. 2.** SEM images of the analyzed samples at a magnification of x5000.
- 4 **Fig. 3.** FTIR spectra (500-2500 cm^{-1}) of coated samples after subtracting the substrate
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- 7 **Fig. 4.** FTIR spectra (1003-1269 cm^{-1}) of coated samples after subtracting the substrate
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- 9 **Fig. 5.** Total area under the peaks related to CF_x and SiOSi in the deconvolution of the
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- 18 **Fig. 11.** Wear rates of the uncoated glass and the coated samples.
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- 23 **Fig. 13.** SEM images of the wear track on sample A25/F75 at different magnifications: (a)
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- 33 **Fig. 16.** EDX maps of (a) fluorine and (b) iron from the wear track on sample A25/F75 at a
34 magnification of x2000.
- 35 **Fig. 17.** Lap-shear stress sustained by the coated samples and their atomic percentage of
36 nitrogen.

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2 **FIGURES**



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Fig. 1

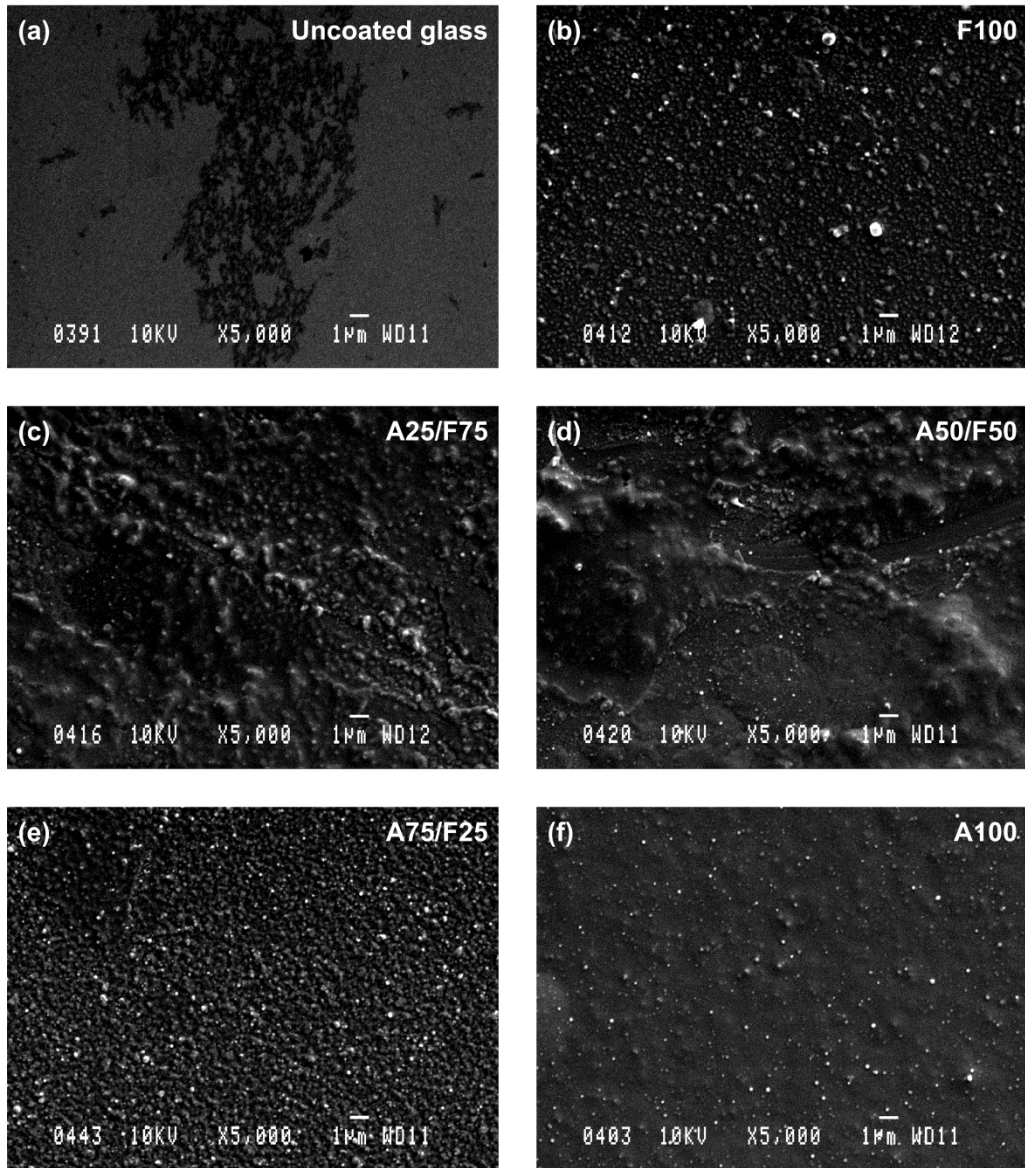


Fig. 2

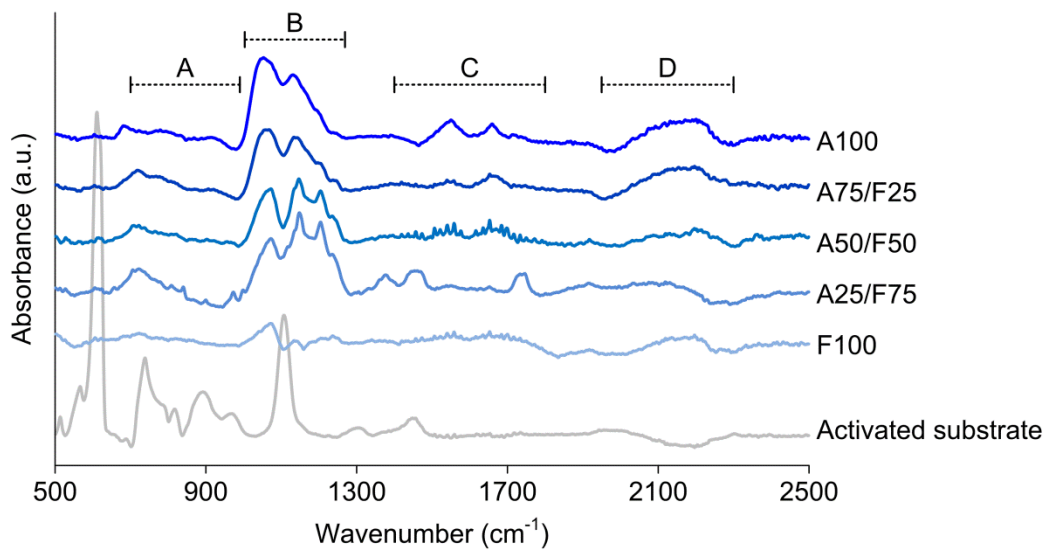
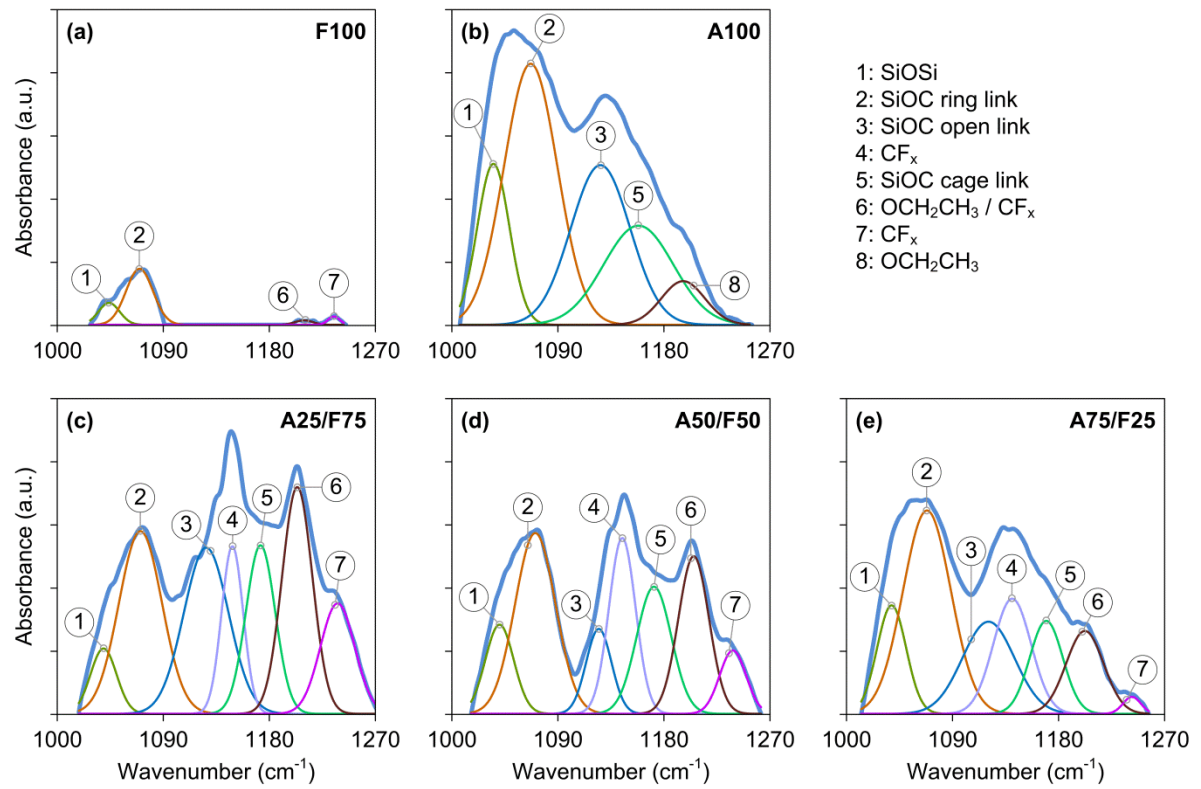


