Hydrophobicity attainment and wear resistance enhancement on glass substrates by atmospheric plasma-polymerization of mixtures of an aminosilane and a fluorocarbon

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Accepted Version for publication in Applied Surface Science

Link to publisher version (DOI): https://doi.org/10.1016/J.APSUSC.2015.04.089

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Published source citation:

Múgica-Vidal, R., Alba-Elías, F., Sainz-García, E., & Pantoja-Ruiz, M. (2015). Hydrophobicity attainment and wear resistance enhancement on glass substrates by atmospheric plasma-polymerization of mixtures of an aminosilane and a fluorocarbon. *Applied Surface Science*, *347*, 325-335. <u>https://doi.org/10.1016/J.APSUSC.2015.04.089</u>

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1 ABSTRACT

2 Mixtures of different proportions of two liquid precursors were subjected to plasma-3 polymerization by a non-thermal atmospheric jet plasma system in a search for a coating that 4 achieves a hydrophobic character on a glass substrate and enhances its wear resistance. 1-5 perfluorohexene (PFH) was chosen as a low-surface-energy precursor to promote a 6 hydrophobic character. Aminopropyltriethoxysilane (APTES) was chosen for its contribution 7 to the improvement of wear resistance by the formation of siloxane bonds. The objective of 8 this work was to determine which of the precursors mixtures that were tested provides the 9 coating with the most balanced enhancement of both hydrophobicity and wear resistance, 10 given that coatings deposited with fluorocarbon-based precursors like PFH are usually low in 11 resistance to wear and coatings deposited with APTES are generally hydrophilic. The coatings 12 obtained were analyzed by Scanning Electron Microscopy (SEM), Atomic Force Microscopy (AFM), Fourier Transform Infra-Red (FTIR) spectroscopy, X-ray Photoelectron Spectroscopy 13 14 (XPS), static Water Contact Angle (WCA) measurements, tribological ball-on-disc tests and 15 contact profilometry. A relationship between the achievement of a hydrophobic character and 16 the modifications to roughness and surface morphology and the incorporation of fluorocarbon 17 groups in the surface chemistry was observed. Also, it was seen that the wear resistance was 18 influenced by the SiOSi content of the coatings. In turn, the SiOSi content appears to be direct 19 related to the percentage of APTES used in the mixture of precursors. The best conjunction of 20 hydrophobicity and wear resistance in this work was found in the sample that was coated 21 using a mixture of APTES and PFH in proportions of 75% and 25%, respectively. Its WCA $(100.2^{\circ} \pm 7.5)$ was the highest of all samples that were measured and more than three times 22 23 that of the uncoated glass ($31^{\circ} \pm 0.7$). This sample underwent a change from a hydrophilic to a 24 hydrophobic character. It also had the lowest wear rate of the hydrophobic samples obtained 25 in this work, with a reduction of 28.8% in the wear rate of the uncoated glass.

1 Keywords: Hydrophobicity, Wear resistance, Aminopropyltriethoxysilane, Perfluorohexene,

2 Non-thermal atmospheric jet plasma, Plasma-polymerization

3 1. INTRODUCTION

4 Many recent research studies have examined the attainment and enhancement of a 5 hydrophobic character on the surface of different materials [1-3]. Furthermore, one of the 6 ways to develop hydrophobic surfaces that is attracting great interest from researchers is the 7 deposition of hydrophobic coatings by atmospheric plasma [4-11]. A highly hydrophobic 8 character is desirable for many products and industrial uses, such as self-cleaning fabrics, 9 friction reduction in microfluidic devices, self-cleaning glass for windows, windshields and 10 solar panels, etc. [4]. Midtdal and Jelle [12] pointed out the importance of investigating and 11 developing superhydrophobic surfaces, with water contact angles (WCA) of more than 150°, 12 given the excellent self-cleaning ability that these particular cases have shown. Terriza et al. 13 [1] assessed the influence of surface chemical composition and surface roughness on 14 wettability, using chemical vapor deposition of diamond-like carbon and fluorocarbon 15 materials on different substrates. They found a direct correlation between roughness and water 16 contact angles, whereas superhydrophobic behavior was found only for films having the 17 highest fluorine content that were deposited on very rough substrates. Both chemical 18 composition and surface roughness are critical factors in controlling the wettability of these 19 surfaces. So, two different ways, or a combination of both, can be followed to enhance the 20 hydrophobicity of a surface: (1) modifying the chemical composition of a rough surface with 21 low-surface-energy materials or (2) generating a rough surface morphology on an intrinsically 22 hydrophobic substrate [2].

Glass is a material that is used for many external applications, such as windows used in
vehicles and in architecture [13], as well as in photovoltaic cells and parabolic mirrors for
photothermal plants in the field of renewable energy. Solar panels have a theoretical
efficiency limit of about 33.7% [12]. Therefore, it is very important to utilize the full

1 capability of these elements by approaching that limit as closely as possible. The main 2 problems that the glass surfaces of solar panels encounter are deterioration caused by weather, 3 cleaning or maintenance work, and deposition of dirt and snow. These factors cause the 4 surface to gradually become more opaque and, as a result, to reduce the efficiency of the solar 5 panels. Because of the foregoing, this work aims to deposit a coating that promotes a 6 hydrophobic character and enhances the wear resistance of glass surfaces. 7 Wu et al. [14] prepared coatings on glass substrates and silicon wafers by microwave plasma-8 enhanced chemical vapor deposition (CVD) of trimethylmethoxysilane (TMMOS) with CO₂, 9 followed by a CVD treatment using heptadecafluoro-1,1,2,2-tetrahydro-decyl-1-10 trimethoxysilane (FAS17) as a precursor to increase the hydrophobicity. Their optimal 11 coating had a WCA of 150° and a wear depth that was lower than that of the glass substrate. 12 In our work, the study of wear resistance is carried out under more severe conditions by 13 obtaining the wear rate of our samples after conducting tribological ball-on-disc tests at a load 14 of 1 N. 15 The use of two liquid precursors and mixtures of both in different proportions will be 16 considered for the deposition of the coatings analyzed in this work. 1-perfluorohexene (PFH) 17 is a low-surface-energy precursor with a molecule that consists of a fluorocarbon chain. It is 18 assumed that this type of fluorocarbon material, which is rich in fluorine, tends to be 19 hydrophobic because of the low reactivity of the C-F bonds exposed at the surface [1]. 20 However, the low reactivity and low surface energy of these materials may be a drawback 21 from the point of view of adhesion to the substrate [15]. Consequently, a combination of the 22 fluorinated precursor and an additive that facilitates adhesion and governs the mechanical 23 behavior of the coating is advisable [16]. For this purpose, aminopropyltriethoxysilane 24 (APTES) will also be used as precursor. This aminosylane is often used in silica surface 25 functionalization with amine groups. Aminosylanes are useful in binding proteins or other 26 molecules to glass or silicon dioxide surfaces [17]. The deposition of coatings with