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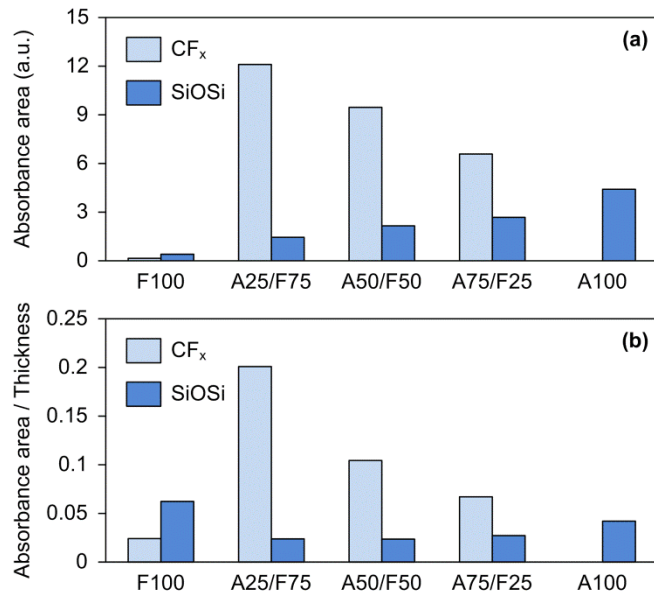
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Fig. 4