aminosylanes often results in the formation of siloxane (SiOSi). Masuko et al. [18] analyzed
the tribological properties of smooth silicon substrates coated with self-assembled monolayers
(SAMs) that contained different amounts of siloxane bonds. Their results revealed that the
SAMs with a higher content of siloxane bonds had lower and more stable friction coefficients,
and provided longer durability than coatings that contained lower amounts of siloxane bonds.
Thus, we used APTES to facilitate the sticking of the hydrophobic coating and to enhance the
wear resistance of the surface.

Plasma deposition permits the formation of coatings that have different functional properties by a low temperature process on a wide range of substrates. As a consequence, it has gained interest over the last 50 years. The use of non-equilibrium vacuum plasma systems during these years has led to the development recently of techniques of atmospheric plasma pressure coating. The use of plasma at atmospheric pressure enables these techniques to be low in cost, easy to operate and easy to integrate for in-line processing. Furthermore, monomers with very different chemical natures can be copolymerized using plasma [19].

15 There are different possibilities to deposit coatings with various functional properties by 16 plasma-polymerization. One of them could be the deposition of alternating layers using 17 separately one precursor or another for each layer. This possibility was discarded since it was 18 intended that the upper layer had simultaneously both target properties (hydrophobicity and 19 wear resistance). Another possibility is the simultaneous application of the previously mixed 20 precursors. This method consists in the preparation of a mixture of both precursors by putting 21 them together into the container for the precursor of the plasma system. This mixture can be 22 carried by a flow of gas to be atomized and deposited by plasma-polymerization. Thus, 23 changing only the content of the container and keeping unvaried the rest of the system and 24 process, the plasma-polymerization of a single precursor or mixtures of different precursors

25 can be carried out. This method has been tested in previous work of this research group [6,7]

and it has allowed obtaining coatings that combine the features of the precursors used.

1 For these reasons, the use of a non-thermal atmospheric jet plasma system to deposit mixtures

2 of two liquid precursors (APTES and PFH) is considered to be a suitable option for the

3 present work.

Therefore, we will analyze different coatings deposited by plasma-polymerization of APTES
and PFH by a non-thermal atmospheric jet plasma system on glass substrates. With the
objective of finding a coating that provides the most balanced enhancement of both
hydrophobicity and wear resistance, the two liquid precursors will be used individually and in
mixtures of different proportions.

9 2. MATERIALS AND METHODS

10 The substrates used in this work were glass samples of $100 \text{ mm} \times 50 \text{ mm} \times 3.9 \text{ mm}$ in 11 dimensions. A PlasmaSpot® [20] system of non-thermal atmospheric jet plasma was used to 12 coat the samples. It was equipped with a plasma torch system at atmospheric pressure, which 13 includes an Al_2O_3 dielectric barrier between two cylindrical electrodes, operating in a jet. The 14 distance between the substrate and the jet was fixed at 6 mm, and the movement of the jet 15 over the surface of the sample was set at a constant speed of 6 m/min and 2 mm of track pitch. 16 The process applied consisted of two phases. The first phase was a surface activation 17 treatment in which no precursor was used and samples were subjected to one pass of plasma 18 treatment. This activation phase was applied to all samples that were coated subsequently, as 19 well as to one sample that was kept uncoated to remove its surface contamination and use as a 20 reference for chemical characterization. The second phase consisted of coating the samples by 21 plasma-polymerization in two passes. The supply gas used for the two phases was nitrogen 22 (99.99%) at a flow rate of 80 slm. The generator's power was set at 450 W and the frequency 23 at 68 kHz. Two liquid precursors were used to coat the samples. They were 24 aminopropyltriethoxysilane (APTES, H₂N(CH2)₃Si(OC₂H₅)₃) and 1-perfluorohexene (PFH, 25 $CF_2=CF(CF_2)_3CF_3$). Table 1 shows the proportions in which both precursors were mixed for 26 each analyzed sample.

1 The precursors were placed into the same container in the proportions associated with each 2 sample type prior to atomization. The precursors were carried by nitrogen gas at a flow rate of 3 1.5 slm through an atomizer (TSI model 3076) to be added perpendicularly to the afterglow 4 through a 0.5 mm opening at the end of the central tube of the jet. The flow rate of the 5 precursor mixture that is carried by the nitrogen was about 0.06 ml/mm. 6 Four sub-samples of 10 mm \times 10 mm \times 3.9 mm in dimensions from different locations of 7 each type of sample of $100 \text{ mm} \times 50 \text{ mm} \times 3.9 \text{ mm}$ in dimensions were used to obtain 8 analytical results that would be convincing. Phase-shifting interferometry (PSI) with a 9 WYKO NT3300 non-contact surface profiler was used to measure the thickness of the 10 coatings. The average coating thickness of four measurements from each sample was 11 determined. A Scanning Electron Microscope (SEM) HITACHI S-2400 at 18 kV of operating 12 voltage was used to examine the surfaces of the coated samples and the uncoated substrate, as 13 well as the wear tracks generated by tribological tests. In order to make possible these 14 observations by SEM, the samples were made conductive by gold sputtering. 15 The surface morphology of the coatings and the uncoated glass was studied also by Atomic 16 Force Microscopy (AFM) in tapping mode using an XE-70 (Park Systems) atomic force 17 microscope with a silicon cantilever PPP-NCHR (Nanosensors). The root mean square (RMS) 18 values of roughness were calculated from the images obtained in scanning a 10 μ m \times 10 μ m 19 area of each sample. 20 Fourier Transform Infra-Red (FTIR) spectroscopy and X-Ray Photoelectron Spectroscopy 21 (XPS) analyses were carried out for chemical characterization of the coatings. Silicon wafers of 1 cm² area were used as substrates for the FTIR analysis. Those silicon wafers were 22 23 subjected to the same process that was described for the glass samples. Also, the same 24 proportions of precursors were used, assuming that the FTIR spectra of the coatings on both substrates are the same. FTIR spectra with a resolution of 2 cm⁻¹ in the range of 400-4000 cm⁻ 25 ¹ were averaged over 64 scans in transmission mode of each sample by a BRUKER IFS 66 26