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Fig. 5

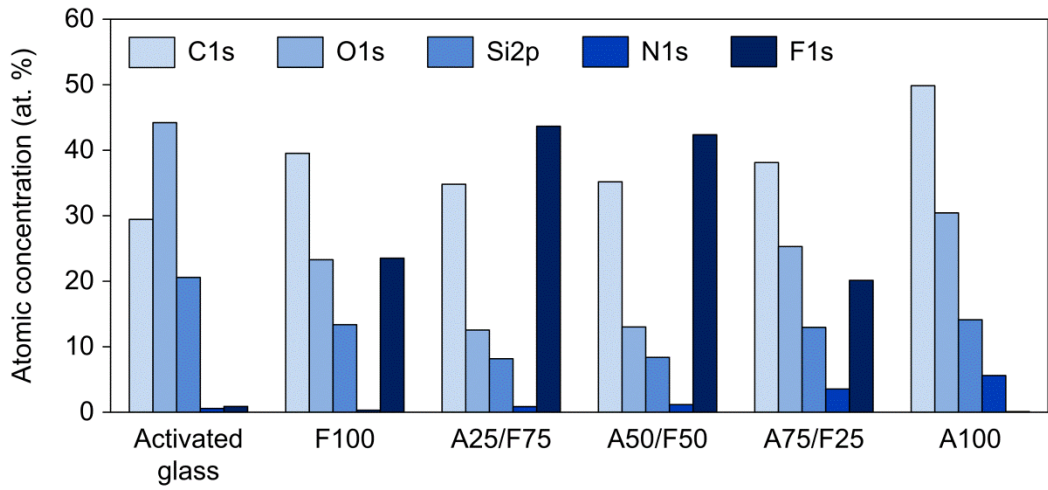


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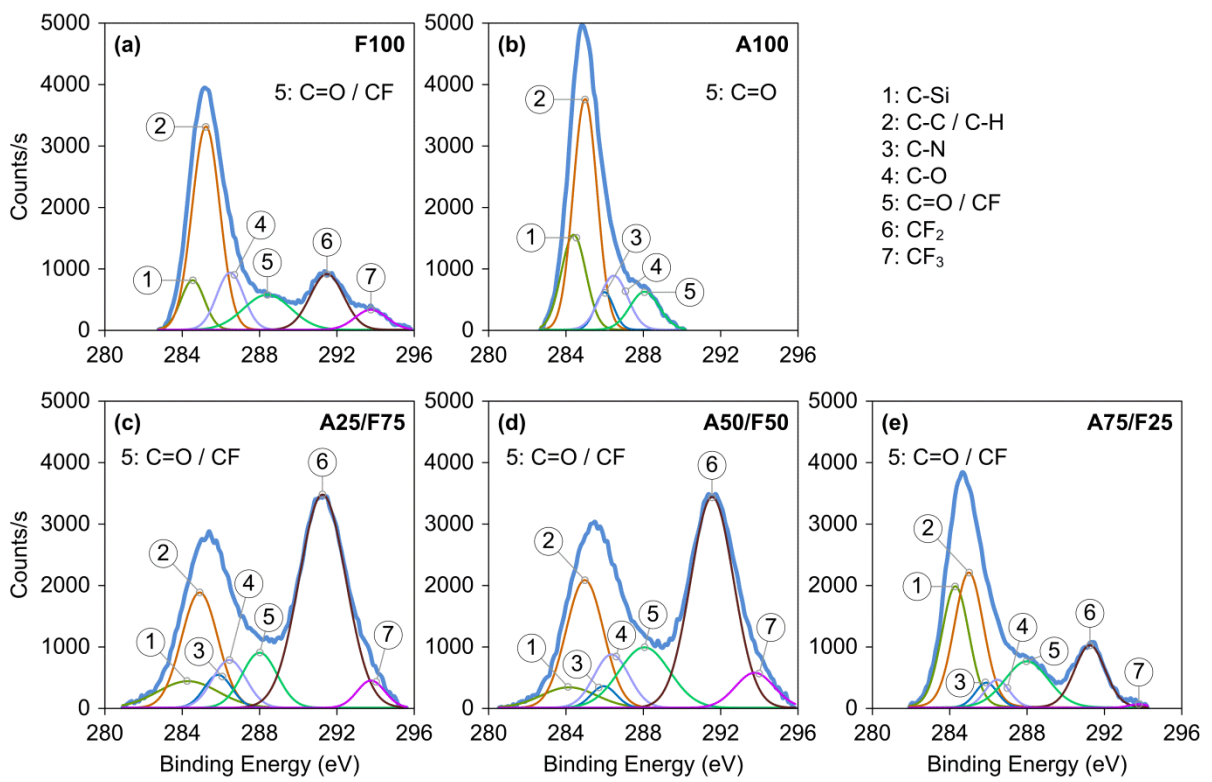


Fig. 7

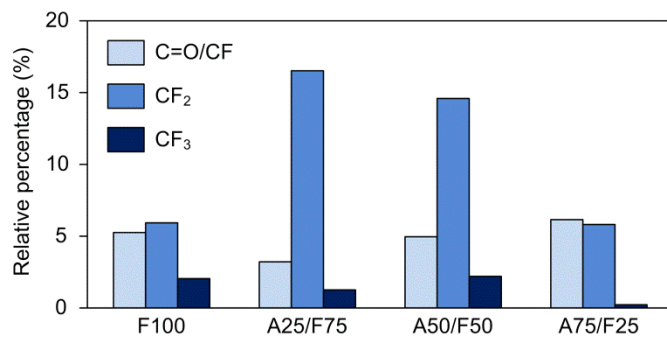


Fig. 8

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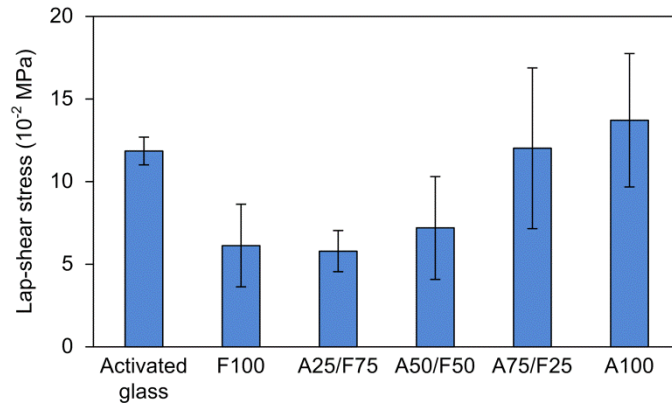