FTIR spectrometer. The peak at 611 cm⁻¹ that is related to the Si-Si of the substrate [21] was 1 2 used to normalize the FTIR spectra of all samples. The FTIR spectrum of the activated 3 substrate was subtracted from the spectra of the coated samples to obtain more accurate 4 chemical characterization of the coatings. A Physical Electronics PHI 5700 spectrometer with 5 a multi-channel hemispherical analyzer, a MgKa (1253.6 eV) X-ray source that was powered 6 at 300W and operated at 15 kV, a pass energy of 29.35 eV and a base pressure below $1.33 \times$ 10^{-7} Pa was used for the acquisition of the X-ray photoelectron spectra. Each spectrum was 7 8 obtained by analyzing four sub-samples of each sample type. Adventitious carbon at a binding 9 energy of 285 eV in the C1s signal was used to reference the peaks of all samples. The XPS 10 photoelectron peaks were fitted with a Shirley background subtraction. The areas under these 11 peaks were used to calculate the atomic concentrations of C, O, Si, N and F. Deconvolutions 12 of the FTIR spectra after subtraction and of the C1s region of the XPS spectra were carried 13 out using the PeakFit 4.12 software (SPSS Inc.) and Gaussian-Lorentzian sum functions to fit 14 the peaks of the deconvolutions. The shape and number of peaks were constrained, whereas 15 variable widths were allowed. 16 The wettability of the analyzed samples was studied by measuring the static water contact 17 angle (WCA) by the sessile drop method. Four sub-samples of each sample type were 18 measured. The WCA (mean \pm standard deviation) of each sample type was averaged from the 19 results that were obtained by image analysis of four water drops (3 µL/drop) placed on each 20 sub-sample. 21 The frictional behavior and wear of the samples were studied by tribological tests and 22 profilometry measurements. The tribological tests were conducted at room temperature in

23 unlubricated conditions by a rotational ball-on-disc tribometer (CSM Instruments). The

tribometer was equipped with a spherical counterpart that was 6 mm in diameter and made of

- 25 100Cr6 steel (HRC 60-62). The parameters established for the tribological tests were the
- 26 following: a normal load of 1 N, a total sliding distance of 100 m at a sliding speed of 2 cm/s

and a radius of 2.5 mm. The friction coefficient (mean ± standard deviation) of each sample
type was an average of the results obtained by testing four sub-samples. Four measurements
of the cross-sectional area of each wear track that was generated during the tribological tests
were taken by a contact profilometer Surtronic 25 (Taylor Hobson) and the mean value of the
measurements for each sample type was used to calculate its wear rate.

6 **3. RESULTS**

7 **3.1.** Coating thickness and morphology

8 The coating thickness and surface roughness of the samples (Table 2) were obtained by

9 interferometry and AFM images (Fig. 1) respectively. SEM analysis (Fig. 2) was used along

10 with the AFM to study the surface morphology of the samples.

11 As can be seen, only the samples in which APTES was used have a noticeable coating

12 thickness. The surface roughness, which is one of the main factors that influence the

13 wettability [1,2], was greatly increased by using mixtures of APTES and PFH.

14 Fig. 1(c-e) and Fig. 2(c-e) reveal the presence of agglomerates and smaller particles on the

15 coatings of these three samples (AP₂₅, AP₅₀, AP₇₅). However, sample AP₁₀₀ has a smooth and

16 uniform coating on which only very small particles can be distinguished (Fig. 1(f) and Fig.

17 2(f)).

18 The surface of sample AP₀ is characterized by the presence of a few dispersed agglomerates

19 that are separated by smooth areas. This, along with the extremely low coating thickness of

20 sample AP₀, indicates that most of the surface could not be coated by using only PFH.

21 **3.2.** Fourier Transform Infra-Red spectroscopy

22 FTIR analysis was conducted for the chemical characterization of the coatings. The FTIR

23 spectra of the coated samples after subtraction of the spectrum of the activated substrate are

shown in Fig. 3. Various regions can be distinguished on each spectrum.

25 In the range of 700-990 cm⁻¹ (Fig. 3, region A), the spectrum of the activated substrate has

26 peaks that can be related to SiC [6] and SiO [22] vibrations at wavenumbers of ~738 and

1	\sim 817 cm ⁻¹ , respectively. In addition, the spectrum has some peaks in the range of 835-990
2	cm ⁻¹ that may be due to SiOH stretching [6,23,24]. All of these peaks were present in the
3	spectra of the coated samples before subtraction. However, only a peak at \sim 700 cm ⁻¹ , which
4	may be related to CH ₂ rocking [6,23], can be identified in the range of 700-990 cm ⁻¹ in the
5	spectra of the coated samples after subtraction (Fig. 3, region A).
6	There is a band in the range of 1000-1260 cm ⁻¹ (Fig. 3, region B) in which the main
7	differences between the spectrum of the activated substrate and those of the coated samples
8	have been found. The spectrum of the activated substrate showed only a narrow peak due to
9	silica at ~1105 cm ⁻¹ [25]. The overlapping of silica by other groups from the coatings caused
10	this band to be broader in the spectra of the coated samples. After subtraction, these other
11	groups could be identified on both sides of the wavenumber that is related to silica. The side
12	that is located at lower wavenumbers may be composed of SiOSi asymmetric stretching mode
13	(ASM) at ~1035 cm ⁻¹ [6,16,19,24] and SiOC ring-link structures at ~1064 cm ⁻¹ [6,20] and
14	becomes more intense as the percentage of APTES used increases. The side that is located at
15	higher wavenumbers may be composed of vibrations of the following groups: (1) SiOC open-
16	link structures at ~1115 cm ⁻¹ [6,20] and SiOC cage-link structures at ~1162 cm ⁻¹ [6,20], (2)
17	CF _x groups (x = 1, 2, 3) at ~1149 cm ⁻¹ [6,16,26,27], ~1200 cm ⁻¹ [6,26] and ~1236 cm ⁻¹
18	[6,28] and (3) OCH ₂ CH ₃ at ~1200 cm ⁻¹ [6,20], which is probably overlapped by the CF _x
19	groups at the same wavenumber.
20	The oscillations of all the spectra in the range of 1400-1800 cm ⁻¹ (Fig. 3, region C) are
21	probably due to the presence of residual water vapor in the spectrometer. In the subtracted
22	spectra of the samples that were coated using APTES (AP25, AP50, AP75 and AP100), these
23	oscillations are overlapped by two peaks, one at \sim 1540 cm ⁻¹ and one at \sim 1660 cm ⁻¹ . The
24	peak at ~1540 cm ⁻¹ probably is related to the Amide II band that involves N-H bending and
25	C-N stretching [29,30]. The peak at ~1660 cm ⁻¹ may be related to the Amide I band and may
26	include NH bending, C=N stretching and C=O stretching [19,29,31]. The groups formed by

1 nitrogen that have been identified in these peaks were originated by the amine groups

2 provided by APTES.