Fig. 9

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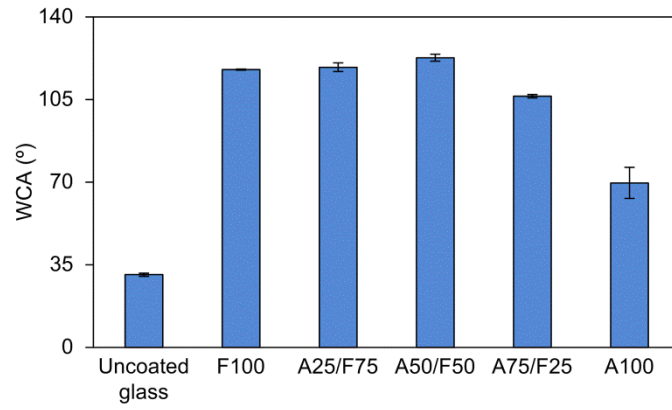


Fig. 10

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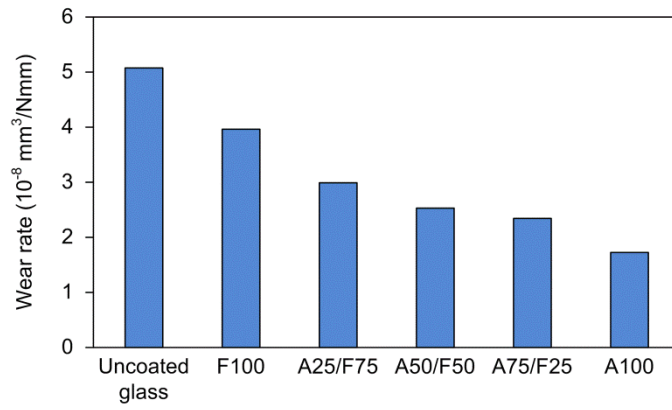