3 There is another band in the spectra of the coated samples in the range of 2000-2300 cm⁻¹ 4 (Fig. 3, region D) that is related to Si-H groups [16,32]. This band is generally broader and 5 more intense when higher percentages of APTES are used. While this band covers the entire 6 range of 2000-2300 cm⁻¹in samples AP₅₀, AP₇₅ and AP₁₀₀, it is found mainly at the highest 7 wavenumbers of that range in samples AP₀ and AP₂₅. 8 A deconvolution of the spectra of the coated samples after subtraction in the range of 1000-9 1260 cm⁻¹ (Fig. 4) was undertaken to determine the presence of the main groups identified in 10 this range. The peak at ~1200 cm⁻¹ is caused by OCH₂CH₃ [6,20], which is provided by 11 APTES and CF_x [6,26], which is provided by PFH. In samples AP₂₅, AP₅₀ and AP₇₅ both 12 groups are overlapped at the same wavenumber and, therefore, their respective contributions 13 to this particular peak cannot be determined precisely. 14 In view of the influence on tribological characteristics and wettability that is attributed to the 15 groups of SiOSi [18] and CF_x [1,6], the presence of these groups in the coatings obtained has 16 been studied more thoroughly. Fig. 5 shows the area under the peak that is related to SiOSi 17 (ASM) in the deconvolution (peak 1 from Fig.4) and the sum of the areas under the peaks that 18 are related to CF_x (peaks 4+6+7 from Fig. 4). The presence of SiOSi is related to the 19 proportion of APTES that is used. This group has not been found in sample AP₀ and the area 20 under the corresponding peak increases in the remaining samples as the percentage of APTES 21 that is used increases. One could expect that, by decreasing the percentage of PFH used, and 22 thus increasing the percentage of APTES in the mixtures of precursors, there would be a 23 lower presence of CF_x groups in the coatings. However, these variations in the percentages of 24 the precursors have resulted in a slightly higher presence of CF_x groups in the coatings that 25 were obtained by using lower percentages of PFH (samples AP₅₀ and AP₇₅) than used in the 26 other fluorinated coatings (samples AP₀ and AP₂₅).

1 **3.3.** X-ray Photoelectron Spectroscopy

XPS analysis was performed to determine whether there is any relationship between the
proportions of the precursors used and the surface chemistry of the coatings that were
obtained by plasma-polymerization. Fig. 6 shows the atomic percentages of carbon (C1s),
oxygen (O1s), silicon (Si2p), nitrogen (N1s) and fluorine (F1s) on the surface of the samples
analyzed.

7 All samples contain a noticeable percentage of carbon. This is probably caused by

8 adventitious carbon in the case of the activated glass, and a combination of adventitious

9 carbon with carbon that is provided by the precursors during the plasma-polymerization

10 process that is applied to the remaining samples. The percentages of nitrogen on the samples

11 in which APTES was not used (activated substrate and sample AP₀) is negligible, whereas the

12 samples in which APTES was used show significant percentages of nitrogen. The percentage

13 of fluorine is significant only on the samples that were coated using PFH (AP₀, AP₂₅, AP₅₀

14 and AP₇₅). Furthermore, the percentages of fluorine are similar among these samples;

15 although they decrease slightly as the percentage of PFH used increases.

16 Deconvolution of the C1s signal of the coated samples was carried out (Fig. 7) to develop a

17 better quantification of the relative percentages of the fluorocarbon groups on the surfaces of

18 these samples (Fig. 8). The functional groups and binding energies associated with all of the

19 peaks considered in the deconvolution are shown in Table 3. The following fluorocarbon

20 groups were found: C-CF at ~286 eV, CF at ~288 eV, CF₂ at ~291.4 eV. In the samples that

21 were coated with mixtures of APTES and PFH, overlapping of C-N with C-CF was identified

22 at a binding energy of ~286 eV. There is also C=O overlapped by the CF at a binding energy

23 of ~288 eV. The most noticeable relative percentages of the three peaks that are related to

24 fluorocarbon groups were those corresponding to the peak at \sim 286 eV (peak 3) in the samples

- that were coated by mixtures of APTES and PFH (AP₂₅, AP₅₀ and AP₇₅). Although these
- 26 percentages are due partially to the contribution of C-N groups that probably were formed by

nitrogen from the amine groups of APTES, one can see that peak 3 (Fig. 7(b-e)) and its
relative percentage (Fig. 8) are lower in sample AP₁₀₀ than in the samples that were coated
with mixtures of APTES and PFH. From this, it follows that there is an important contribution
of C-CF to this peak when mixtures of both precursors are used. The relative percentages
corresponding to the other two peaks that are related to fluorocarbon groups were lower, or
even zero. Therefore, it is believed that fluorocarbon groups provided by PFH could be
deposited, leading to the formation of mainly C-CF.

8 **3.4.** Water Contact Angle measurements

9 Static water contact angle (WCA) measurements were taken to determine the wettability of

10 the analyzed samples. The results in Fig. 9 reveal that the uncoated glass had the lowest WCA

11 $(31^{\circ} \pm 0.7)$ and had the strongest hydrophilic character (WCA < 90°) among these samples.

12 The samples that were prepared exclusively with one of the two precursors used in this work

13 (samples AP₀ and AP₁₀₀) also exhibited a hydrophilic character. Compared to the uncoated

14 glass, sample AP₀ had a slightly higher WCA (40.6° \pm 1). The WCA of sample AP₁₀₀ was

15 noticeably higher $(70^{\circ} \pm 6.6)$.

16 A hydrophobic character (WCA $> 90^{\circ}$) was achieved only in the samples that were prepared

17 with mixtures of APTES and PFH (samples AP₂₅, AP₅₀ and AP₇₅). Those three samples had

18 similar WCAs, ranging from $92.5^{\circ} \pm 2.2$ for sample AP₅₀ to $100.2^{\circ} \pm 7.5$ for sample AP₇₅.

19 **3.5. Tribological characteristics**

Fig. 10 shows the friction coefficient of each sample as obtained by tribological tests and the wear rates that were calculated from profilometry measurements, expressed as the mean value of the results obtained and their standard deviation.

23 The uncoated glass and sample AP_0 had the highest friction coefficients measured and were

24 almost the same. When APTES is used as precursor, a reduction of the friction coefficient of

- 25 the uncoated glass is obtained. Furthermore, the general trend of these results shows that the
- higher the percentage of APTES is, the lower the friction coefficient is. A reduction of 11.2%

in the friction coefficient of the uncoated glass was obtained by using APTES at 50% of the
mixture of precursors (sample AP₅₀). This reduction reaches 16.9% when only APTES was
used (sample AP₁₀₀).

There is a similar result with the wear rate. The uncoated glass and sample AP₀ had very similar wear rates after the tribological tests, and the highest of all wear rates obtained for the analyzed samples. When APTES was used, the wear rate was reduced. It decreases further when APTES was used in higher percentages. This is most evident in samples prepared with percentages of APTES of 50% or higher (samples AP₅₀, AP₇₅ and AP₁₀₀), whereas the wear rate of sample AP₂₅ is slightly higher, but similar to that of sample AP₅₀.

10 **3.6. Wear processes**

The wear tracks generated by the tribological tests on the surfaces of the analyzed samples
were observed by SEM. Several images were examined to determine the wear processes that
had taken place.

14 As can be seen in Fig. 11, the uncoated glass, sample AP_0 and sample AP_{25} experienced

15 severe wear, mainly by an abrasive process. This wear process can be identified by the

16 presence of deep grooves that are parallel to the sliding direction in the wear tracks of these

17 samples (white arrows in Fig. 11(a-c)). In the cases of uncoated glass and sample AP_0 ,

18 abrasive wear was combined with fragmentation and surface cracking (yellow arrows in Fig.

19 11(a, b)), which led also to material detachment by delamination. All of these processes were

20 observed previously by other authors [36].

21 The wear tracks of samples AP₅₀, AP₇₅ and AP₁₀₀ have been occupied by a tribofilm (Fig. 12).

22 The tribofilm on sample AP₅₀ shows many cracks that are related to the processes of wear by

23 delamination and fatigue (Fig. 12(a)), as other authors have observed [36]. These wear

24 processes have resulted in material detachment from the borders of the wear track and from

- some locations of the central zone of the track (yellow arrows in Fig. 12(a)). Similar
- 26 processes, although causing less severe wear, have occurred with sample AP₇₅ (Fig. 12(b)).

Material detachment has occurred on sample AP₇₅ mainly at the borders of the wear track (yellow arrows in Fig. 12(b)), whereas there is less cracked tribofilm in the central zone of the track than in sample AP₂₅. The wear track of sample AP₁₀₀ exhibited the most continuous of all tribofilms that were observed in this work (Fig. 12(c)). No sign of cracking could be identified in this tribofilm. Incipient detachment of the tribofilm was noticed at some locations on the border of the wear track (yellow arrow in Fig. 12(c)), as well as some particles of wear debris (white arrows in Fig. 12(c)).

8 4. DISCUSSION

9 The coating thickness is noticeable only on those samples that were coated using APTES 10 (samples AP₂₅, AP₅₀, AP₇₅ and AP₁₀₀). A similar situation for the nitrogen percentages is 11 observed in the XPS analysis results (Fig. 6), which are noticeable only when APTES has 12 been used. These results are also in agreement with the groups that are formed by nitrogen in 13 the FTIR spectra (Fig. 3). It follows that nitrogen comes mainly from the amine group that is 14 contained in the APTES molecule. Although no strict relationship between the coating 15 thickness and the results of chemical characterization regarding nitrogen can be seen, the 16 growth of the coating may be favored by the presence of amine groups acting as a binder [37]; 17 as it is suggested by the results of our previous work [6,7].

18 When coatings that have a noticeable thickness are obtained, their roughness and surface 19 morphology appear to be affected by the presence of PFH in the mixtures of precursors. A 20 smooth, uniform coating with a few small particles was obtained when only APTES was used 21 (sample AP₁₀₀). In contrast, the use of mixtures of APTES and PFH led to the formation of 22 rougher coatings with agglomerates and small particles (Fig. 1 and Fig. 2). The differences in 23 morphology of the samples coated with mixtures of APTES and PFH may be determined by 24 the flash point of the mixture of precursors used for each case. The flash point of a chemical is 25 the lowest temperature at which enough fluid can evaporate to ignite in air. The different flash 26 points of APTES (96 °C) and PFH (-17 °C) can influence the flash point of each mixture. So,

1 the higher the proportion of PFH is, the lower the flash point of the mixture is. As other 2 authors have observed [38], there is a higher degree of plasma-polymerization in the gas 3 phase as the flash point of the mixture of precursors decreases. Thus, the surface of sample 4 AP₇₅ (Fig. 1(e)) is composed mainly of agglomerates and some spherical particles that were 5 formed by polymerization in the gas phase [39,40]. The higher the percentage of PFH used in 6 the mixtures is (samples AP_{50} and AP_{25}), the higher the degree of plasma-polymerization in 7 the gas phase is. This leads to an increase in the amount of particles formed and a decrease in 8 the size of the agglomerates as the percentage of PFH used in the mixture of precursors 9 increases (Fig.1 (c-e)). Furthermore, the surface of sample AP₂₅ (Fig. 1(c)) has plenty of 10 dusty-like particles of fluorocarbon [41,42] provided by PFH. Such particles were formed by 11 polymerization in the gas phase [40]. 12 The use of higher percentages of PFH provides more fluorine for the plasma-polymerization 13 process. However, the lower flash point of PFH makes the material more volatile and more 14 likely to be evacuated by the exhaust whereas the presence of lower amounts of amines may 15 hinder its capability of adhering to the substrate. Therefore, the decrease in the flash-point of 16 the mixture and in the amount of amines provided by APTES could be why the percentages of 17 fluorine in the fluorinated samples were lower as the percentage of PFH used increased. 18 The extremely low coating thickness of sample AP₀ and the results obtained for sample AP₀ 19 in many of the analyses that were similar to the results obtained for the uncoated substrate, 20 reveal that most of sample AP₀ remained uncoated. This is probably because the absence of 21 amines in this case prevented most of the material from adhering to the substrate. It thus was 22 evacuated by the exhaust. This assumption is supported by the results of FTIR analysis in 23 which a noticeable presence of fluorocarbon groups was observed only if PFH had been 24 mixed with APTES. 25 As mentioned above, wettability is influenced by two main factors, namely surface