Fig. 11

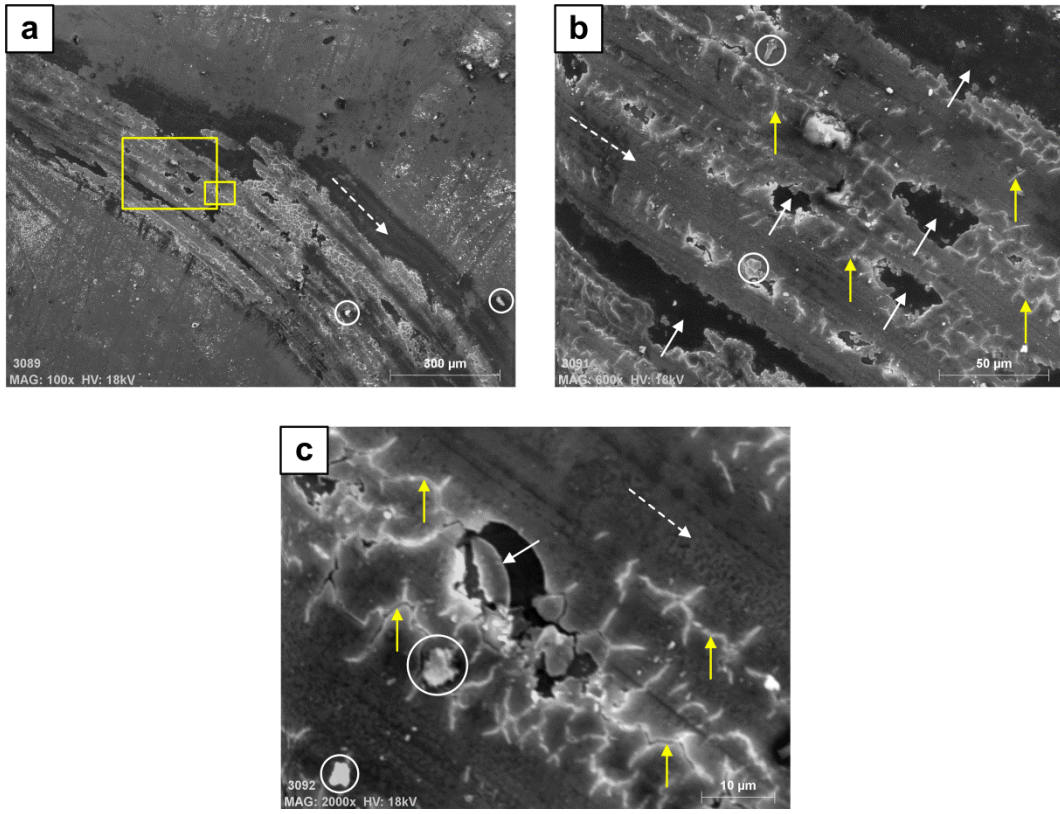


Fig. 12

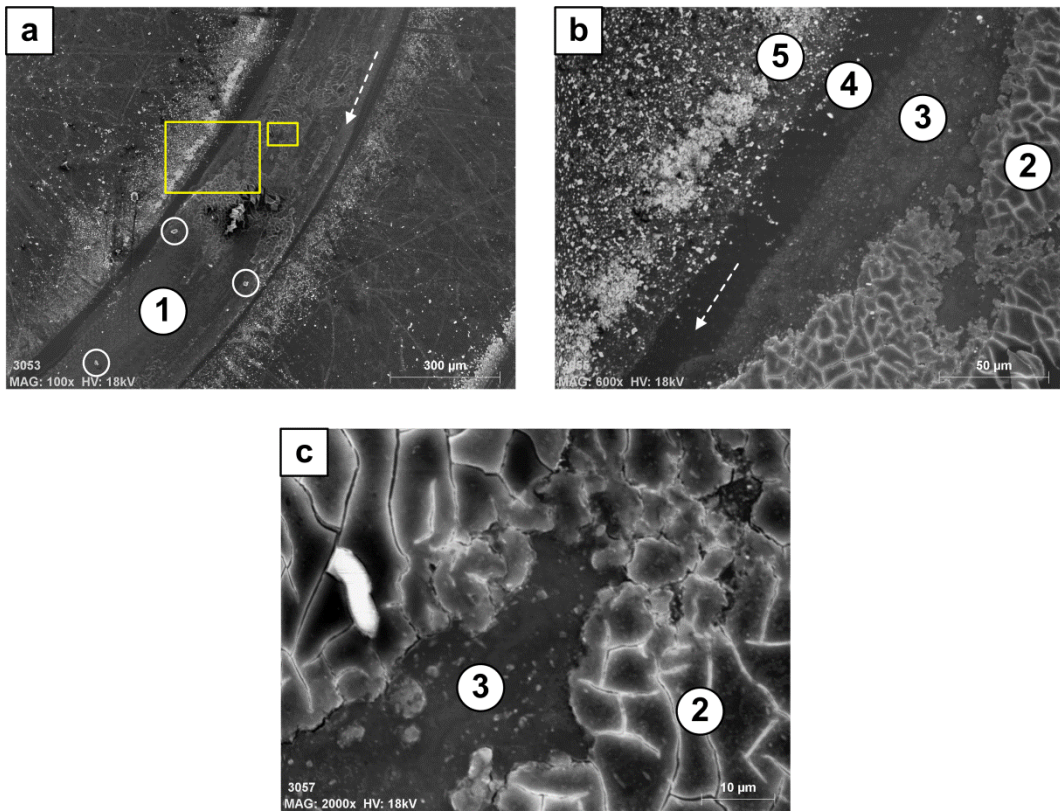


Fig. 13

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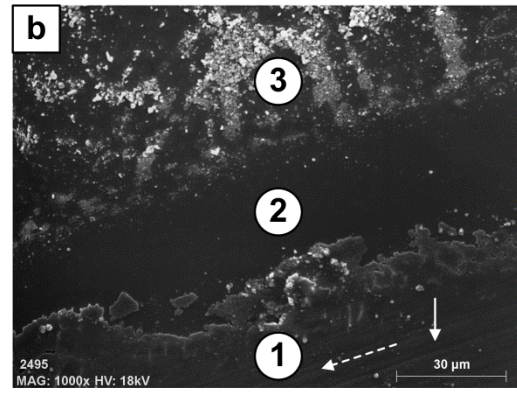
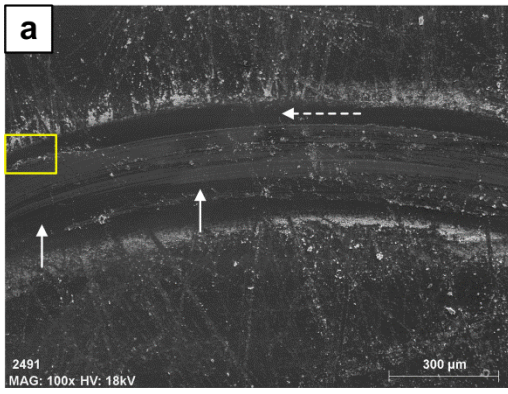