26 morphology and surface chemistry [1,2]. Only the samples that were coated by using mixtures

1	of APTES and PFH (AP ₂₅ , AP ₅₀ , AP ₇₅) showed a hydrophobic character (WCA > 90°). These
2	three samples were the roughest ones (Table 2) and had similar surface morphologies (Fig.
3	1(c-e)). These samples also had the highest content of fluorocarbon groups, although this
4	content seemed to increase slightly as the percentage of PFH used in the mixture decreased, as
5	shown by the results of FTIR (Fig. 5) and XPS (Fig. 8) analyses. So, although the roughness
6	of sample AP75 was not the highest that was measured on these samples, the incorporation of
7	CF _x groups that were observed in sample AP ₇₅ may have caused its WCA to be the highest of
8	those measured in this work, although only slightly higher than the WCA of the other
9	hydrophobic samples (Fig. 9).
10	All of the hydrophilic samples (uncoated glass, AP_0 and AP_{100}) were smooth (Table 2 and
11	Fig. 1(a,b,f)) and their chemistry varied (Fig. 6) depending on whether a precursor was used
12	and its type (PFH or APTES). The WCA measured on sample AP_0 was slightly higher than
13	that of the uncoated glass. This could be due to the incorporation of a small amount of
14	fluorocarbon groups in sample AP $_0$ (Fig. 5 and Fig. 8), although most of this sample remained
15	uncoated. The WCA measured on sample AP_{100} was considerably higher than those on the
16	uncoated glass and sample AP_0 . This may be due to the chemistry of the coating of sample
17	AP ₁₀₀ , which contains non-polar CH ₃ groups from APTES [3].
18	Considering the differences between the triplet of hydrophilic samples (uncoated glass, AP_0
19	and AP ₁₀₀) and the triplet of hydrophobic samples (AP ₂₅ , AP ₅₀ , AP ₇₅), we conclude that a
20	hydrophobic character was achieved by changes in both factors - generating rough surface
21	morphologies and incorporating fluorocarbon groups in the surface chemistry. Furthermore,
22	the differences in the WCA among the samples belonging to the same triplet can be explained
23	by the differences in their surface chemistry.
24	The friction coefficient and the wear rate of the analyzed samples show similar trends (Fig.
25	10). The highest values obtained were those for the uncoated glass and sample AP_0 . They
•	

26 decreased for the remaining samples as the percentage of APTES that was used increased.

Both can be related to the presence of SiOSi groups that were identified by FTIR analysis
(Fig. 5), which increases as the percentage of APTES that is used increases. This is consistent
with the findings of other authors [18], who have observed that the presence of SiOSi groups
can be related to the tribological characteristics of the coatings obtained, such as lower
friction coefficients and higher wears resistance.

6 The wear process of the surface was changed from primarily abrasive wear to a less severe

7 wear that was governed by the formation of a tribofilm on the samples that were coated using 8 percentages of APTES of 50% or higher. The typical stages of tribofilm formation [43,44] can 9 be described as follows: The surface undergoes severe wear in the beginning, which generates 10 grains and particles of wear debris. Part of this debris can be ejected from the contact zone, 11 but the debris that remains in this zone is subjected to grinding, agglomeration and smashing 12 during successive cycles by the counterpart. This process results in the formation of a film on 13 the worn surface that is known as tribofilm. This tribofilm protects the surface and reduces the 14 severe wear that took place in the beginning, thereby achieving a decrease in the wear rate and 15 the friction coefficient [43,45].

16 The tribofilm of sample AP₅₀ (Fig. 12(a)) was cracked and detached from several locations in 17 the inner zone of the wear track at the end of the tribological tests. This caused the wear rate 18 of this sample to be similar to that of sample AP₂₅ (Fig. 11(c)). However, the tribofilm of 19 sample AP₅₀ produced the most noticeable decrease in the friction coefficient between two consecutive samples observed in this work. The tribofilms of samples AP_{75} and AP_{100} (Fig. 20 21 12(b, c)) were more continuous and remained less cracked until the end of the tribological 22 tests. This could explain why the lowest values of friction coefficient and wear rate (Fig. 10) 23 corresponded to these samples [46].

24 **5. CONCLUSIONS**

1 In this work, two liquid precursors (APTES and PFH) were used individually and mixed in 2 different proportions in a non-thermal atmospheric jet plasma-polymerization system to 3 obtain a coating that promotes wear resistance and bestows a hydrophobic character to glass. The modification of surface morphology and roughness, combined with the incorporation of 4 5 fluorocarbon groups in the surface chemistry, provided a hydrophobic character (WCA $> 90^{\circ}$) 6 on the samples that were coated using mixtures of both precursors (samples AP₂₅, AP₅₀ and 7 AP₇₅). These three samples showed agglomerates on their surfaces and the highest values of 8 roughness, as well as the highest contents of fluorocarbon groups that were observed in this 9 work.

10 Amines from APTES favored the growth of the coatings, allowing the formation of coatings 11 with noticeable thicknesses only when this precursor was used. The wear resistance and 12 friction coefficient of the coatings are related to their SiOSi content. In turn, the SiOSi content 13 of the coating is directly related to the percentage of APTES used. Therefore, as the 14 percentage of APTES used increases, the wear resistance increases and the friction coefficient 15 decreases. However, using only this precursor results in a hydrophilic coating due to the 16 smooth surface obtained and the chemical nature of APTES. The wear process was changed 17 from primarily an abrasive process to a process that is governed by the formation of a 18 tribofilm on the samples that were coated using APTES at a proportion of a 50% or more. 19 This tribofilm is formed by wear debris, and protects the surface against further wear. The 20 effectiveness of the tribofilms that were observed in this work is aided by their continuity. In 21 turn, that is related to the percentage of APTES used. 22 Sample AP₇₅ shows the highest value of WCA of all samples measured and the lowest wear 23 rate of the hydrophobic samples obtained. Consequently, we conclude that sample AP₇₅ was

24 the sample that showed the best conjunction of target properties of any sample that was

studied in this work. In comparison, the WCA of sample AP₇₅ (100.2° \pm 7.5) was more than

1 three times that of the uncoated glass (WCA of $31^{\circ} \pm 0.7$) and the wear rate of sample AP₇₅

2 was 28.8% less than the wear rate of the uncoated glass.

3 Future research will focus on improving the present results of hydrophobicity and wear

4 resistance by testing the use of different precursors and mixtures of precursors. Furthermore,

5 the goal of that research will be to find a coating that has a more effective conjunction of

6 surface chemistry and morphology, in order to achieve superhydrophobicity (WCA > 150°).

7 Acknowledgements

- 8 This work was funded by the Regional Research Plan of the Autonomous Community of La
- 9 Rioja (Spain) through project ADER 2014-I-IDD-00089. The author E. Sainz-García thanks
- 10 the program of pre-doctoral contracts for the training of research staff funded by the
- 11 University of La Rioja.

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1 LIST OF FIGURE CAPTIONS

- 2 **Fig. 1.** AFM images of the studied samples.
- 3 **Fig. 2.** SEM images of the studied samples at a magnification of x5000.
- Fig. 3. FTIR spectra of the activated substrate and the coated samples in the range of 500 2500 cm⁻¹.
- Fig. 4. Deconvolution of the FTIR spectra of the coated samples after subtraction in the range of 1000-1260 cm⁻¹.
- 8 Fig. 5. The area under the peak related to SiOSi and the total area related to CF_x in the
- 9 deconvolution of the FTIR spectra of the coated samples in the range of 1000-1260 cm⁻¹ after
 10 subtraction.
- 11 Fig. 6. Atomic percentages of the main elements found on the surface of the samples by XPS.
- 12 **Fig. 7.** Deconvolution of the XPS spectra (C1s) of the coated samples.
- Fig. 8. Relative percentages of the fluorocarbon groups identified in the C1s signal of thecoated samples.
- Fig. 9. Static water contact angle on the surfaces of the uncoated glass and the coatedsamples.
- 17 **Fig. 10.** Friction coefficient and wear rate of the analyzed samples.
- 18 **Fig. 11.** SEM images of the wear tracks of (a) the uncoated glass and samples (b) AP₀ and (c)
- 19 AP₂₅ at a magnification of x600. The sliding direction of the counterpart over the samples is
- 20 indicated by dashed arrows.
- Fig. 12. SEM images of the wear tracks of samples (a) AP₅₀, (b) AP₇₅ and (c) AP₁₀₀ at a
- magnification of x600. The sliding direction of the counterpart over the samples is indicatedby dashed arrows.

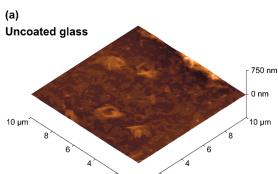
1 LIST OF TABLE CAPTIONS

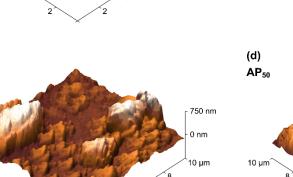
- **Table 1.** Designation of the samples and their associated proportions of APTES and PFH.
- **Table 2.** Coating thickness and RMS roughness of the studied samples.
- **Table 3.** Functional groups and binding energies associated with the peaks considered in the
- 5 deconvolution of the XPS spectra (C1s).