Fig. 14

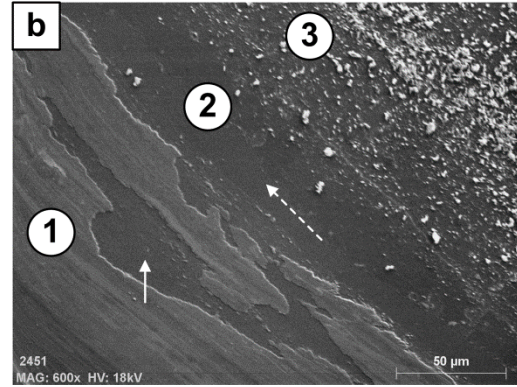
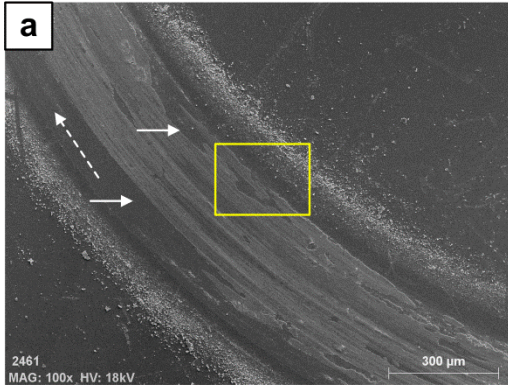


Fig. 15

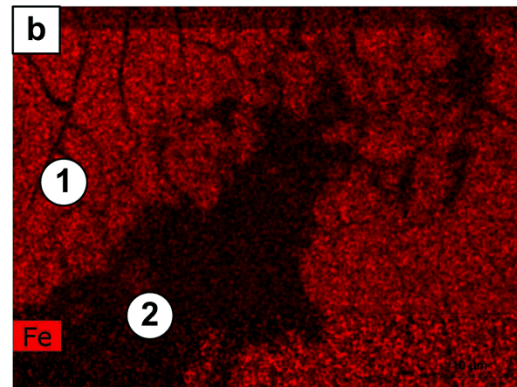
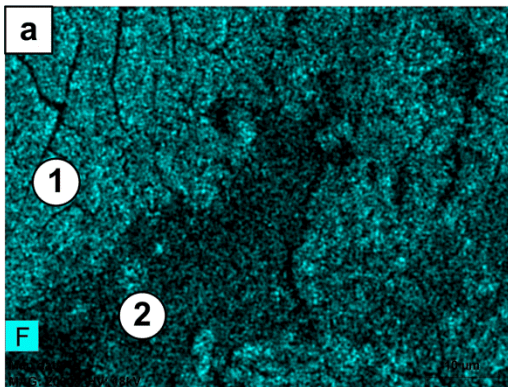


Fig. 16

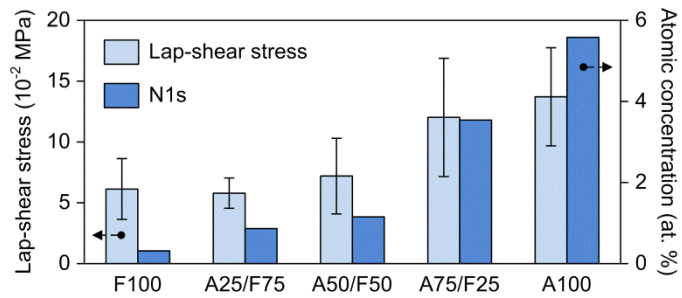


Fig. 17