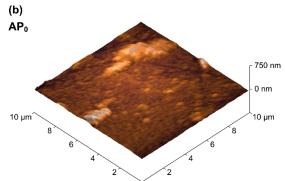
FIGURES AND TABLES

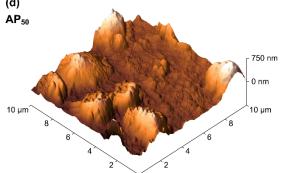
(c) AP₂₅

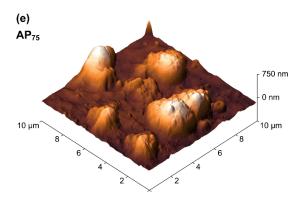
10 µm











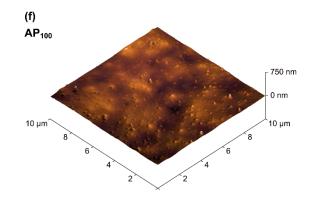


Fig. 1

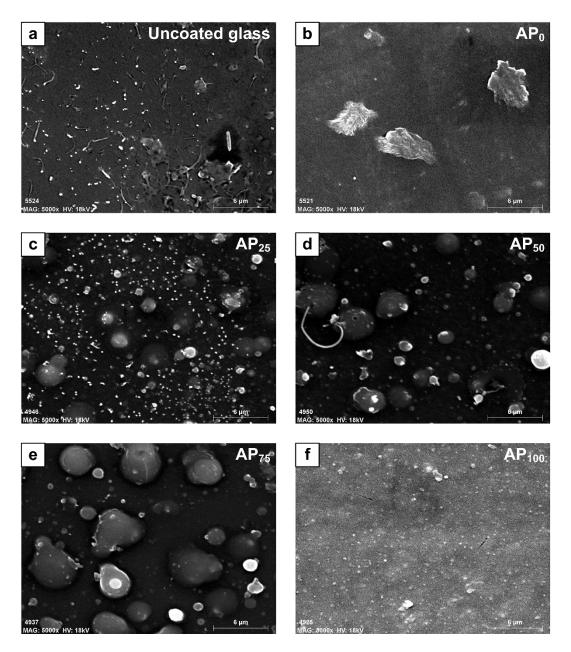


Fig. 2

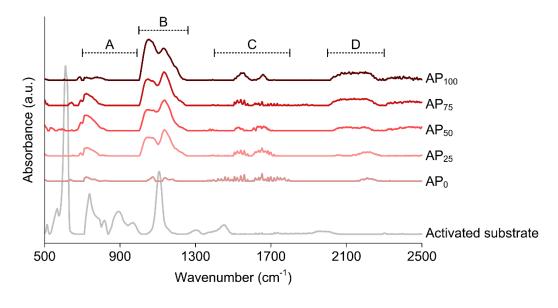


Fig. 3

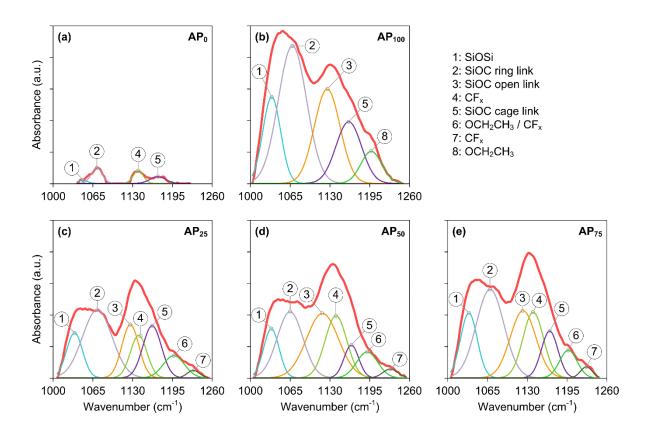


Fig. 4

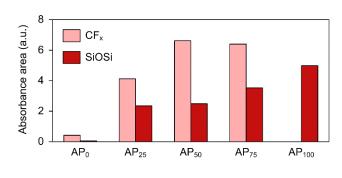


Fig. 5

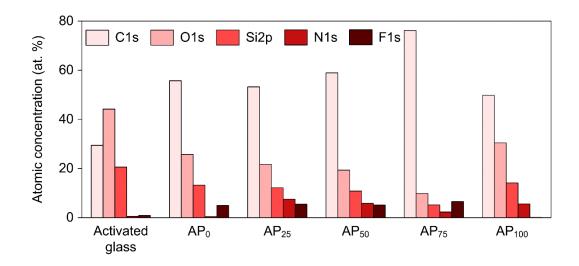


Fig. 6

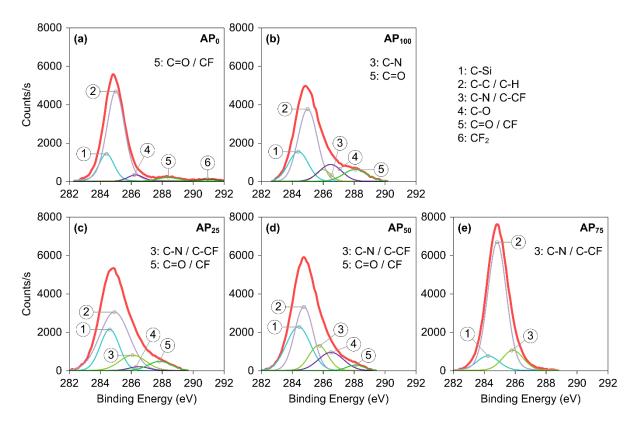


Fig. 7

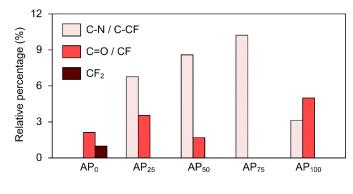
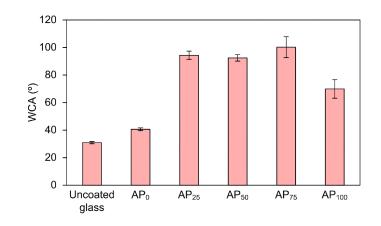


Fig. 8





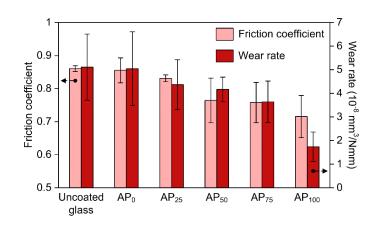


Fig. 10

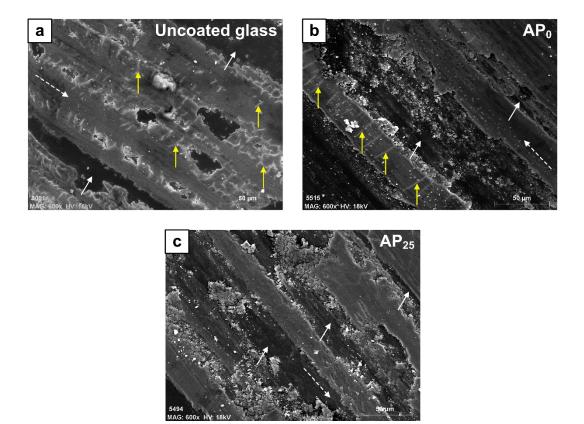


Fig. 11

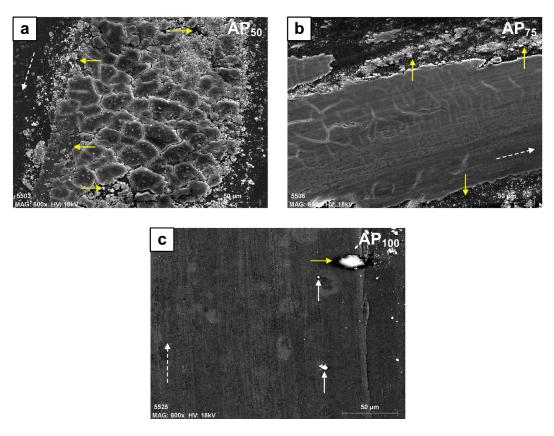


Fig. 12

Sample	Precursors (%)		
	APTES	PFH	
AP ₀	0	100	
AP ₂₅	25	75	
AP ₅₀	50	50	
AP ₇₅	75	25	
AP ₁₀₀	100	0	
Uncoated glass	-	-	

Table 1. Designation of the samples and their associated proportions of APTES and PFH.

Sample	Coating thickness (nm)	RMS roughness (nm)
AP ₀	3.5 ± 2	15.4
AP ₂₅	132.9 ± 4.7	195.6
AP ₅₀	73.3 ± 7.7	174
AP ₇₅	113.9 ± 7.7	181.3
AP ₁₀₀	105.1 ± 3.5	14.2
Uncoated glass	-	4.9

Table 2. Coating thickness and RMS roughness of the studied samples.

Binding energy (eV)	Functional groups	References
~284.4	C-Si	[5]
~285	С-С, С-Н	[5,16,19,27,33]
~286	C-N, C-CF	[1,19,33-35]
~286.5	C-0	[5,16,27]
~288	C=0, CF	[1,15,27,33,35]
~291.4	CF ₂	[1,5,15,33]

Table 3. Functional groups and binding energies associated with the peaks considered in the deconvolution of the XPS spectra (C1s).